

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDRED AND FIFTY-SIXTH
MEETING OF THE BOARD OF TRUSTEES

Columbus, Ohio, February 10 & 11, 2011

The Board of Trustees met Friday, February 11, 2011, at
Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

The Chairman, Mr. Wexner, called the meeting of the Board of Trustees to order on Wednesday, February 9, 2011, at 5:13 pm.

Present: Leslie H. Wexner, Chairman, Douglas G. Borrer, Walden W. O'Dell, Alex Shumate, John C. Fisher, Robert H. Schottenstein, Alan W. Brass, Algenon L. Marbley, Linda S. Kass, William G. Jurgensen, Janet B. Reid, Jeffrey Wadsworth, G. Gilbert Cloyd, Alexis L. Swain and Brandon N. Mitchell.

Mr. Wexner:

Before we take the roll call to go into Executive Session, I want to announce that the full Board will reconvene tomorrow morning at 8:00 am, at this same location.

I hereby move that the Board recess into Executive Session to discuss matters required to be kept confidential by State Statute.

Upon motion of Mr. O'Dell, seconded by Judge Marbley, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Trustees Wexner, Borrer, Shumate, Fisher, Schottenstein, Brass, Marbley, Kass, Jurgensen, Reid and Wadsworth.

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The Chairman, Mr. Wexner, called the meeting of the Board of Trustees to order on Thursday, February 10, 2011, at 8:05 am.

Present: Leslie H. Wexner, Chairman, Douglas G. Borrer, Walden W. O'Dell, Alex Shumate, Brian K. Hicks, John C. Fisher, Robert H. Schottenstein, Alan W. Brass, Algenon L. Marbley, Linda S. Kass, William G. Jurgensen, Janet B. Reid, Jeffrey Wadsworth, Clark C. Kellogg, G. Gilbert Cloyd, Alexis L. Swain and Brandon N. Mitchell.

Mr. Wexner:

Good morning. I would like to reconvene the meeting of the Board of Trustees. The full Board will reconvene tomorrow morning at 10:00 am at Longaberger Alumni House.

I hereby move that the Board recess into Executive Session to consider personnel matters regarding appointments and to discuss matters required to be kept confidential by State Statute.

Upon motion of Ms. Kass, seconded by Mr. Schottenstein, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Trustees Wexner, Borrer, O'Dell, Shumate, Hicks, Fisher, Schottenstein, Brass, Marbley, Kass, Jurgensen, Reid, Wadsworth and Kellogg.

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The Chairman, Mr. Wexner, called the meeting of the Board of Trustees to order on Thursday, February 11, 2011, at 10:05 am.

Present: Leslie H. Wexner, Chairman, Douglas G. Borrer, Walden W. O'Dell, Alex Shumate, Brian K. Hicks, John C. Fisher, Robert H.

Schottenstein, Alan W. Brass, Ronald A. Ratner, Algenon L. Marbley, Linda S. Kass, William G. Jurgensen, Janet B. Reid, Jeffrey Wadsworth, Clark C. Kellogg, G. Gilbert Cloyd, Alexis L. Swain and Brandon N. Mitchell.

Mr. Wexner:

Good morning. I would like to reconvene the meeting of the Board of Trustees.

Where is my friend Brandon. You are up Brandon.

STUDENT RECOGNITION AWARDS

Mr. Mitchell:

Mr. Chairman we have two outstanding students for our Student Recognition Awards today. The first is Danielle Gadomski, she is a colleague of mine at the Moritz College of Law. Danielle is a third-year law student and she attended Westland High School in Galloway, Ohio where she was the valedictorian of her class. Danielle went on to attend Denison University where she graduated summa cum laude with a degree in communications and minors in political science and history.

After graduating from Denison, Danielle received the Frank and Carol Ray Scholarship to attend the Moritz College of Law. This scholarship is designated for someone who intends to start their career in public service. Since arriving on the Ohio State campus Danielle has remained on the same path of excellence. Academically, she is ranked seventh in a class of 245. She is a managing editor on the *Ohio State Law Journal*. She has been hired by two faculty members as their research assistant.

While accomplishing several academic achievements, Danielle has also engaged in a high level of public service and leadership. Within the law school, she served as President of Advocates for Children; as Defense Counsel for the College's Honor Counsel; she is a Managing Editor for the Pro Bono Research group; and a member of the Public Interest Law Foundation and the Women's Society. Outside of the college, she has worked for the Kirwan Institute for the Study of Race and Ethnicity assisting school districts with integration plans, worked as a law clerk for the Legal Aid Societies of Columbus and Cincinnati and volunteered for the Westside Free Store Ministries.

After graduation Danielle plans to work in juvenile defense, do guardian ad litem work with kids in foster care or special education advocacy.

We also have Joseph Esch who is in the College of Veterinary Medicine. Joseph received his baccalaureate degree from the University of Illinois with a major in animal science. He is currently a third-year veterinary medical student.

In addition to his outstanding academic record Joe has been quite active in student organizations having served as the Junior Delegate representing the OSU Student Chapter of the American Veterinary

Medical Association at the national level. From this role he represented the College of Veterinary Medicine at the annual symposia and has moved into an influential leadership position as president of the national Student Chapter of the American Veterinary Medical Association organization. He has been sought out by the parent organization, the American Veterinary Medical Association (AVMA), to provide input from a student perspective in their bi-monthly journal, *The Journal of the American Veterinary Medical Association*. He is also a member of the Veterinary Business Management Association, Theriogenology Club, and the veterinary fraternity Omega Tau Sigma (OTS).

He has been the recipient of the prestigious national American Kennel Club Student Award for two years in a row for his interest and work with purebred collies. He has also been the recipient of The Selma and Edward Levy Endowed Scholarship Fund, Harry E. Goldstein Scholarship, and the A. Hartman scholarship. He has been an active participant in college admissions and open house serving as a student ambassador and doing an outstanding job at informing the public and recruiting new talent to the profession.

Joseph currently works at Banfield, the Pet Hospital, as a pet nurse. He is also a student ambassador for the American Kennel Club and travels nationwide giving lectures to other veterinary students.

Joseph's plans after graduation are to become an associate veterinarian at a progressive small animal veterinary hospital where he can practice high quality medicine and hopes to eventually own a veterinary hospital. He plans on being involved in his local veterinary medical association as well as the American Veterinary Medical Association through volunteer positions, encouraging veterinary students to pursue their careers in shaping the future of veterinary medicine.

Ms. Gadomski:

Hello, I am Danielle Gadomski, and I want to thank you all so much for this award. I have to hope that my best work is still to come, but I truly appreciate this recognition because it demonstrates OSU's commitment to public service.

I first noticed this commitment to public service about three years ago when I was home sick from work with what must have been a pretty bad fever, because I answered the phone, it woke me up, and someone on the other side of the phone said that Frank and Carol Ray wanted to offer me a full tuition scholarship to OSU because of my commitment to public service. I listened to what they had to say and I promptly said okay and went back to sleep. I must have sounded extremely ungrateful at the time, and it was not until later that I even remembered the phone call had happened. I was sitting at dinner and I said to my roommate, I think someone called and offered to pay for my law school today. She kind of took a look at me in my disheveled state and said, why. She thought I had been dreaming, and I thought I had been dreaming for a while too until I came to OSU and I found out that there is a real commitment to public service here and that OSU values and invests in students who are committed to serving their community. Frank and Carol Ray are just a wonderful example of that. They have not only given me a wonderful gift to pursue my passions,

but they have also been an active part of my law school career and encouraged me to continue serving the community.

I have also had several professors, Katherine Federle and Art Greenbaum, who have been the best mentors and cheerleaders that I could have asked for. Really I need to thank my parents, Michael and Kathleen Gadomski, who are here with me today. They first taught me what the value of family and stability and constant love can mean to the development of a child. Their generous spirit and dedication to serving others has really inspired me to advocate for all children to have the opportunities that my family gave me, and I would not be where I am without them.

Thank you again, all of you, for your commitment to OSU and for OSU's commitment to public service. I hope that my work can be a part of that legacy and that I will always represent OSU well.

Mr. Esch:

Good morning Board of Trustees, it is an honor to be here today. First I want to thank my fiancée and my family for their continued support and also the College of Veterinary Medicine. I really appreciate the support given to me by Dean King and the rest of the administration from the college, including Dean Sander, Dr. Harkey, and Melissa Webber, who are here today. I also want to thank Dr. Burkhard, who is my faculty advisor, for her continued support of all my endeavors both with AVMA and SAPPa. The continued support you have all given to the College of Veterinary Medicine has allowed students like me to continue to grow and move forward in our endeavors.

As I look forward to the future of not only veterinary medicine here at The Ohio State University, but veterinary medicine across the country, I know that we are truly a preeminent institution. Your continued support has allowed that to happen and has allowed us to truly have a one of a kind University. Thank you very much for your support.

PRESIDENT'S REPORT

Dr. Gee:

Ladies and gentlemen, thank you. First of all, Danielle and Joseph, thank you very much for being with us. I must say Brandon that the students that we see are just extraordinary. Each time that Alex and you bring these students forward it is really quite a treat for us. And, to hear them articulate their own values and the values that have made their lives as well as the value they bring the University, I deeply appreciate that.

In that regard, as I begin my report today I want to mention the news out of our Newark campus which involves, of course, our students. As you know we did have a fire there and thankfully no one was harmed, but we do appreciate the great work of the folks there and the great work of the people here in Columbus to make certain that all of those matters are being attended to. This again is our One University strategy, taking care of our own and making certain that it is taking place. I am grateful for the quick and generous responses of all of the

members of our University community and the community at Newark which was very helpful also.

It has been a while since we have all been together. Since my last report the November elections occurred, and as we know brought an electoral sea change, as well as new opportunities, in my view, for Ohio State.

Two days after Governor Kasich's inauguration I traveled with him and Mark Kvamme, who is his new director of JobsOhio, and others to Detroit. Together we met with leaders in the auto industry and made our case for investment in Ohio. As you remember, Ohio is the largest producer of cars in this country. We sometimes forget that. I was honored to do so and I just want to be very clear on the record that I stand ready to continue to partner with the governor and his team in moving Ohio forward.

I have also taken the show on the road. I believe this University is the front door through which Ohio will lead the world economically, educationally, socially, and in so many other ways. Last week I returned from making the case for the state of Ohio, and Ohio State, in several parts of China, and in Jeddah, Saudi Arabia. In Jeddah, I met with leaders of the King Abdul-Aziz University. I serve on its international advisory board, along with the chairman of Nissan, the vice chairman of Hitachi, a number of business leaders worldwide and the presidents of the universities of Helsinki, Copenhagen, Tokyo and Vienna, among others.

I was asked to be the American representative on this institutional board. The King Abdul-Aziz University is the largest and most distinguished university in that country. It was a fascinating experience and also allows me to strengthen ties with students, parents and alumni there.

In China, Dr. Sorensen, Dr. Brustein, and I met with hundreds of alumni, university leaders, high school students and their parents, business leaders and government officials. I love to tell the stories about the fact that you go into a ballroom in Shanghai and as easily as in Toledo or in Cleveland or in any of the places that support us; it is indeed a Buckeye world because of the kind of enthusiasm there. The first question I was asked by the way is whether or not we are going to beat Michigan next year. It happens everywhere.

They gave us a warm welcome and they did so not just because they are kind, but because they are fully aware of our University and the enormous reach and impact we have in all parts of the world, certainly these are our partners.

Building on that base we made fast progress on forging new academic and business partnerships, and while I operate on the general rule of "What happens in Wuhan, stays in Wuhan," I will tell you that at the formal dinners in our honor, Dr. Brustein and Dr. Sorensen ate more than their share. They ate my share also, for which I am very grateful, but they behaved as if they had not seen food for a week. But truly we were all grateful recipients of exceptional hospitality. Everywhere we went we met with people eager to partner.

One related item regarding China will come before the Board on our consent agenda today. Having established the University's China

Gateway office last summer, we seek now to extend its capabilities by making it a Wholly Foreign Owned Enterprise. This is what you are going to hear today. Doing so, in that country, provides us now the legal framework to offer revenue generating programs in such areas as executive education, food safety and supply chain management - areas of which we are truly distinguished and with which they want to have our partnership. The country's needs and our own expertise are now coming together. So even as we have been reaching out to the world we also have been very busy bringing leaders to campus. In November the Fisher College of Business was host to a remarkable panel including the Federal Reserve Chairman, Ben Bernanke, the chairman of IBM, and Ford, and Ohio state alumni Curtis Moody and Jeni Britton Bauer. It was a remarkable gathering by the way. The students were there, they were dressed, and their message by the way was a simple one. In our innovation economy, higher education and creativity are paramount. When asked at the end of the session about advice for graduating students, Jeni, who is the creator of Jeni's Splendid Ice Cream recalled the advice her grandmother gave to her, and it is this, "if you cannot find a job, make a job." I love that concept, and Jeni clearly has done that.

I do want to note a couple of leadership changes. Professor Steve Gavazzi from our Department of Human Development and Family Science has been appointed the new dean and director of the University's Mansfield campus. Dr. Gavazzi, who has been with Ohio State since 1991, is an expert in how families influence the well-being of children and adolescents. His clinical work as a therapist has no doubt been helpful to him in navigating the higher education landscape. Mansfield is welcoming him with open arms, they are going to have a reception for him I think next Wednesday and I look forward to attending that campus honor and welcoming him.

Another transition of note is that of Larry Lewellen. I think we all know that Larry is a superb member of our University family and after incredible services as the University's vice president for Human Resources, Larry is joining the Medical Center as vice president of Care Coordination and Health Promotion. In that capacity by the way he will continue to manage Ohio State's health plan which he has done very effectively. He will also expand his work with our highly successful wellness program and focus on issues of quality care and cost-reduction. Larry begins that new position on March 1st and so we are now seeking to fill very big shoes. Larry has not only been a great partner but a great friend of mine, and I deeply appreciate his work.

On an entirely different note, before I close, I just have to mention this, our extraordinarily wonderful and top-ranked men's basketball team, they have played at such a high level and as you know they are simply a joy to watch. They are a team in the fullest sense of the word and I will not underscore that. I think one of the reasons that they are very successful, they have tremendous talent, but they operate as a team and so they really combine in powerful mass. To Thad Matta, a great coach and his student athletes, congratulations and thank you and please beat Wisconsin tomorrow. If you don't, I scratch off what I just said. We are with you all the way until you lose. No, we are not going to say that.

Finally I want to end my report with an email I received from a young alumnus named Abhishek Varma. He is working on his MBA at the University of Dayton but his heart still belongs to Ohio State. I

received this wonderful email and Abhishek writes: "As a passionate Buckeye, I am working on taglines for a class and I came up with one that I thought I would pass along to you." His tagline is this: "Talent does grow on trees: they are called Buckeyes." That is great.

So add Abhishek and some 505,000 other alumni around the world engaged and thinking on our behalf, and we present a real force of nature. That Mr. Chairman is my report.

COMMITTEE REPORTS

Mr. Wexner:

Thank you very much.

Clearly the Board has been working hard and I think I am speaking for the Board. I think we are just getting better and better and continue to have the opportunity as a whole University to get better. That is about all one can ask for. I appreciate the leadership of the administration and the leadership of the Board. The work largely gets done in the committees of the Board and everyone should know how hard working they are and thoughtful in their work.

Let me begin the Committee reports by asking Alan Brass to report from Medical Affairs.

Mr. Brass:

Thank you, Mr. Chairman. Is Dr. Gabbe here?

Steve, come on up to the microphone, would you? I am going to ask Dr. Gabbe to just highlight a couple very important good news items that we heard yesterday in Medical Affairs. Steve, if you would cover the diabetic issue, I think that is a breakthrough one.

Dr. Gabbe:

Thank you for the opportunity; I appreciate this, to give you some exciting news. As Mr. Brass pointed out there was coverage in the press in the past week or so about Ohio State's first islet transplant. This has been nearly a decade long process of study, research, clinical trials until this islet transplant was done. These are the islets that are isolated from the pancreas of a donor. It takes about nine hours to do that and then they are placed in the liver, through an intravenous catheter in the liver of an individual with type one diabetes, in this case it was a young woman who is one of our employees at Ohio State. These are done in very complicated cases of diabetes and this is a cure for diabetes - understanding that the patient does need to take immune suppression medications. The patient is doing very well and we are following her closely. Kwame Osei, who heads our diabetes group, Amer Rajab, who leads the transplantation program in this area deserve great credit. This is a cure for diabetes, but as I say, it is limited to the most complicated cases. I received many, many, emails from parents of children with diabetes, one of whom has a son who is a student athlete here at Ohio State telling us how much this inspires them to know that Ohio State is now among a group of less than a dozen institutions that are able to do this work, and how this creates

new opportunities for patients with diabetes. Of course having lived with type-one diabetes myself for over 40 years, this to me is just a miracle. So we should all be very proud of the good work that has been done and we look forward to expanding this program.

You may have seen the announcement of the Best Doctors in Columbus. This is a national peer reviewed database and so this was published recently and they described the best doctors in Columbus. There were about 560 of them, 87% are at The Ohio State University Medical Center. I doubt there is another metro area in the country that can say 90% of the best doctors in our community are at one medical center, and in this case they are at the OSU Medical Center. It is pretty remarkable. We were recently joined by Peter Mohler who is now directing our Davis Heart and Lung Research Institute. President Gee might remember he was a faculty member with us at Vanderbilt and he is one of the country's leading investigators in translational research in heart disease, so we are really thrilled that he has come to join us.

We received news this past week that our emergency department was ranked fourth in the country by the University Hospitals Consortium in how effectively and efficiently it manages its patients in terms of when they arrive, how quickly they are seen, how quickly they are treated, and how quickly they are either discharged or admitted to the hospital. So great credit there to Mark Moseley, Doug Rund, and Tammy Moore, who is the head nurse in the E.D.

In closing, I wanted to update the Board on our search for the next dean of the College of Medicine. Provost Alutto and I are leading that effort. The search firm working with the search committee, chaired by Dr. Brueggemeier from the College of Pharmacy, identified over 50 candidates, they have narrowed that list to ten. Those individuals are being interviewed and three or four will be invited to campus within the next few weeks, and we hope to have the new dean of the College of Medicine named by July 1. So I appreciate the opportunity, and again thank you, Mr. Brass, for your leadership with the Medical Affairs Committee.

Mr. Brass:

Thank you, Dr. Gabbe, very much. Yesterday at the Medical Affairs Committee we had a robust agenda. Every once in a while you have to step back and think through what is happening, and at our Medical Center, we in fact have some very large items all coming together at about the same time which will begin to transform the Medical Center for the next decade or two. The first one I want to mention to you, it is a very large project, it is taking us from a manual system to a fully electronic system throughout everything we do: physicians, outpatients, inpatients, ambulatory, admitting, registration, and so on. The switch gets thrown in October, it is a huge switch, to be honest with you, it makes me a little nervous, but we are running parallel paths in everything else we are doing, checks after checks after checks, but we will be one of the few academic medical centers that will be fully electronic. The medical records, the pharmacy, the barcoding, everything there will go electronic. So, we will keep you posted on that. The staff has been working hard on that. There are 119 locations that will be impacted by this in October and we will bring up online a full patient portal where any patient that enters our system can get into our system, ask questions as it relates to their problem,

whatever that may be, and get information back out, and get information as it relates to their own record. I think this is a big deal. It is a real big deal, and we had a full presentation yesterday and I am happy to tell you that it is looking very good.

The next item we did was the medical center expansion scorecard and the bottom line on this is on time, on budget. The operating margin is 6.4%, up from 5.3%. The days cash on hand dropped four days to 56 days. It is supposed to be at 60 days, and the reason for that was we did not get the payment as it relates from the state until a couple of days after we closed the books, so we are right back up on days cash on hand where we are supposed to be. I have said before, this is something we watch very carefully because in order for us to make sure our medical center is in good financial state, we want to get that up to 100 days, so we are working hard and diligently at that.

The next item we talked about was the Medical Center Expansion Project, formally ProjectONE. We are now referring to it as the Medical Center Expansion Project. I am happy to tell you that as you know it is a \$1 billion project. We have released \$571 million to date, we have spent \$407 million through committed contracts to date, and we have \$164 million available at this point for additional contracts. Item number 22 is on the consent agenda, but we have decided to table it until the next meeting, and the reason is that we are going to fine-tune how much more cash we need to add to that so that in fact at the next Board meeting we will bring back a resolution for approval of monies for the next six months. I anticipate that to be about another \$160 million. We have \$40 million of circuit breakers. It is important for the Board to know that. We have not had to activate any of them. I am happy to tell you our contingency has grown from \$80 million to now \$90 million, and we have not had to touch that. I think the project is in good stead right now.

The next item is very, very exciting and that is Ohio State has received the largest grant in its history, it's a \$100 million grant to expand our cancer center program. It was awarded to one academic center in this country that happens to be The Ohio State University. Dr. Schuller headed up our team of 40 individuals to get this award to us. At the next Board meeting, Mr. Chairman, we will be bringing forward a recommendation to move forward with that project. I will be working very closely with my colleague, Ron, on facilities as it relates to the placement of another building. We will make this part of the Medical Center Expansion project which will take the project from \$1 billion to \$1.1 billion, and we will make sure that in fact we have the details worked out so that we can approve that at the appropriate point. This grant, just so you know, we are up against the best cancer centers in the country. It is now coming to our location here. So Dr. Gabbe, I do not know if Dave Schuller is with us, he was with us all day yesterday, but please extend to Dave Schuller and the other forwarding colleagues, our congratulations. I also want to recognize Jeff. What we did with this grant is we sent it over to Battelle for a peer review and to pull it apart and help us put it back together just to make sure that we had it structured correctly, and they were very helpful to us as a partner. So, Jeff, would you extend our thanks to your colleagues. Jeff, you were very much a part of our success.

The next item presented was the Medical Center Development Strategic plan. We finally have one that is going forward. As part of the major university plan, that I am sure Dr. Cloyd is going to talk

about, we anticipate being between 30% and 40% of that entire plan as part of the fund development program. Very specifically, we have challenges related to raising money for the Medical Center Expansion Project of \$75 million, \$56 million which in fact is to be raised by the end of this calendar year. We stand at \$34 million today; we have \$22 million to go. We have \$12 million of assets out on the streets, so we have our hands full to bring that \$22 million home by the end of this calendar year. The plan has been approved. Abigail Wexner, Jay Gerlach, and Cheryl Kreuger were sort of an outside advisory team as we develop this plan along with a lot of internal people, so I think we finally have a plan that lines up with our strategic plan at the Medical Center, it's aligned with the campaign at the University and I think we are off and running.

The next item, I mentioned this once before. This is called the entire Physician Integration Program where we will very soon be approving, at the next meeting, bringing the faculty of our Medical Center into Health Services of our University so the Faculty Practice Plan will be formed legally, it will be under the health services under Dr. Gabbe, and the beauty of this is with integration. We will now have all of our physicians, we will have all our hospitals and we have our medical school all under the same umbrella as it relates to programming, research, education and allocation of resources. This will be a big deal especially as it relates to the quality of care that we are going to be doing, our ability to recruit and retain and our ability to seek additional research funding. There are 680 physicians that will in fact begin to move July 1. January 1 all new physicians are signing contracts under this new protocol. So the process is started already, 680 physicians will start July 1, and I think we should anticipate that it is probably going to take a little time to get all of those worked out. These are big decisions for our faculty to move from their existing structure to this new structure. Dr. Chris Ellison has done a yeoman job bringing this together, including working with our friends in benefits, our friends at the state as it relates to the retirement program that they are currently under, and the structure of the contracts that are in fact suitable to faculty and suitable to our University. At the next meeting we anticipate a third resolution, Mr. Chairman, which will accomplish this task.

The two items that are on the consent agenda today, as consent agenda number three and consent agenda item number four. Number three is additional fine-tuning of our Bylaws. We are working through each of our hospitals to make sure our Bylaws are consistent. Remember we put up a new medical center just about a year and half ago, and now we are going back through making sure our terminology is correct and our officers and titles and all of those processes are correct. This Bylaw also puts an expedited credential and capability in play, so in between meetings of the Medical Center, if we need to bring additional staff on there is a process to expedite the credentialing process.

Consent agenda item number four is ratification of appointments for our chairs of our respective hospitals that are ready to be brought forward to our Board for approval.

Mr. Chair, unless there are some questions, that completes nine agenda items from the Medical Affairs Committee.

President Gee:

Could I just comment on one thing, because I just whispered to our chair that the integration of our physician practice plans, we cannot underscore how critical this is to the fast-forward movement of the University and its Medical Center. This has been something that has been 40 years in the making; in fact one of my predecessors lost his job over suggesting this. We had these conversations 20 years ago. Much has changed, but I really think that what has really happened is that there is extreme confidence in the leadership of the Medical Center and in Dr. Ellison's ability to be able to make this happen. It is a matter of making that leap as a matter of trust, and I just want to acknowledge Steve and Chris. I don't know if Chris is here or not, but clearly Dr. Ellison has done a magnificent job, but I think Dr. Gabbe really deserves a great deal of credit on this. In the end, this is so critical to our ability to integrate this magnificent University into one institution. I really cannot underscore that enough, so I am very proud of this moment.

Mr. Brass:

I could not concur more, Mr. President, and I would just say to you, under Dr. Gabbe's leadership, when it works it works, and it is working. It is just working.

Mr. Wexner:

Any other questions or comments?

Could I ask everyone just to stand up for a second? Take your right hand and your left hand and put them together.

Thank you. It is kind of symbolic, but I think it is important for the whole university family, clearly the Trustees, me, and Gordon, to really celebrate excellence and progress. The notion of a 40-year achievement in the practice plan, the surgery that was done, and a winner takes all competition by the Medical Center for \$100 million. We probably would have been elated years ago if we said we were entering the competition, and we came in fourth or third, but we have M.D. Anderson, Massachusetts General and Cleveland Clinic, great researchers, great students, great faculty, 90% of the best doctors in central Ohio at the University. It is really worth a standing ovation, probably for a longer duration, and I think also it sets a marker of what is excellence and what is possible in all parts of the University. This is a stunning success and a superb example of how better we can be in every single aspect of the University. Obviously basketball and football and all sports set a marker that we do not like to be number three or number two, and in the Medical Center I think it is an appropriate recognition and an appropriate challenge to all parts of the University of what is possible when you have dreams and you work your dreams. Steve, congratulations to you, Gordon, and everyone in the Medical Center; really well done!

You are sitting there modestly; do you want to say something? I do not want to put you on the spot.

Dr. Gabbe:

It is such a privilege to be here at this time with the leadership we have at the University, with our One University motto to take advantage of

these opportunities and we take these responsibilities very seriously. We hope to continue on this trajectory and bring you continued good news.

Mr. Wexner:

I thought we were just skating past something that was so historic, and you really have to pause and say, what happened. And the report was about nine championships and three super bowls all announced in one thing. It is just stunning, really stunning. A long time in the making, and hopefully begets success, I mean you have momentum which is a wonderful thing.

Bob, do you have an equally stimulating Audit and Compliance report.

Mr. Schottenstein:

Thank you for that introduction. If I could, I would.

I just want to echo what you said. Some of my report, both in regard to the Audit Committee meeting and also the Finance Committee meeting, we considered matters relating to the Medical Center and I will address those when they come up but really phenomenal progress and end results.

As far as the Audit and Compliance Committee goes we met yesterday. We dealt with three items; two of them appear on the consent agenda. The first of which was the acceptance of the Deloitte and Touche audit report for the fiscal year ending June 30, 2010. I am happy to report we received a clean report. We did have discussion about a number of items on the report and there was comment and reaction as appropriate by Mr. Chatas. I will note for the benefit of the members of the Board that this marks the end of Deloitte and Touche's contract. I believe it was a five year contract that they had with the University. By law, that needs to be re-bid and re-upped every so often, I think it is every five years. We are in the process of working to secure new independent accountants at this time and by the next meeting I suspect that will be in place. I think I would be remiss if I did not acknowledge the very strong professional work provided by Deloitte at least as long as I have been involved with the Audit Committee. We did receive a clean report as I said, and that will be on the consent agenda.

In addition, also on the consent agenda, we approved the formation of a new entity as part of the China Gateway project that Dr. Gee referred to during his remarks earlier. The formation of this entity and the work that it will do has been previously approved and endorsed by the Academic Affairs and Student Life Committee. It came before the Audit Committee for two reasons. One to technically approve the formation of the entity and also because, as I think everyone here knows, the Audit and Compliance Committee oversees the work of all affiliates and this will become a new affiliate. So the approval of this entity will be on the consent agenda.

Finally, because there will be a new affiliate form in connection with the Physicians Practice Group, that Mr. Brass was discussing just moments ago, since that affiliate will be created in the next coming weeks, and that will be coming before the Board at the next meeting. We received an update from Dr. Ellison and Dr. Gabbe concerning the

reasons for the creation of the entity, the benefits to be derived, which Mr. Brass just discussed, and how it will operate within the structure of the health system.

That concludes my report.

Mr. Wexner:

Any comments or questions?

Thank you.

Gil, Advancement.

Dr. Cloyd:

Thank you, Mr. Chairman. The Advancement Committee met yesterday. We had three agenda items, two of which are on the consent agenda. We also introduced another new non-trustee member to the Committee. Mr. Phil Duncan, who is the Global Design Officer of Procter and Gamble has agreed to come on the Committee and attended his first Committee meeting yesterday. He obviously will bring a wealth of personal and extended capability to help us as we move forward with the advancement model here at the University.

The advancement team, the team that has been working across the groups that comprise our advancement model, had previously shared with the Committee the goals for their work that were accepted in the financial and the engagement area and at this meeting they reviewed their proposed goals for awareness. The goals that they proposed are really based upon a fairly broad spectrum of research that they have conducted both with a number of external and internal groups, and are really designed to create a stronger awareness of Ohio State with key advocates. So we really think in terms of creating the right awareness, then getting it to the right level of engagement, and that will translate then to better philanthropic private support return for the University. So I think the team has done a nice job of tying this together.

The first thing that they propose in terms of the creation of awareness is to expand the number of national leaders who award high marks to Ohio State. You can think of these people as prominent business leaders, people that are in the media, so as we think around the country who are people that are real thought leaders and can provide an understanding of what Ohio State is. The goal that they have selected, and these are to be achieved by 2016, are to move from today where 39% of these key national leaders are aware of Ohio State to 50% and even maybe more importantly for those that give us a very favorable rating move from 24% today to 40% in that point in time.

The second goal area is really to triple the number of advocates and ambassadors that we have who are really equipped and motivated to reinforce the key accomplishments of the University. Today, and this is largely looking at our alumni now, and if we look at it today, we have about 10% who feel that they are really properly equipped and motivated to be able to advocate for the University, and we want to more than triple that to 35%.

The final awareness goal deals with Ohioans who are obviously a very important stakeholder group to this University, and we want to double the number of Ohioans who feel a strong connection to the University. We are at 22% today in our surveys, who feel they have a strong bond, and we want to take that to 44%.

So we had some good discussion on the goals. The Committee agreed with these goals that have been set forth, and we agreed with them with the understanding that as we did with the engagement goals that these are new areas for us. We strongly agree in principle that we are focusing on the right thing. The research and activities are going to continue, and as we get more learning we may want to tweak and modify these goals somewhat, but we felt very, very good on what the team proposed.

Dr. Sorensen presented our Development Report, and it was approved by the Committee and is on the consent agenda. We are really making very good progress this year and there are two things I want to highlight. Before Dr. Sorensen arrived on the scene we had established a goal of new fundraising we wanted to have this year of \$325 million, which is much, much higher than we had last year, which was probably very appropriate with someone of his stature and capability. I am happy to report that through the first half of the year we have brought in \$149 million, so we are at 49% of the goal, with half the time gone, and I think that is really very good, and importantly this is 20% ahead of where we were a year ago. Really some, I think, great progress in what continues to be a really difficult economic environment externally.

The other item that I want to highlight in the development report relates to Students First, Students Now. Through the end of the year we have raised \$96 million of the \$100 million goal that was set for Students First, Students Now, and that has us at 96% of the goal and only 80% of the time. So let me say more to come, and we expect continued good news there.

I would also just step back and let the Board know kind of where we stand in the overall planning that we have had around the campaign and what to expect. Recall we stepped back some time ago to really prepare ourselves for what we wanted to have in the future, to really increase annual total private support coming to the University. At that time President Gee helped shape a new kind of paradigm for us when he said; you know we cannot think in terms of campaigns anymore, this is a journey. So with that more expansive thought we went out and did some benchmarking. We wanted to look at the best of the best in the publics, not only to see where we rank and what they receive versus what we receive but also to gain learning, and I want to compliment Dr. Sorensen and his organization. I think they have done a wonderful job in that regard, so it was really worth the step-back. So certainly we will come forward with a development campaign goal in 2016, but more importantly we want to set ourselves on a track to where in the next ten years we can really become the top public university in total annual private support, which we think is very important to the mission of this University, and we are in the final stages of tweaking all of our strategies on that. I am getting a little ahead of perhaps where some people would be, but I am very confident that we are going to wind up with a strategy that we feel is stretching but fully sufficient, stretching but robust. I expect that by the April session we will be able to talk in more detail about our plans

going forward, but I just want the Board to know, first of all I really compliment Dr. Sorensen and the people in the development organization for the fine work they have done. I think moving toward the more stretching vision of where we ultimately want to be, to be the top public, is going to allow us to proceed in a more aggressive fashion, and is something that is doable that I think is going to really benefit this University.

I just want to highlight in that regard, and the final comment on the development aspect, I really appreciated the role modeling that this entire Board has been doing in terms of the guidelines that they have adopted, the way the Board is stepping up in a very different manner that was done in the past to help philanthropically both in terms of personal giving, but also in terms of giving, and as we talk this week, I have appreciated that Mr. Schottenstein has asked us to step up and be a real leader in terms of contributions for the big July 12 event with the Royal Shakespeare Company in New York City, and I think it is another example of how this Board is role modeling and I deeply appreciate it and thank everyone for what is required in this day in age.

The final item that we had, which is also on the consent agenda, entailed reviewing the progress of the advancement model over the last year. This was not a \$100 million grant, Mr. Chairman, but the progress that has been made by the three organizations, Dr. Sorensen, Mr. Katzenmeyer and Mr. Griffin is just phenomenal. Because universities tend to be known for having very strong silos, being excessively bureaucratic, I am not saying that characterizes Ohio State, but if you ask most people, that is what they would think about universities. When you say in a 12 month period of time, can you transform the University in terms of how it thinks toward an advancement model, most people would say that is pretty hard to do. The Advancement Transition Team has really accomplished that. I am going to ask our Vice Chairman, Linda Kass, who really has been a remarkable leader from the Board standpoint, leading the transition team to just present some of the highlights of what this team has accomplished in this last year, and then you will see in our consent agenda that we do have a resolution which really endorses the work that has been done and to me what is very important is the Board recognizing now that we are no longer in transition teams, committee stage, we have now reached the point to where we have an advancement model truly embedded in this University and the President can just go forward and take the kinds of actions we need. I know there is still more work to be done, we do now have an advancement model at Ohio State, which we will just further refine and improve going forward. Let me close my part of the report with just a deep personal thanks to you, Linda. Your leadership has just been outstanding here and you deserve a lot of the credit for this accomplishment.

Ms. Kass:

Thank you, Dr. Cloyd. As you mentioned at our committee meeting we did have a report that gave us the year in review and as we celebrate today the end of the successful transition year of progress and developing this core infrastructure necessary to really achieve an integrated advancement model at The Ohio State University, I would like to go through that year real briefly with you. Re-cap some history and share some key milestones in this noteworthy campus wide effort. The advancement graphic, you may have seen it before on the screen,

captures the complex concept that was derived from Dr. Gee's One University model of harnessing the functions of alumni relations, marketing and communications and development across the entire University to more deeply engage broader audiences. So advancement is really a horizontal and a vertical integration that has its beginnings in 2008 when our president appointed a task force to examine the potential for greater organizational alignment around relationships with alumni and friends of Ohio State - in essence, to enable the University to develop a modern, sophisticated advancement strategy. As you know from September 2008 through December 2009, two different task forces conducted research and made recommendations on how best to align all applicable units of Ohio State and OSU Alumni Association so that a comprehensive alumni engagement and relationship model could be developed and implemented. As part of the taskforce effort numerous universities were researched, 16 in-depth, so we could learn from their models. Site visits were made at Stanford University and the University of Washington. Key findings from all of these university advancement programs were incorporated in ten advancement principles that have guided the University's work. Following the final task force report in December 2009, you may recall that OSU Alumni Association unanimously passed a resolution in support of alumni alignment with the University. In January 2010, this Board unanimously supported that alignment at our meeting exactly one year ago. The agreement was formalized through a vote of the Alumni Association membership in April, 2010.

So last February Dr. Gee asked me to chair the University Advancement Steering Committee made up of David Frantz, Archie Griffin, Tom Katzenmeyer, and then Peter Weiler and now Dr. Andy Sorensen, to guide deliberations toward a possible operational transition to this new advancement model. We met monthly. Brian Hastings from Development, Ginny Trethewey from the Alumni Association and Melinda Swan from Marketing were assigned to serve as co-chairs of what became a 20 member implementation team to launch this initiative. This core team included individuals from Academic Affairs, Athletics, Business and Finance, Enrollment Services, Human Resources, the Office of the CIO, the Medical Center and Student Life. This core group operated in somewhat of a volunteering manner across the University, building a foundation that yet had no roof as it was said yesterday. The implementation team went from the big picture to focusing on four concrete areas drawn from the original ten advancement principles I mentioned earlier. These four focal areas addressed were culture, engagement continuum, a unified and efficient core infrastructure and messaging. Drilling down from these four areas, the implementation team mobilized an additional 70 of their advancement colleagues into action teams and work groups across the University to build alliances and collaborations and shared goals where siloed functions have traditionally existed. They created opportunities for colleagues to get to know each other and challenged them to think in different ways and work in different teams. They established quarterly meetings for chief advancement officers and semi-annual gatherings of all advancement staff. To promote greater interaction and dialogue across departmental lines and traditional specific areas or silos, they utilized a social media tool called Yammer and to bring transparency they launched an advancement website and created an advancement newsletter. As Dr. Cloyd characterized their work in our Advancement Committee yesterday, they were molding wet clay. This was a process that

evolved but was approached with remarkable spirit and determination. These working groups conducted regular meetings and operated with tight turn around deadlines.

Let me share some key milestones the transition advancement team implemented just over the last 12 months. They have built a growing University wide culture around an advancement model with new teams naturally developing and working together across the University. They set specific goals for this work, as Dr. Cloyd referred, grouped as financial engagement and awareness having past the goals at this last meeting, all have been approved by the Board Advancement Committee.

Staff surveys have been conducted and comprehensive market research studies using data to guide decisions and messaging, programs and approaches that are more relevant, more impactful, powerful and that create increased engagement and awareness. Preliminary planning is under way for example for an alumni career network and enhanced regional outreach. Out of the comprehensive market research, four themes resonated with all audiences tested, Ohio State's research prowess, its educational value, access in diversity and economic impact. Another example is at your seats right now of how data is informing messaging and it is focused on two of those themes, education value and economic impact as it includes the fact that Ohio State graduates ranked 12th, and this is at your seat here, nationwide in a *Wall Street Journal* survey of top 25 recruiter picks. Specific milestone expectations of engagement are being mapped from pre-enrollment at OSU to post-retirement. The team is recommending a new more inclusive Alumni Association membership model and that discussion will begin with the Alumni Association board next week. They have built a unified core infrastructure of data, people and resources that had not existed, key steps have been taken to align human resource and financial systems to be more consistent and efficient and in the last 60 days the Alumni Association and University Development have announced their joint decision to bring their systems together in the new black bowed system planned to go live this summer. That decision grew out of the work of the common information source advancement action team, a great example of how these action teams have worked together to tackle complex problems. An integrated strategic plan for advancement and a leadership structure will be presented to the Board Advancement Committee at its April meeting.

In closing, I would like to say that Andy, Archie, and Tom, at the senior administrative level, and Brian, Melinda, and Ginny, as the hands on managing co-chairs of the implementation team, have modeled a collaborative partnership. The remarkable progress and spirit around this advancement journey flowed naturally from their leadership. All those involved worked under tight deadlines, setting goals and moving forward with speed and enthusiasm. The teamwork and leadership, connected vision and hard work displayed by the leaders, the implementation team, the working groups and action teams break any notion, as Dr. Cloyd said, about bureaucracy or inflexibility at a place the size of this University. We celebrate their work today and know that their discovery is ongoing. Thank you, Mr. Chairman, thank you, Dr. Cloyd.

Mr. Wexner:

Questions or comments?

Mr. Kellogg:

I would like to make a comment. As someone who served recently on the Alumni Association Board, to see this type of progress made, and to get to this point after it was something that we grappled with all of the time, is quite fulfilling and exciting. To see what was talked about in yesterday's meeting and to see the fruition of all of that work and struggle and wrestling come to this place, obviously there is much more work to be done and more to be accomplished on this journey, but to see it get to this point is really, really gratifying and satisfying for someone who just came off of the Alumni Association Board. I would offer my commendation to everybody. It is extremely exciting to see that and know what the potential and an opportunity is as we go forward.

Dr. Gee:

You know I was thinking about this. We celebrated today the 40 or 50 year point of progress that we made with the integration of our physicians plan and effort, this is 137 years. Our Alumni Association has been an independent entity of this University and existed in support of the University, but it has been totally independent for 137 years, so one cannot say that we came to this lightly, nor did we come to it easily, but nonetheless. I will make a note about this, we have had two great agents of change and help, Clark was on the board when we really made this proposal and so having him here and having him be part of this conversation is both affirming and helpful. Jack Fisher and I spent a lot of time talking about this because Jack was on the Board at some time so he really gave insight. Archie Griffin, the president of our Alumni Association and senior vice president, has been just really terrific, Ginny Trethewey, Tom Katzenmeyer, Peter before, and obviously Andy, with his strong arm and strong ability, has made an enormous difference. Dr. Cloyd, as we well know, takes no prisoners and for that we are very grateful, and Linda is a tenacious warrior, really so. A year ago, taking on this task was a little bit like hand to hand combat, potentially, but instead it turned out to be roses and love and petals, and so we are grateful to you for your leadership really.

Ms. Swain:

It has been exciting being a member of the Committee and seeing this team formed, and now we have the ambitious goals formed. I am curious, Dr. Cloyd, what do you see as the main focus of the Committee going forward and the direction it is going to take or goals it has now that the goals have been set.

Dr. Cloyd:

That is a good question Alex. I think they are probably going to really cover two general areas; one will be input because there are folks on the Board that can help. A lot of what we have got to do now, as we think about awareness and engagement is a marketing activity. It is going to be a, if you will, segmented marketing activity, something that is new to the University to approach in this way. So if I think over the next 12 to 18 months, a lot of what the Committee will be trying to do is, individually or where they can outreach, be helpful to the

advancement groups within the University that will be fully developing these segmented marketing campaigns, best vehicles, best ways for us to create an awareness and a true sense of engagement with the stakeholder community that we want.

The second broad area that the Advancement Committee will be paying a lot of attention to, and I think also taking an active role in, is we do anticipate later this year we will be moving forward in a much more comprehensive and externally directed way around a development campaign, whether we think in terms of our journey goal or where we want to be in 2016. Over the next 18 months there is going to be a tremendous amount of work there. So I think those two areas, and then obviously wherever we can be helpful as they continue to have to do some things internally within the University, if the community can be helpful in breaking some barriers or helping, we will. The surprising thing to me to date is they have really brought no significant barriers. The people that have been involved here are just marvelously entrepreneurial in figuring out ways that they can do things, they share resources, how do they put things together, and I just support what Linda said, I mean kudos to the leaders of the three organizations involved, and kudos to all the people that have been working the subject.

Mr. Wexner:

From my view this is one of the major strategic pillars of change and activity for the University, and we began as a Board thinking about this, I think four or five years ago, most recently the Board knows this, I am really speaking to the public. I hope there are reporters listening. Harvard reorganized itself after 316 or 317 years and they came to the amazing conclusion that alumni relations and advancement and development were a board responsibility. It was just not something that happened on the periphery. Most recently the credit rating agencies have adopted the standards of inquiry about board activities of publics and privates, and I think very fairly saying, does the Board take responsibility for advancement, which re-casts alumni relations and communications and marketing and development, and puts it in a more modern term. So I think we have been insightful, I think if we are successful in financial terms, this advancement model should produce in the near term about 10% of our budget and then go beyond because I think it is just the modern smart way for universities to think. It begins with alumni, at least the way I think about it, you begin with alumni, but before people are alums, they are students who develop feelings and affection, hopefully positive ones for their alma mater, and hopefully those positive feelings also go to their family and their friends and their neighbors, wherever they are now around the world. It also goes to faculty and their friends and their family and how they feel about the University that their careers are attached to, and then it spreads out to supporters and benefactors, business, government, so that we have a consistent message of influence, and we can measure it in the strength of our reputation, but we can also measure it in terms of financial results. The advancement and development model, at least in our collective minds, has something to do with the federal government on merit, giving us \$100 million. We have to remember to tell people it happened because that is also part of the advancement and communications model that we are successful. We would not forget to tell the world, and we do not forget to tell the world when we win national championships, and when we win national championships in government grants and in faculty and in student achievement we

have to tell the world. I think this whole recasting and thinking about communication and alumni relations and development, it is one thing, and it has to be handled very smartly into the future. We are making great progress. I come back to the beginning, we began thinking and working on this four or five years ago, ahead of Harvard and ahead of the credit rating agencies, and I think we are really getting some traction but this is a strategic imperative for the success of the University.

Mr. Schottenstein:

I would like to make a comment on that, and I said this yesterday, and I know I am repeating myself to this group, but I want to. I really applaud what we have done in terms of the reorganization and creation of this Advancement Committee, a lot of which has been about the how. I think it is hard to get to the "what" if you do not have the how right, and I think that we have the how right. To answer your question Alex, if I could try, this is like football to me. No one in this room would accept the second place finish in football, and if everyone in this room is unwilling to accept a second place finish in development, alumni giving, alumni support, and Board support; we will win. We have to have that mindset. We can have the greatest structure in the world, but if we do not have that mindset, we will not get to where we need to be. We can cry about state support, we can cry about the economy, and we can whine about whatever we want that we have no control over or at least little control over. We control this issue, or at least we have a lot more control over this issue than almost any other external issue that impacts our source of revenue and our ability to succeed.

If Ohio State decides that it wants to lead the country, not just in certain areas, but in this, we will. The tone at the top is going to determine our success. I am very excited about it. I know I sound a little like a zealot but I think we have to be zealots. There is no time to sit back and say next year, and I just think it is great the work you are doing, Gil and Linda, and all others involved, and the Board I think is really ready to stack hands, and I think this is going to take all 470,000. No one can beat us if we do it, so we have got to do it.

Mr. Wexner:

Bob, that is well said, and it is a cultural shift not only for our Board and for what we do, but it also drives down to the various boards of the University. I started championing this at the arts center board about a year ago. This is a development communications relations with the outside world as a function of the trustees of the arts center. It is not a staff function, it is not a committee function, it is the work we all have to do, and yes there is a committee, but we all share in the responsibility. It is a small victory but the arts center got a \$780 thousand grant from the Mellon Foundation. This is the first time the Mellon Foundation has ever given an interdisciplinary art institution a grant, and I am convinced in my own mind that it would not have happened if it did not become an issue that people are saying, how are we doing, where can we find new sources of supporters. Now the Mellon Foundation are not alums of the University, but they have obviously now become supporters and allied with what we are doing, unprecedented support from an institution that has no nexus of any connection to the University, except they respect the work that the University does in its arts center. I think as we drive this down through the business school

and every college and every supportive board of the University, we are just going to unlock an enormous amount of energy, but it begins around this table in this room. Clearly if it is not our priority and we do not see the power and the influence we have to make these things a priority than it does not drive down to all the supporting boards across the University where there is incredible resource and power. We are at the beginning of the beginning, but clearly the objective is to be number one. I would be happy if Washington and Oregon and other schools and other institutions were coming here to find out why and how we are so good at what we do. That is just great progress.

If I can move along, Ron, can I ask you to talk about the exciting physical environment we have and the improvements.

Mr. Ratner:

As usual one of the wonderful things at these Board meetings is always being able to take the credit for everything that everybody else does. While I know that the Board leadership is significant, the depth of leadership we have across the University and in various staff and administrators as well as faculty areas is extraordinary.

We did get a report yesterday at the Physical Environment Committee from Lynn Readey who reported on the annual construction report. It is really daunting when you think about the amount of work that goes on, on our campus. Over the year there were actually 1,266 individual projects that were underway in terms of effecting our physical environment. We ended up with about \$244 million of expenditures. That has been very consistent over the last four or five years; it has been at about that \$250 million range. It is a staggering amount of money that we spend, basically year in and year out. Obviously it would be affected by major projects as the Medical Center Expansion really begins to show itself in terms of those numbers, they will go up a bit over the next couple of years, but that is a huge amount of money, significant expenditures, and a broad range of projects. About 77% of those projects and about 7.5% of the cost were under \$.5 million. A staggering amount of projects affect virtually every element of the University, most of them by the way by count are reconstruction projects, getting into our facilities and really rebuilding them and maintaining them. We now obviously have underway 423 projects at \$1.8 billion, and obviously that is effective of the Medical Center Expansion coming through there.

We celebrated some great openings during the year - Lane garage and the SAS, the Ohio Union, significant new facilities. Then we have a bunch of stuff underway. Clearly the Medical Center Expansion is the major beast in there, and Alan has already reported on that. We also have the south residential district and it is critical that we understand that this is now not a series of individuals projects, we are really thinking about districts, about the entire campus, about how one project in effect impacts on another, but this includes both the expansion and additional units in the south residences high-rises themselves, as well as a remodeling and rebuilding of almost 2,000 units there. Then the Hall Complex and the remodeling of Kennedy Commons, I will talk more about that in terms of the framework because that project fits very well into the whole re-thought campus plan that we now call the framework. Then a project that nobody is going to get really excited about, the 17th Avenue district plan which is basically the rebuilding of the underground roads and underground

utilities. As mentioned this morning in the Committee meetings, as well as yesterday, we have something like nine miles of underground tunnels that provide all of our underground utilities. Again what is critical is that not only are we doing this project that we need to do, it is just sort of a background project that has to happen. We are doing integrated civic infrastructure so as we rebuild the road structure, and we rebuild the utility structure of the campus, we are also taking a look and thinking about the way that appears at the surface level. So if we look at that entire district of campus, the ladder streets that really form the core of our academic campus here, that area will now have a completely revitalized appearance. We have across that district now a consistent landscape initiative. We have created consistent landscape standards so that as we are looking at that you will begin to really understand that this is one Ohio State, not just underground if you will, and not just in terms of the way we organize throughout our University but in the way we appear both to ourselves and to others. That led right from that extraordinary report, and I think as a Board a real thank you to the entire group of extraordinary staff members who make up FOD and really get all this accomplished.

We then went into a report on the framework plan, an update of where we are at with the framework plan which we as a Board adopted last year, and Terry Foegler, who is new to the University, has really done a remarkable job. Sometimes when people come back they actually bring additional strength and depth to the University. We have leadership that shows us how that is done and Terry is following in those footsteps, and Molly Ranz, who is just extraordinary and really understands the nature, particularly of our residential facilities. And we got a report on the update of the framework plan, that whole academic core north, the chemical and engineering and chemistry building. As we are siting that building we are not just looking at the individual building, we are looking at a district, we are looking at how it effects other buildings, we are looking at how it really helps to reestablish the public and civic infrastructure, it is critical that we do that. Terry reported on a whole series of new initiatives. We are looking seriously at way finding, we will have more to tell you about that at the April meeting, again that integrated landscape plan. We are really taking a hard look again at our parking and transportation systems. We have a lot to do on that but we are beginning to make real progress there. Then we are developing an integrated capital planning model, so not only are you looking at the physical development in these individual buildings, but we are looking at how all the capital planning needs to be looked at, not just as an individual annual budget process, but as an integrated planning model. That will become very important as the Board proceeds with the strategic plan so that we can then really support that strategic plan and understand that every time we think about an area of the campus, an area of activity, we need to think about the physical facility supportive and the integrated financial planning for that.

Obviously we are doing a major restudy of two of the other residential districts. I mentioned that we are underway on the south residential district which I think will be transformative. It is going to be exciting - that construction by the way also includes an initiative in geothermal. Half of the energy for the new and remodeled residence halls will come from geothermal sources. To date we have drilled 80 of I think 460 wells. We brought up a lot of water. I was hopeful we would find oil; we have not done that yet. I don't think we really will but there is always hope. It is in fact oil of a different sort literally. Into the future,

50% of the energy will be coming from effective geothermal source for which we will pay nothing. That is a tremendous advantage for us and it is a way forward I think in terms of thinking about sustainability on campus. We are also then looking at how we transform both the river and the north residential districts, and we have begun a very significant study there with the support of virtually the entire residence life group here, to understand what student life needs to be, again trying to make sure that we find a way to execute Dr. Gee's vision of having freshman and sophomores all living on campus and where should they be living, and how should they be living, and what kind of facilities, again so we can come back, integrate that with the financial plan, integrate that with the academic plan, how do we rethink living and learning environments, all that is being brought together in an integrated fashion. Teams from across the campus are working and thinking about how that should happen.

The last comment is I think we have made some very real progress recently. Nothing that we can report formally to the Board, but I think we will be doing that shortly, and we have possibly identified some sources of funding that would help us advance the Cannon Drive reconstruction. If we can get that done and we can get that done in a shorter timeframe, we can open up land that would allow us to develop 3,500,000 square feet of additional space, improve our traffic planning significantly both in the Medical Center and throughout the campus, and again with Terry's help we have begun to see some light at the end of the tunnel, if you will in terms of some of the funding we are going to need for that. I hope that we can come back very quickly and report that we have made real progress there because that is going to be a significant part of our work going forward.

As an active meeting, I think it is a very significant agenda of items. Much of this is going to be continuing work in progress but I am very excited with where we are at and the degree to which the framework plan is really informing what we are doing, and I think that the physical changes in every area of the campus are now being formed by a thoughtful one and deliberative process. That is my report and I do think we have a couple of items on the consent agenda, but they are pretty self-evident.

Mr. Wexner:

I forgot the number, your report was so full, but the total cap backs, if you would, this year or projects underway was?

Mr. Ratner:

We are underway on \$1.8 billion and during this year we completed, or we spent \$243 million. Just so you know this University spends a lot of money, and we are now doing it in a very intelligent and focused way.

Mr. Wexner:

I think that the notion of what goes into repairs and maintenance and new buildings, looking forward on average, you are probably looking at the magnitude of \$500 million a year at minimum and the notion of this being a Board responsibility, and you are talking about \$5 billion in a decade. That is significant in the sense of place and issues of environment, transportation and the environment for students, for faculty, for visitors. This is a significant change also in the University

where the facilities were looked at in a more strategic way, and bringing in more talented people, and it becoming an issue for the whole Board not just a construction report based project by project.

Mr. Ratner:

One of the other things, the whole notion of One University, which was Dr. Gee's dream and statement, if you look at that you look at the way we are doing things now. It is not that this was not done well before, but now we are doing it in a much broader sense of understanding of what we are as One University. Just as an example, in the south residence project, we really have what would be three separate projects, each of them with their own budget. But then we started looking at the overall south residence district so now we have combined all of the budgets for landscape and public improvements and you will see a very significant difference in that area. Again working with our traffic and parking folks, we would have to eliminate a parking lot, which now would become open space, and it would help to link those buildings together and make a great additional campus outdoor space that would be able to be a focus of energy there. Again, every series of separate activities that are now being looked at in an integrated way so that you bring all that energy together, with the money that we probably would have spent any way, we are now going to have a much, much better result, and I think Les, that is the kind of thing that we are now beginning to see, and we are seeing the impact of it, and it is a very significant change in the way we think about ourselves.

Mr. Wexner:

It is intangible, I think probably everybody, whether it is driving to work or in your neighborhood, you see a piece of the road that gets dug up and it is the sewer department, then they put the road back and then three weeks later you see them digging up the road and it is the wiring department or the electrical department and then the highway department comes in and recuts the curbs then somebody comes along and does the landscaping, and you think why did they not just get together and make it one project. Why are they digging the same damn holes three times? When Ron talks about looking at what goes underground and what goes over-ground, and integrating it into a master plan at the University, it comes out in a few quick sentences, but that is big stuff to deal with and have the overview and the control of all of these projects, and then the insight to bundle them, which has to make things better financially, environmentally better in terms of less disruption. So this is again a very important thing for us to have ahold of.

Ron, thank you. Judge Marbley.

Judge Marbley:

Mr. Chairman, we began the Academic Affairs and Student Life Committee, which met today, with validating data on our sustained trajectory from excellence to eminence. We have 29,000 applications for 6,700 spots. That says a lot about how we are perceived by students nationwide and internationally. It is up 10% from last year, the quality of the student body has remained constant and has continued to improve as you recall for the last five years, each class has been better than its preceding class in terms of its ACT, SAT and

GPA's, and we are also up in terms of our diversity profile as well. We are doing a lot of things right here at the University.

We also discussed and began with the endorsement of a resolution authorizing the Office of Human Resources to make a separation incentive and phased retirement program available to colleges and vice presidential units. Vice President Larry Lewellen explained this program of voluntary separation incentives and how it was intended for use on a limited time basis to provide flexibility in three areas: administrative streamlining, the exit timing of retirement-eligible faculty and staff, and third, reducing costs or redirecting resources to promote efficiencies or meet programmatic goals. The program applies to regular tenured faculty and staff and includes such options as cash lump-sum payments and phased retirement for those tenured faculty and staff who meet the eligibility requirements.

The committee then heard from Vice President Javaune Adams-Gaston, (Dr. J.), who explained how her office helps engage students for success both at Ohio State and post-graduation. The path to success includes what Dr. J. called transformational student experiences including internships which are identified as the most important career-related experiences, and participating in student organizations. The data demonstrated that students who participate in student organization have 10% higher grade point averages (GPA), and their GPAs hover around 3.4 and the average GPA on campus is 3.1 and 50% of our students are involved in some type of student organization. The path to success is also based on a positive climate of diversity. 65% of Ohio State students believe the University provides an overall positive climate for diversity and 80% of minority students feel that they belong to The Ohio State University community. Enhanced learning environments likewise promote students' success according to Dr. J.'s report. Thanks to the programs of our University residences, 57% of students develop better study skills and 72% understand that their level of wellness affects their personal and social success. Dr. Adams-Gaston pointed out that 80% of our students use the recreational sports facilities including 70 acres of outdoor recreation opportunities and six major Columbus campus facilities.

Next we heard from Dr. William Brustein who briefed the Committee on Ohio State's ongoing globalization initiatives. Our plan for globalizing the University is founded in two overarching international strategies: global gateways and the internationalization of the student experience.

Our global gateways will enable Ohio State to build upon faculty research partnerships, scout locations from study abroad and recruit international students. We also believe that it will establish the executive training programs, network with alumni, and partner with Ohio based companies. The China gateway in Shanghai is now well established and poised to launch a number of revenue generating programs. The next gateways are likely to be located in Mumbai and Sao Paulo.

Globalization is also taking place as student experiences further internationalize. We will now have a program which will enable our first and second year students to spend a month abroad. It will have 50 students who will participate in the first wave of programs and then we hope to increase that over time. The difference being that we already have programs which allow junior and seniors to study abroad for a semester, but we are going to now have something I believe that

they call May-mester. May-mester, the students will get to spend the month of May, and that might inform them as freshman or sophomores as to what they may want to consider majoring in as upper level students and what they may want to take advantage of in terms of study abroad in their junior or senior year.

The international content of many courses is being expanded and new courses on global issues are also being developed in connection with our global initiatives. Student opportunities for education abroad are being widened and a global option certificate program which would provide global enrichment within the major is under consideration and review. The primary goal of all of these initiatives is to ensure that when our students graduate from The Ohio State University, they leave us as globally competent citizens.

We next endorsed amendments to the Rules of the University Faculty, the merger of the Department of Plant Cellular and Molecular Biology and the Department of Molecular Genetics to become the Department of Molecular Genetics. The establishment of a Master's of Mathematical Sciences degree program, the establishment of the Department of Microbial Infection and Immunity. The naming of the Marci and Bill Ingram Comprehensive Center of Autism Spectrum Disorders, the naming of the Center for Mathematics and Science Education Policy, the renaming of the Jones Graduate Tower, and the naming of internal spaces.

After also endorsing a number of routine personnel actions, the committee went into executive session.

Mr. Chairman that concludes my report.

Mr. Wexner:

Thank you, Monte. Any questions?

Bob.

Mr. Schottenstein:

Thank you, Mr. Chairman. The Finance Committee met this morning. We had a number of items on the agenda beginning with the mid-year financial report, which consisted of a presentation by Mr. Chatas and happy to report that in most areas our financial performance is trending in the right direction - good progress with enrollment. The Medical Center, as Mr. Brass alluded to earlier, very strong performance through the first six months. When it comes to state support I think that the word that Mr. Chatas appropriately used was uncertainty. This is a time of uncertainty, not just with regard to matters pertaining to the 2012 budget but also the final payment for fiscal year 2011. We continue to obviously watch that and work with the state.

Our investments performed remarkably well. In fact it is worthy to note that we won. We had the highest return of any endowment of our size in the United States. Certainly, Mr. Jonathan Hook and others deserve great credit for the progress and work that we have made in managing the endowment and other cash reserves. There is an Investment Advisory Committee, led by Marty Murrer, who serves on the Foundation Board and is one of our esteemed alums who lives in New York City. That Investment Advisory Committee meets quarterly and

they have done really good work. I do want to mention, before I go into the next item on the agenda, that one of the things that our Committee has begun to look at is how we can best function in terms of the quality of our agenda, the quality of the reports that we review, and we have set out to sort of repurpose the way in which we operate. Between now and the next Board meeting we hope to finalize that work. I want to acknowledge particularly the work of Mr. Jurgensen, who along with Mr. Chatas, has been very helpful in, I think, providing focus to the kind of financial reports and tools that we want to look at on a regular basis to not just know where we stand but what lies ahead.

Other items that we considered on the agenda today, we received an update on construction projects from Lynn Readey. You have already heard what that report was about from Ron Ratner through the Physical Environment Committee. We had the annual report from Mr. Chatas concerning waivers on competitive bidding, and then there were several items which will appear on the consent agenda. First was the authorization to enter into design and construction contracts which is item number 21 on the consent agenda. Like the Medical Affairs Committee, we voted to table the release of any further funds for the Medical Center Expansion for the reasons that were discussed earlier. We had a very interesting and good discussion concerning the separation incentive which Judge Marbley just discussed a few moments ago, over the next three or four years, I think that 45% or some very significant percentage of our faculty and staff will reach retirement. In the name of streamlining and restructuring and cost savings, a plan has been proposed where by separation incentives will be made available to the various colleges and units. That plan has been endorsed by Academic Affairs, certainly the Provost is recommending its passage as well as the Chief Financial Officer of the University and the Finance Committee approved it as well and that also appears on the consent agenda. Finally, we approved the ratification of certain amendments to the University's retirement plan which is item 25 on the consent agenda. That concludes my report.

CONSENT AGENDA

Dr. Gee:

Today we have a total of 25 resolutions on the consent agenda. We will be taking a separate vote on items number 11 and 17. We will table item 22 as requested by Mr. Brass, and approve the change to item 21, therefore we are seeking the approval for the following:

RATIFICATION OF COMMITTEE APPOINTMENTS 2010- 2011

Resolution No. 2011-34

BE IT RESOLVED, That the ratification of Committee appointments for 2010-11 are as follows:

Academic Affairs and Student Life Committee:

Algenon L. Marbley, Chair
Douglas G. Borrer, Vice Chair
Brian K. Hicks
Linda S. Kass
Janet B. Reid
Clark C. Kellogg
Brandon N. Mitchell

G. Gilbert Cloyd (Charter Trustee)
Robert M. Duncan
David G. Horn (Faculty Member)
Leslie H. Wexner (ex officio)

Advancement Committee

G. Gilbert Cloyd, Chair
(Charter Trustee)
Linda S. Kass, Vice Chair
Douglas G. Borrer
Brian K. Hicks
Clark C. Kellogg
Alexis L. Swain
John W. Kessler

PHIL DUNCAN

Michael Clark
(Alumni Assn Member)
Donald H. Schriver
(Alumni Assn Member)
John B. Gerlach
(Foundation Brd Member)
David T. Kollat
(Foundation Brd Member)
Leslie H. Wexner (ex officio)

Medical Affairs Committee:

Alan W. Brass, Chair
Jeffrey Wadsworth, Vice Chair
Brian K. Hicks
Robert H. Schottenstein
Algenon L. Marbley
Janet B. Reid
Leslie H. Wexner (ex officio)

Physical Environment Committee:

Ronald A. Ratner, Chair
Douglas G. Borrer, Vice Chair
Walden W. O'Dell
William G. Jurgensen
Clark C. Kellogg
Brandon N. Mitchell
Leslie H. Wexner (ex officio)

Agricultural Affairs Committee:

John C. Fisher, Chair
~~Robert Boggs, Vice Chair~~
(ex officio)
William G. Jurgensen, Vice Chair
Brandon N. Mitchell
JAMES J. ZEHRINGER
(ex officio)
Leslie H. Wexner (ex officio)

Audit and Compliance Committee:

Robert H. Schottenstein, Chair
John C. Fisher, Vice Chair
Ronald A. Ratner
Algenon L. Marbley
William G. Jurgensen
James Bachmann
James Gilmour
Leslie H. Wexner (ex officio)

Committee on Trusteeship:

Alex Shumate, Chair
Janet B. Reid, Vice Chair
John C. Fisher
Linda S. Kass
Alexis L. Swain
G. Gilbert Cloyd (Charter Trustee)
Leslie H. Wexner (ex officio)

Finance Committee:

Robert H. Schottenstein, Chair
Alan W. Brass, Vice Chair
Alex Shumate
Walden W. O'Dell
John C. Fisher
Ronald A. Ratner
William G. Jurgensen
Jeffrey Wadsworth
Alexis L. Swain
Jo Ann Davidson
Leslie H. Wexner (ex officio)

DISTINGUISHED SERVICE AWARDS

Resolution No. 2011-35

Synopsis: Approval of the University's Distinguished Service Awards is proposed.

WHEREAS the Committee on Distinguished Service Awards nominated and recommended the following list of names for approval by the Board of Trustees to receive the Distinguished Service Award at a time convenient to the University and the recipient:

- Marci S. and E.W. "Bill" Ingram III
- William J. Lhota
- Tamala "Tami" Longaberger
- Randall B. Ripley
- Barbara R. Snyder
- Elizabeth J. Watters
- Joel M. Weaver

WHEREAS these awards are given in recognition of distinguished service to The Ohio State University and the awards are in accordance with action taken by the Board of Trustees in 1952:

NOW THEREFORE

BE IT RESOLVED, That the Distinguished Service Awards be approved for awarding as designated above.

AMENDMENTS TO THE BYLAWS AND THE RULES AND REGULATIONS OF THE MEDICAL STAFF OF THE ARTHUR G. JAMES CANCER HOSPITAL AND RICHARD J. SOLOVE RESEARCH INSTITUTE

Resolution No. 2011-36

Synopsis: The amendments to the Bylaws of the Medical Staff and *Rules and Regulations of the Medical Staff* of the Arthur G. James Cancer Hospital and Richard J. Solove Research Institute are recommended for approval.

WHEREAS the Professional Affairs Committee, pursuant to Bylaw 3335-97-02 of The Ohio State University Medical Center Board, is authorized to recommend to the Medical Center Board the adoption of the amendments to the Bylaws of the Medical Staff and Rules and Regulations of the Medical Staff of the Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; and

WHEREAS the proposed amendments to the Bylaws of the Medical Staff and Rules and Regulations of the Medical Staff of the Arthur G. James Cancer Hospital and Richard J. Solove Research Institute were approved by the Medical Center Board on December 16, 2010:

NOW THEREFORE

BE IT RESOLVED, That the attached Bylaws of the Medical Staff and Rules and Regulations of the Medical Staff of the Arthur G. James Cancer Hospital and Richard J. Solove Research Institute are hereby adopted effective immediately.

(See Appendix XIX for background information, page 499.)

**RATIFICATION OF APPOINTMENTS TO THE
MEDICAL CENTER BOARDS**

Resolution No. 2011-37

Synopsis: Ratification of appointments to the Medical Center boards is proposed.

WHEREAS in June 2009, the Board of Trustees authorized the president of the University to take actions necessary and appropriate to appoint members to the Medical Center Board, the University Hospital Board, the University Hospital East Board, the OSU Harding Hospital Board, the James Cancer Hospital Board, and the Ross Heart Hospital Board; and

WHEREAS all members of these boards shall be appointed in accordance with Medical Center Board Bylaws 3335-93-01 and 3335-104-01, and in consultation with the president of the University; and

WHEREAS the chair and vice chair of the medical center boards shall be a public member appointed annually by the Board of Trustees upon recommendation of the University president:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby ratifies the following appointments, as designated by board and terms of appointment, made by the president pursuant to the resolution adopted by this Board in June 2009:

Medical Center Board

Barbara Kunz – effective February 1, 2011 through June 30, 2012

Ross Heart Hospital Board

Charles Webb – effective February 1, 2011 through June 30, 2013

Medical Center Board

Barbara Kunz, Chair – effective February 1, 2011 through June 30, 2011

University Hospital Board

David Lauer, Chair – effective July 1, 2010 through June 30, 2011
Nancy Petro, Vice Chair – effective July 1, 2010 through June 30, 2011

James Cancer Hospital Board

Robert Massie, Chair - July 1, 2010 through June 30, 2011

University Hospital East Board

George Skestos, Chair - July 1, 2010 through June 30, 2011
Frederick Ransier, Vice Chair - July 1, 2010 through June 30, 2011

OSU Harding Hospital Board

Yvette McGee Brown, Chair - July 1, 2010 through June 30, 2011

Ross Heart Hospital Board

John Gerlach, Chair - July 1, 2010 through June 30, 2011

SEPARATION INCENTIVE AND PHASED RETIREMENT PROGRAM

Resolution No. 2011-38

Synopsis: Authorization for the Office of Human Resources, with the advice of the Office of Legal Affairs, to develop a flexible program of voluntary separation incentives including phased retirement for colleges and vice presidential units to use on an as-needed basis for regular tenured faculty and staff. This program is intended to be used on a time-limited basis, and only for departments or units where actual needs exist to gain efficiency or meet programmatic goals. It is not intended to be used broadly or on a continuing basis.

WHEREAS pursuant to Section 3335.09 of the Revised Code, the Board of Trustees of The Ohio State University is vested with authority to fix the compensation for employees of The Ohio State University; and

WHEREAS pursuant to Section 124.14 of the Revised Code, the Board of Trustees of The Ohio State University is vested with authority to carry out all matters of governance involving the officers and employees of The Ohio State University; and

WHEREAS the University desires to have more flexible programs to make positive changes in administrative streamlining; manage the exit timing of retirement-eligible faculty and staff; and meet the needs of individual colleges and vice presidential units to reduce costs or redirect faculty lines and resources to promote programmatic goals; and

WHEREAS the University anticipates that such workforce factors shall differentially effect various colleges and vice presidential units wherein these various colleges and vice presidential units will need different separation incentive programs based on their need; and

WHEREAS a flexible program of voluntary separation incentives including phased retirement will result in a more efficient operation of the University and preserve the positive culture of commitment and engagement:

NOW THEREFORE

BE IT RESOLVED, That the Office of Human Resources, with the advice of the Office of Legal Affairs, shall make available a flexible program of voluntary separation incentives, including phased retirement, for colleges and vice presidential units to use on an as-needed basis for regular faculty and staff; and

BE IT FURTHER RESOLVED, The separation incentives and phased retirement options shall be implemented as described in attachment A, effective immediately.

(See Appendix XX for background information, page 517.)

**CHINA GATEWAY PROJECT: FORMATION
OF A WHOLLY FOREIGN OWNED ENTERPRISE IN CHINA**

Resolution No. 2011-39

Synopsis: To expand the activities of the Ohio State University China Gateway, the formation of a new Chinese entity known as a Wholly Foreign Owned Enterprise is proposed.

WHEREAS the primary purpose of the Ohio State University China Gateway is to further the reach of Ohio State as a global university; and

WHEREAS the China Gateway project has generated an enthusiastic response from Ohio State alumni in China and facilitated new agreements for programs between Ohio State units and Chinese institutions; and

WHEREAS the China Gateway LLC (LLC) is an Ohio limited liability company of which Ohio State is the sole member exercising complete control over its operation; and

WHEREAS the LLC was formed as a necessary legal prerequisite to meet Ohio State's institutional goal of establishing a physical presence in China by June of 2010; and

WHEREAS the LLC has established a Foreign Representative Office (FRO) in Shanghai, China, as a legal prerequisite for the leasing of office space, opening of financial accounts, and hiring of staff; and

WHEREAS Chinese law does not permit a FRO to engage in revenue-generating activities, which require the creation of a Wholly Foreign Owned Enterprise (WFOE); and

WHEREAS the OSU China Gateway project is ready to commence revenue-generating activities that will assist the Gateway in becoming self-sustaining;

NOW THEREFORE

BE IT RESOLVED, That a Wholly Foreign Owned Enterprise be formed in China.

(See Appendix XXI for background information, page 519.)

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2011-40 Resolution No. 2011-40

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* is recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS the proposed changes in the *Rules of the University Faculty* were approved by the University Senate on January 13, 2011:

NOW THEREFORE

BE IT RESOLVED, That the attached amendments to the *Rules of the University Faculty* be adopted as recommended by the University Senate.

(See Appendix XXII for background information, page 521.)

**MERGER OF THE DEPARTMENT OF PLANT CELLULAR AND
MOLECULAR BIOLOGY AND THE DEPARTMENT OF
MOLECULAR GENETICS TO BECOME THE DEPARTMENT OF
MOLECULAR GENETICS**

Resolution No. 2011-41

Synopsis: Approval to merge the Department of Plant Cellular and Molecular Biology and the Department of Molecular Genetics to become the Department of Molecular Genetics is proposed.

WHEREAS the research, teaching, and service programs of the two departments are complementary and the new department will bring together nearly all of the eukaryotic model system geneticists at the University under a single administrative and educational unit; and

WHEREAS the new department will be of higher visibility and have a significantly higher impact, both locally and nationally, than either of the individual departments; and

WHEREAS there will be no significant changes in the graduate or undergraduate programs or curricula as a result of the merger. Such changes will be included in the semester curriculum proposal from the merged departments; and

WHEREAS the proposal has the unanimous approval from the faculty of the affected units; an overwhelmingly positive vote at the college level; and is supported by the Executive Dean of the College of Arts and Sciences; and

WHEREAS the proposal adheres to the guidelines for the alteration or abolition of units, and was approved by the Council on Academic Affairs, and the University Senate at its meeting on January 13, 2011:

NOW THEREFORE

BE IT RESOLVED, That the merger of the Department of Plant Cellular and Molecular Biology and the Department of Molecular Genetics to become the Department of Molecular Genetics is hereby approved, effective immediately.

**ESTABLISHMENT OF A MASTER'S IN MATHEMATICAL
SCIENCES (MMS) DEGREE PROGRAM**

Resolution No. 2011-42

Synopsis: Approval of the establishment of a master's in Mathematical Sciences (MMS) Degree Program is proposed.

WHEREAS the purpose of this new degree program is to build on the increasing importance of interdisciplinary fields, especially those combining quantitative skills with other sectors of the natural and social sciences to better prepare students for stem careers; and

WHEREAS the Department of Mathematics is strongly committed to the new program, one that initially will have two specializations – Mathematical Biosciences, and Mathematics for Mathematics Educators – with others under consideration for possible future development; and

WHEREAS students will be exposed to rigorous elements of a post-graduate degree including interdisciplinary coursework, a practical research project or internship with one or more mentors, and the completion of a thesis based on that research experience; and

WHEREAS the program will train an expanding corps of mathematics postgraduates in areas of importance to the Ohio and national workforce; and

WHEREAS the proposal was reviewed by the Council on Academic Affairs, and approved by the University Senate at its meeting on January 13, 2011:

NOW THEREFORE

BE IT RESOLVED, That the proposal to establish a Master's in Mathematical Sciences (MMS) degree program is hereby approved, effective upon the approval by the Ohio Board of Regents.

**ESTABLISHMENT OF THE DEPARTMENT OF MICROBIAL
INFECTION AND IMMUNITY**

Resolution No. 2011-43

Synopsis: Approval of the establishment of the Department of Microbial Infection and Immunity is proposed.

WHEREAS The establishment of this department, within the School of Biomedical Science, College of Medicine, will increase the University's ability to recruit top academic scientists with established research grant funding; provide more training opportunities for students, residents and fellows; raise the Medical Center's national rankings; and bring international prestige to the University; and

WHEREAS similar units have been established at institutions within Ohio, within the Committee on Institutional Cooperation (CIC); and across the nation; and

WHEREAS the proposal has support from other academic units within and outside the College of Medicine; and

WHEREAS the proposal has the support of the faculty in the Department of Internal Medicine, Division of Infectious Diseases, and the College of Medicine; and

WHEREAS the proposal adheres to the guidelines for department status; was reviewed by the Council on Academic Affairs, and approved by the University Senate at its meeting on January 13, 2011:

NOW THEREFORE

BE IT RESOLVED, That the establishment of the Department of Microbial Infection and Immunity is hereby approved, effective immediately.

**NAMING OF THE CENTER FOR MATHEMATICS AND SCIENCE
EDUCATION POLICY AT THE JOHN GLENN SCHOOL OF PUBLIC
AFFAIRS: BATTELLE CENTER FOR MATHEMATICS AND
SCIENCE EDUCATION POLICY**

Resolution No. 2011-44

Synopsis: The naming of the Center for Mathematics and Science Education Policy in the John Glenn School of Public Affairs.

WHEREAS the John Glenn School of Public Affairs prepares students for careers in the public and non-profit sectors as well as continuing education programs offers management and leadership training for public sector and nonprofit employees; and

WHEREAS the Center for Mathematics and Science Education Policy supports Ohio's education leaders and policymakers, strengthening their capacity to deliver high-quality, high-impact education for all students and to make Ohio a national leader in effective science, technology, engineering and mathematics (STEM) education; and

WHEREAS the Battelle Memorial Institute has provided significant contributions to the John Glenn School of Public Affairs for the operations of the Center for Mathematics and Science Education Policy:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the Board of Trustees approves that the aforementioned center in the John Glenn School of Public Affairs be named the Battelle Center for Mathematics and Science Education Policy.

RE-NAMING OF THE JONES GRADUATE TOWER

Resolution No. 2011-45

Synopsis: The building located at 101 Curl Drive was originally named the Jones Tower in 1962 in honor of Lawrence Jones who at that time was the Acting and Associate Dean of the College of Engineering and the Secretary of the University Faculty & Faculty Council. In 1966 the building became the residence hall for graduate students and was re-named the Jones Graduate Tower.

WHEREAS the building is no longer used exclusively for graduate student housing:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the Board of Trustees approves that the aforementioned building be re-named Jones Tower effective immediately.

NAMING OF INTERNAL SPACES

Resolution No. 2011-46

Synopsis: The naming of internal space within University facilities is proposed.

WHEREAS gifts and gift commitments have been received by donors to support the construction, equipping or furnishing of the classrooms, offices, laboratories and other noted spaces; and

WHEREAS upon the recommendation of the Office of Development, it has been proposed that the donors to these internal spaces be recognized for their generosity:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned internal spaces in campus facilities shall be named as follows:

Page Hall, 1810 College Road

Annie Glenn Conference Room, Room 350B

Parks Hall, 500 W. 12th Avenue

Robert and Stephany Ruffolo Lecture Hall, Room 103

Knowlton Hall, 275 West Woodruff Avenue

Alpha Rho Chi Fraternity Classroom, Room 177

William Oxley Thompson Memorial Library, 1858 Neil Avenue Mall

Thomas C. Minnich Family Education Librarian's Office, Room 222C
Mortar Board Centennial Suite, Rooms 202 and 204
Floradelle Atwater Pfahl Study Room, Room 105A

Ohio Union, 1739 North High Street

Potter Plaza, South Courtyard Plaza

Postle Hall, 305 West 12th Avenue

Midmark Emergency Care Clinic, formally known as the Dentistry

Emergency Clinic

Drinko Hall, 55 West 12th Avenue

Robert E. Boyd, Jr. and Janet P. Boyd Conference Suite, Room 220A

Riedl Hall, 1760 University Drive, Mansfield

The Boyd and Anne Epperson Grand Atrium

WOSU Digital Media Center, 333 West Broad Street, COSI

American Electric Power Foundation Suite, Mezzanine Level
Conference Room

Grange Insurance Edit Suite

JamesCare Comprehensive Breast Center, 739 West Third Avenue

Jean Clare and Friedrich Bohm CT Suite, CT Scan Suite

E.F. Wildermuth Optometric Research Clinic, Fry Hall, 338 West 10th Avenue

Optometric Educators, Inc., Room 649

Haag-Streit Rooms, Rooms 444, 446, 450, 452

Leo G. Raney Carney Lane, Room 550

Bausch & Lomb Room, Room 547

Jason J. Nichols Room, Room 543

Sandra W. Anderson, O.D. and Paul R. Anderson Room, Room 544

Les Wexner Football Complex at Woody Hayes Athletic Center, 535 Irving Schottenstein Drive

The Esser Family Football Equipment Room, Room 175B

Team Meeting Room 1, Room 163

Theatre Room

Internal Operations/Compliance Office, Room 184

The Howard Solomon Strength and Conditioning Coach's Office,
Room 185A

The Michael J. and Laura S. Telich McDonald's Break Room, Room
190

The Watson-Tressel Coaches Locker Room

The Linda and Jim Wiggins Receivers Coach's Office, Room 152

The Robert F. Wolfe and Edgar T. Wolfe Foundation Medical/Athletic
Training Center, Room 181

The Ohio State Media Bay

The Bucknuts Media Conference Room, Room 176

Peter and Clara Scott Laboratory, 201 West 19th Avenue

Kinzel Reception Area, N250

The Robert C. Rodek 1950 Conference Room, Room N350

Davis Foundation Interfaith Prayer and Reflection Room at the Ohio Union, 1739 North High Street, was named on February 5, 2010. The purpose of this room has changed and the naming has been removed. The University will provide The John R. & Margarite Davis Foundation with a naming opportunity in the future.

HONORARY DEGREES

Resolution No. 2011-47

Synopsis: The awarding of honorary degrees is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees the awarding of honorary degrees as listed below:

Zdenek P. Bazant	Doctor of Engineering
Lino Tagliapietra	Doctor of Fine Arts

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipient.

DEGREES AND CERTIFICATES WINTER QUARTER COMMENCEMENT

Resolution No. 2011-48

Synopsis: Approval of Degrees and Certificates for winter quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees, the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on March 20, 2011, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

RESOLUTIONS IN MEMORIAM

Resolution No. 2011-49

Charles B. Hicks

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 21, 2010, of Charles B. Hicks, Professor Emeritus in the Department of Management Sciences in The Max M. Fisher College of Business.

Charles Hicks was born in Kalamazoo, Michigan, in December 1916. He received his bachelor's degree from Western Michigan University in 1938, a master's degree from Columbia University in 1942, and his Ph.D. degree from the University of Michigan in 1950. His education was put on hold for a three-year term of service with the U.S. Army in Europe during World War II.

Dr. Hicks was a member of The Ohio State University faculty from 1949-79. He served as chair of the management sciences faculty in what is now the Fisher College of Business. Prior to coming to Ohio State he was an assistant professor of economics and accounting at Western Michigan University and during summer quarters often taught courses at other universities including the Universities of Arkansas, Maine, Michigan, Southern California, Montana State, Western Michigan, and Vermont.

He authored and co-authored books on office management and held various committee appointments with such professional organizations as the American Business Writing Association, the Administrative Management Society, and the Academy of Management. He held memberships in the honorary societies of Delta Pi Epsilon, Phi Delta Kappa, Sigma Tau Chi, Pi Omega Pi, Beta Gamma Sigma, and Alpha Kappa Psi.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Emeritus Charles B. Hicks its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

William P. Smith

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 2, 2010, of William P. Smith, Associate Professor Emeritus in the Ohio State University Extension.

Mr. Smith was born in 1923 in Lowell, Ohio. He received his Bachelor of Science in Agriculture degree (cum laude) in 1947 and his Master of Science degree in agricultural economics and rural sociology in 1968, both from The Ohio State University.

Bill began his Extension career in Ohio in September 1947 as the associate county extension agent in Scioto County. In June of 1948 he became the county agricultural agent in Gallia County. He became the area extension agent for farm management at the Jackson Center in 1966, a position he held until his retirement from OSU in January 1979.

During his Extension career he provided excellent programs for farmers and citizens in southern Ohio. He had a major leadership role in organizing the Southern Ohio Tax Workshops for farm tax consultants which became an annual event. Bill gave primary leadership to the organization and development of the Gallia County Junior Fair and was known as the "Father of the Gallia County Fair." He had a weekly newspaper column and regular radio program.

Professor Smith was recognized throughout the state and nation for his outstanding contributions. One such honor he received was the

Distinguished Service Award from the National Association of County Agricultural Agents.

On behalf of the University community, the Board of Trustees expresses to the family of Associate Professor Emeritus William P. Smith its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Clyde H. Kearns

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 19, 2010, of Clyde H. Kearns, Professor Emeritus in the Department of Civil and Environmental Engineering and Geodetic Science.

Professor Kearns received his Bachelor of Chemical Engineering degree in 1942 from The Ohio State University, and soon thereafter entered the U.S. Army Corps of Engineers as a second lieutenant in the U.S. Army Corps of Engineers. He served during World War II from 1942-46 with service in the United States, India, and China.

He returned to Ohio State and, while working as an instructor, earned a Master of Science degree in chemical engineering in 1950. Professor Kearns served as assistant professor from 1950-59 in engineering drawing at OSU, where he also held an assistant professor appointment in chemical engineering (1957-59).

He spent four years in industry with Union Carbide Corporation at Oak Ridge, Tennessee, and Tonawanda, New York, working with nuclear reactors, radioactive isotopes, and cryogenics, and with CVI Corporation in Hilliard, Ohio, working in cryogenics and helium refrigeration.

Professor Kearns returned to Ohio State in 1963 as an associate professor of engineering drawing. He, along with a group of faculty members from several departments, developed and taught the initial course in computer programming in the College of Engineering.

In 1967 the Department of Engineering Drawing's name was changed to Engineering Graphics. Professor Kearns was appointed associate professor in the Department of Computer and Information Science in 1969. Later that year he was promoted to full professor in both departments. He served as chairperson of the Department of Engineering Graphics from 1973-77. In January 1981 he retired from full-time teaching and taught engineering graphics courses on a part-time basis until 2006 in the Department of Civil and Environmental Engineering and Geodetic Science.

Professor Kearns was the circulation manager/treasurer for the *Engineering Design Graphics Journal* from 1972-77. He then served as vice chair (1977-78) and as chair (1978-79) of the Engineering Design Graphics Division. He resumed his association with the *Engineering Design Graphics Journal* in 1984 and continued that until 2006. He received the Distinguished Service Award in 1990 from the Engineering Design Graphics Division of the American Association of Engineering Education.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Emeritus Clyde H. Kearns its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Richard J. Solove

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 28, 2010, of Richard J. Solove: alumnus, benefactor and dear friend of the University.

Mr. Solove's support to the University and its cancer program provides an exemplary and enduring legacy that will enable the Arthur G. James Cancer Hospital and Richard J. Solove Research Institute to continue its leadership in the global fight against cancer in its many forms.

Mr. Solove's name has long been associated with Ohio State and central Ohio. In 1948, he earned a degree in pharmacy at the University, and he later owned three Columbus drugstores before devoting himself fully to real estate development in 1962. It was his relationship with Dr. Arthur G. James, an OSU surgical oncologist who treated Mr. Solove's father for cancer in the 1950s that drew him closer to the OSU cancer program. In 1999 the University acknowledged Mr. Solove's years of support for cancer research by renaming the hospital the Arthur G. James Cancer Hospital and Richard J. Solove Research Institute.

Mr. Solove once said that he had never given much thought to a legacy, but he added he would much rather be remembered for contributing to the eradication of cancer than for anything else. Despite the acclaim he received in his lifetime for his many contributions to Ohio State University as well as many other organizations, he remained humble whenever he was asked about his charitable contributions, which culminated in his donation to The James of proceeds from the 2007 auction of his beloved collection of 13 vintage Rolls-Royce automobiles.

Mr. Solove said that he was "not interested in being the richest man in the cemetery. To see the rewards of your efforts in life, that is the true virtue." He indeed saw many rewards of his efforts against cancer. His lasting gift to humanity is that future generations will see even more.

On behalf of the University community, the Board of Trustees expresses to the members of the family of Richard J. Solove its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

ADOPTION AND APPROVAL OF AN INSTITUTIONAL ADVANCEMENT MODEL

Resolution No. 2011-50

Synopsis: The Board of Trustees, having established an Advancement Committee in June 2010, now recognizes the University's progress in developing the core infrastructure necessary to achieve a more integrated, successful advancement model.

WHEREAS leadership of University Communications, University Development, and the Alumni Association have forged a stronger partnership that will advance The Ohio State University; and

WHEREAS the University assembled a group of twenty innovative thinkers and experts—the Advancement Implementation Team—to launch this initiative; and

WHEREAS more than seventy additional professionals from across the University have been engaged in initiatives that are leading to more integrated and efficient financial, human resource, and technology services as well as more effective research, messaging, fundraising, and engagement platforms; and

WHEREAS leaders of the Advancement Implementation Team crafted financial, engagement, and awareness goals for the Board's consideration; and

WHEREAS the University is now well positioned to begin to encourage and foster the engagement of students, alumni, and other key audiences to provide multiple opportunities for engagement and support for the mission of The Ohio State University:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees gratefully acknowledges and expresses its appreciation for the dedication, hard work, and thoughtful recommendations of the Advancement Implementation Team and all others associated with their work; and

BE IT FURTHER RESOLVED, That this Board hereby approves and endorses the advancement goals presented for their consideration; and

BE IT FURTHER RESOLVED, That this Board hereby authorizes and directs the President to take all actions required to support the successful implementation of an advancement model at the University and the accomplishment of all related goals.

(See Appendix XXIII for background information, page 525.)

UNIVERSITY DEVELOPMENT REPORT

Resolution No. 2011-51

Synopsis: The University Development Report as of December 31, 2010, is presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Marion N. Rowley Chair in Human Cancer Genetics Research; the Robert J. Watkins/Procter & Gamble Professorship, the D. Warren Brown Designated Chair in Leukemia Research, The John B. and Jane T. McCoy Chair in Cancer Research, and The William H. and Laceyjetta V. Casto Professorship in Interpersonal Education in Honor of Henry and Ruth Leuchter and Van Bogard and Geraldine Dunn; the establishment of thirty-five (35) new named endowed funds; and the revision of eleven (11) named endowed fund.

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation as of December 31, 2010, be approved.

(See Appendix XXIV for background information, page 527.)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chair</u>	
The Marion N. Rowley Chair in Human Cancer Genetics Research (Established with gifts in memory of Marion N. Rowley; used to support a distinguished scholar in human cancer genetics research) (grandfathered)	\$1,500,000.00
<u>Establishment of Named Endowed Professorship</u>	
Robert J. Watkins/Procter & Gamble Professorship (Established with gifts from College of Law Alumni employed by Procter & Gamble; used to support the salary and research of a professor in the Moritz College of Law) (grandfathered)	\$750,000.00
<u>Establishment of Named Designated Chair</u>	
D. Warren Brown Designated Chair in Leukemia Research (Established with a five-year commitment from The Warren Brown Family Foundation; used to support leukemia research conducted by a designated chair holder) (\$80,000 per year for 5 years)	\$173,494.05
<u>Establishment of Named Endowed Funds</u>	
Stoner Scholarship Fund for OD/MPH Students	\$250,000.00

(Established with estate gifts from Dr. Cyrus Stoner; used to provide renewable graduate scholarships to students enrolled in the OD/MPH program)

The Charles and Barbara Webb Family Endowment Fund \$200,000.00

(Established with gifts from Charles R. and Barbara A. Webb and funds from the Medical Center in their recognition; used to support the OSU Heart and Vascular Center)

The Gloria Fuller Walker Scholarship Fund in Dentistry \$50,000.00

(Established with estate gifts from Gloria Walker; used to provide scholarships to students enrolled in the College of Dentistry's Division of Dental Hygiene)

The William E. Goos Memorial 4-H Scholarship Fund \$31,290.00

[Established with gifts from his wife Kathryn Isler (Goos) Veder, family, and friends; used to provide scholarships to students who are from the following Ohio counties in this order of preference: Butler, Preble, Hamilton, and Darke, and who are members of 4-H] (grandfathered)

Change in Name and Description of Named Endowed Funds

From: The Mary E. and John W. Alford Cancer Research Endowment Fund

To: The Mary E. and John W. Alford Research Chair Fund in Head and Neck Cancer

From: Donald E. McGinnis Concert Band Scholarship Fund

To: The Donald E. and Ruth L. McGinnis Concert Band Scholarship Fund

From: The National City Bank Scholarship Endowment Fund

To: The PNC Scholarship Endowment Fund

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chair

The John B. and Jane T. McCoy Chair in Cancer Research \$1,620,476.41

(Established with gifts from John B. McCoy and Jane T. McCoy; used for a chair position supporting cancer research with a preference for lymphoma research) (grandfathered)

Establishment of Named Endowed Professorship

The William H. and Laceryjette V. Casto Professorship \$502,170.96

in Interprofessional Education in Honor of Henry and Ruth Leuchter and Van Bogard and Geraldine Dunn (Established with gifts from William H. and aceryjette V. Casto and their families, and additional gifts from the Interprofessional Commission of Ohio; used to support the work of a distinguished professor in the

College of Education and Human Ecology)
(grandfathered)

Establishment of Named Endowed Funds

The Saul and Sonia Schottenstein Chair Fund in Israel Studies \$1,000,000.00

(Established with gifts from the estate of Saul Schottenstein via his trustee Susan Schottenstein Diamond; used to search for candidates for the chair position in Israel studies)

Dr. Willys E. and Sandina Lord Scholarship Fund \$389,599.28

(Established with estate gifts from Dr. Willys Lord and Sandina Lord; used to provide scholarship support to students enrolled in the College of Veterinary Medicine)

The Carter Phillips and Sue Henry Professorship Fund in Political Science \$250,185.74

(Established with gifts from Carter G. Phillips; used to provide support for a professorship position in the Department of Political Science)

The Sarah Evans Mattox Faculty Research Fund \$206,023.03

(Established with an estate gift from Sarah Evans Mattox; used to support a competitive faculty member in the research involving the impact of media on public opinion and society)

The Schnipke Family Endowment Fund for Alzheimer's Disease Research \$158,000.00

(Established with gifts in memory of Pauline Schnipke from her children and The Schnipke Family LLC; used to support medical research on Alzheimer's disease in the Division of Cognitive Neurology in the Department of Neurology)

The Stanley D. and Joan H. Ross Breast Cancer Lecture Endowment Fund \$100,000.00

(Established with gifts from Stanley D. Ross and Joan H. Ross; used to provide educational opportunities on breast cancer for a variety of community audiences as well as medical professionals)

The Brennan Athletic Scholarship Fund \$98,500.00

(Established with gifts from Leo W. and Beverly G. Brennan; used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete)

The Tarrier Family Endowment Fund for Acute Leukemia Research \$80,000.00

(Established with gifts from Tim A. Tarrier and Elizabeth Tarrier; used to support cancer research on acute leukemias)

The Nicholas John Sanders Memorial Scholarship Fund (Established with gifts from Daniel and Patricia Sanders in memory of their son; used to recruit students to the Master of Accounting program or for scholarships for students already enrolled in the program)	\$75,250.00
Thomas J. Burns Accounting Honors Endowment Fund (Established with estate gifts from Thomas J. Burns and additional donors including former students and colleagues; used to support the Accounting Honors Program and Accounting Honors students in the Fisher College of Business)	\$66,057.29
Edward J. Troyan Endowment Fund (Established with estate gifts from Edward J. Troyan; used to provide tuition scholarship or grants, or to enhance the quality of education offered by the College of Education and Human Ecology)	\$65,000.00
The Harriet H. and Howard B. Cary Welding Engineering Fund (Established with estate gifts from Harrier H. and Howard B. Cary; used to enhance welding engineering education and research in the College of Engineering)	\$61,068.23
The Dan and Cathy Kraft Family Athletic Scholarship Fund (Established with gifts from Daniel D. and Cathy J. Kraft; used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is a member of the men's varsity football team)	\$60,000.00
The William D. Apple 1941 Scholarship Fund in Civil and Environmental Engineering (Established with gifts from Mrs. William Apple; used to support a scholarship for an undergraduate student enrolled in the College of Engineering majoring in civil and environmental Engineering)	\$59,500.00
The Janet Kay Wilson Endowed Scholarship Fund in Medical Technology (Established with gifts from the estate of Janet Kay Wilson and from Kathi Wilson in honor and memory of Janet Kay Wilson; used to support scholarships for undergraduate students enrolled in the Division of Medical Technology in the School of Allied Medical Professions)	\$59,094.21
The Pfeifer Family Softball Scholarship Fund (Established with gifts from The Pfeifer Family Foundation; used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is a member of the softball team)	\$56,250.00
Optometric Educators Scholarship Fund	\$51,365.00

(Established with gifts from Optometric Educators, Inc.; used to provide a scholarship to a professional student in the College of Optometry)

The Patricia DiNunzio Ovarian Cancer Endowment Fund \$50,000.00
(Established with a gift from Patricia A. DiNunzio; used to support medical research, education/training, and other programs for prevention, treatment, and cure of ovarian cancer disease in the Division of Gynecologic Oncology in the Department of Obstetrics and Gynecology)

The Darwin P. Geringer Memorial Endowed Scholarship Fund \$50,000.00
[Established with gifts from The Benjamin P. Forbes Company; used to support scholarships to be awarded annually to undergraduate (sophomore or above) or graduate students majoring in food science and nutrition]

The Ann Lowenstein Gottlieb Scholarship Fund \$50,000.00
(Established with gifts from Lynne Blumenstock in memory of her mother; used for scholarships for undergraduate students in the Fisher College of Business)

The Hongor Oulanoff Memorial Lecture in Classical and/or Contemporary Russian Literature Fund \$50,000.00
(Established with gifts from Constance Alexa Oulanoff in memory of Hongor Oulanoff; used to provide a yearly lecture by a distinguished scholar of Russian literature)

The Thomas Family Football Fund \$50,000.00
(Established with gifts from Dr. Donald W. and Margo E. Thomas; used for the football program's academic support services and resources)

The Philip M. Ewing Innovation Fund \$35,000.00
(Established with an estate gift from Philip M. Ewing; used to support activities of the faculty, students, and staff of the Fisher College of Business) (unrestricted)

Virginia Eldridge Harmon and David Harmon Scholarship Fund \$32,081.43
(Established with gifts from David and Virginia Harmon; used to provide a scholarship to an incoming undergraduate student intending to major in the arts and sciences who represents the first generation of his/her family to attend college) (grandfathered)

The Robert J. and Yvonne E. Gustafson Scholarship Fund \$28,900.00
(Established with gifts from Yvonne E. and Robert J. Gustafson; used for scholarships for undergraduate engineering students in the Department of Food, Agricultural, and Biological Engineering in the

College of Food, Agricultural, and Environmental Sciences) (grandfathered)

The Rubin Family Scholarship Fund (Established with gifts from family and friends in memory of Mrs. Elsa Alexander Rubin; used to provide scholarships for graduates of East Liverpool High School in Ohio) (grandfathered)	\$27,731.00
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The Mousa Scholarship Fund (Established with gifts from Bruce E. and Sara Ann Mousa; used to provide awards for students enrolled in the College of Education and Human Ecology) (grandfathered)	\$26,000.00
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The Pursch Family Memorial Fund (Established with gifts from William C. Pursch and Lenore Wilson Pursch in loving memory of their daughters Wendy L. Pursch Stolfo and Heidi A. Pursch Yarberry; used to provide support of undergraduate students in the College of Food, Agricultural, and Environmental Sciences who are majoring in horticulture and participating in study abroad programs) (grandfathered)	\$25,660.00
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T. L. Jones Scholarship Fund (Established with gifts from Dr. Susan Jones Sears; used to provide scholarships to graduate students enrolled in the Counselor Education Program in the College of Education and Human Ecology) (grandfathered)	\$25,200.00
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Schieber Pharmacy Scholarship Fund (Established with gifts from Larry and Kim Schieber; used to support a scholarship in the College of Pharmacy) (grandfathered)	\$25,000.00
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The Charles R. Verbanic Endowment Fund in Dentistry (Established with gifts from Dr. Charles R. Verbanic; used to purchase equipment for the College of Dentistry) (grandfathered)	\$25,000.00
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Change in Name of Named Endowed Funds

From:	Allan Markowitz Graduate Award Fund in Observation Astronomy
To:	Allan Markowitz Graduate Award Fund in Observational Astronomy
From:	Carol Z. and Lawrence C. Mitchell Engineering Scholarship Fund
To:	Lawrence C. Mitchell Engineering Scholarship Fund

Change in Description of Named Endowed Funds

The Ruann F. Ernst and William C. Riffle Endowed Scholarship Fund

The John and Cindy Feltz Scholarship Fund

The Gordon and Mary Flax Scholarship Fund

Charles W. and Gwyenna L. Lifer 4-H Scholarship Fund

Change in Name and Description of Named Endowed Funds

From: The Nancy L. Fisher Football Athletic Scholarship Fund
To: The Nancy L. and James L. Fisher Football Athletics
Scholarship Fund

From: The National City Bank Dean's Innovation Fund
To: The PNC Dean's Innovation Fund

Total \$8,413,896.63

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Chair

The Marion N. Rowley Chair in Human Cancer Genetics Research

The Marion N. Rowley Chair Fund in Human Cancer Genetics Research was established December 2, 2005, by the Board of Trustees of The Ohio State University with gifts from The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute in memory of Marion N. Rowley of Glendale, California. The funding level has been reached and the chair was established February 11, 2011.

The annual distribution from this fund shall be used for a chair supporting a distinguished scholar in human cancer genetics research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the senior executive director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, the senior vice president for Health Sciences, and the dean of the College of Medicine.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the senior executive director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the senior executive director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine.

Amount Establishing Chair: \$1,500,000.00 (grandfathered)

Establishment of Named Endowed Professorship

Robert J. Watkins/Procter & Gamble Professorship

The Procter & Gamble Faculty Excellence Award was established November 7, 1997, by the Board of Trustees of The Ohio State University with gifts from Ohio State Law Alumni employed by Procter & Gamble. The name was changed to The Robert J. Watkins/Procter & Gamble Professorship Fund and the description revised July 12, 2002. The funding level has been reached and the professorship was established February 11, 2011.

The annual distribution from this fund shall be used to support the salary and research of a professor in The Michael E. Moritz College of Law. Candidates shall be recommended by the dean of the Moritz College of Law and appointed by the University's Board of Trustees.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the Moritz College of Law.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from a representative of the donor, should one be available, and from the dean of the Moritz College of Law.

Amount Establishing Professorship: \$750,000.00 (grandfathered)

Establishment of Named Designated Chair

D. Warren Brown Designated Chair in Leukemia Research

The D. Warren Brown Designated Professorship in Leukemia Research was established April 6, 2001, by the Board of Trustees of The Ohio State University with annual gifts from The Warren Brown Family Foundation in memory of Warren Brown of Marion, Ohio. With additional gifts from The Warren Brown Family Foundation the funding level has been reached and the designated chair was established February 11, 2011.

The intent of this fund is to provide salary and support leukemia research conducted by a designated chair holder. Appointment of a nationally or internationally recognized physician faculty member/scholar as chair holder shall be approved by the Board of Trustees of The Ohio State University as recommended by the chief executive officer of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. The chair holder will utilize this title on all published articles, letters, posters, and professional papers. The activities of the chair holder shall be reviewed no less than every four years to determine compliance with the intent with the intent of the donor as well as the academic and research standards of the University.

This designated chair position shall cease to exist when annual funding from the donor ends.

Amount Establishing Fund: \$173,494.05

Total Commitment: \$80,000.00 per year for 5 years

Establishment of Named Endowed Funds

Stoner Scholarship Fund for OD/MPH Students

The Stoner Scholarship Fund for OD/MPH Students was established February 11, 2011, by the Board of Trustees of The Ohio State University with an estate gift from Dr. Cyrus Stoner (B.S. 1924).

Ninety percent (90%) of the annual distribution from this fund shall provide renewable graduate scholarships to students enrolled in the OD/MPH program - a program in which a student takes one year of public health classes then the standard four years of optometry classes, earning both degrees at the end. The fund is intended to support one student at each level of the program and shall be phased in over a five-year period. Each recipient shall receive one-fifth of the annual distribution. The first year one new first-year recipient shall be selected. The second year, the recipient selected the previous year shall be eligible to renew his/her scholarship and a new first-year recipient shall be selected. Each year thereafter a new first-year recipient shall be selected. Scholarship recipients shall be selected by the dean of the College of Optometry in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The remaining ten percent (10%) of the annual distribution, as well as any other unused distribution, shall be reinvested to the endowment principal.

The dean of the College of Optometry will review the endowment every five years to decide the efficacy and proper use of this fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the dean of the College of Optometry.

Amount Establishing Endowment: \$250,000.00

The Charles and Barbara Webb Family Endowment Fund

The Charles and Barbara Webb Family Endowment Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University with gifts from Charles R. and Barbara A. Webb of Naples, Florida, and with funds transferred from the Medical Center.

The annual distribution from this fund shall support the OSU Heart and Vascular Center for various needs including research, resident awards, medical student scholarships, and other priorities in the specialties related to heart disease. Allocation of distribution shall be made at the recommendation of the director of the OSU Heart and Vascular Center in consultation with the dean of the College of Medicine and the senior vice president for Health Sciences.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the director.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a reasonable fee may be assessed against the total University endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be

designated by the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors or their representative, and as recommended by the director of the OSU Heart and Vascular Center in consultation with the dean of the College of Medicine and the senior vice president for Health Sciences.

Amount Establishing Endowment: \$200,000.00

The Gloria Fuller Walker Scholarship Fund in Dentistry

The Gloria Fuller Walker Scholarship Fund in Dentistry was established February 11, 2011, by the Board of Trustees of The Ohio State University with estate gifts from Gloria Walker.

The annual distribution from this fund shall be used to provide scholarship support to students enrolled in The Ohio State University College of Dentistry (formerly School of Dentistry) in the Division of Dental Hygiene who demonstrate financial need. Scholarship recipients shall be selected by the chair of the Division of Dental Hygiene in consultation with the dean of the College of Dentistry, the College's scholarship committee, and the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Dentistry in consultation with the chair of the Division of Dental Hygiene.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the dean of the College of Dentistry in consultation with the chair of the Division of Dental Hygiene.

Amount Establishing Endowment: \$50,000.00

The William E. Goos Memorial 4-H Scholarship Fund

The William E. Goos Memorial 4-H Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University with gifts given in his memory from his wife Kathryn Isler

(Goos) Veder, family, and friends. Mr. Goos graduated from the University in 1982 with a Bachelor of Science in Agriculture (Animal Sciences).

The annual distribution from this fund shall be used to provide scholarships to one or more students from, in order of preference, Butler, Preble, Hamilton, or Darke counties in Ohio who are members of 4-H and who will be attending The Ohio State University's main campus, a regional campus, or the University's Agricultural Technical Institute as freshmen. The scholarship may be awarded to the same students in successive years, not to exceed two years, provided the students remain in good academic standing and are progressing towards their degrees. In the event that there are no qualified students from the preferred counties, applicants from other Ohio counties who meet the other criteria may be considered for the scholarship. In the event that there are no qualified students who are members of 4-H or if the Ohio 4-H programs should cease to exist, students who are members of FFA and who meet the other criteria and are planning to enroll in the College of Food, Agricultural, and Environmental Sciences may be considered for the scholarship.

Recipients shall be selected by the OSU Extension-4-H Youth Development scholarship selection committee in accordance with guidelines established by the vice president for Agricultural Administration and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purposes, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the assistant director of OSU Extension 4-H Youth Development in consultation with the vice president for Agricultural Administration and executive dean for Food, Agricultural, and Environmental Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the total endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the donor, should she be alive, and from the vice president for Agricultural Administration and executive dean for Food, Agricultural, and

Environmental Sciences in consultation with the assistant director of OSU Extension 4-H Youth Development.

Amount Establishing Endowment: \$31,290.00 (grandfathered)

Change in Name and Description of Named Endowed Funds

The Mary E. and John W. Alford Research Chair Fund in Head and Neck Cancer

The Mary E. Alford Cancer Research Endowment Fund was established on September 7, 1990, by the Board of Trustees of The Ohio State University with a gift from John W. Alford of Newark, Ohio. Additional gifts were given by the John and Mary Alford Foundation of Worthington, Ohio; by the Ronald Alford family of Westerville, Ohio; and by the Barbara and Michael (B.S.Bus.Adm. 1978) Cantlin family of Newark, Ohio. The name was changed to The Mary E. and John W. Alford Cancer Research Endowment Fund and the description was revised March 3, 2000. The name and description were revised February 11, 2011.

Until the endowment reaches \$2,000,000, the annual distribution from this fund shall be used to support cancer research at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute (The James) and the Comprehensive Cancer Center (CCC). Upon reaching \$2,000,000, the annual distribution will be used for a chair position at The James to support a nationally or internationally recognized faculty member or physician in the field of head and neck cancer research. The chair holder shall be appointed by the Board of Trustees of The Ohio State University as recommended and approved by the chief executive officer of The James and director of the CCC, the senior vice president for Health Sciences, and the dean of the College of Medicine. The activities of the chair holder shall be reviewed no less than every four years by the dean to determine compliance with the intent of the donors as well as the academic and research standards of the University.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the chief executive officer and director.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from a representative of the donors, should one be available, and as recommended by the chief executive officer of The James and director

of the CCC, the senior vice president for Health Sciences, and the dean of the College of Medicine.

The Donald E. and Ruth L. McGinnis Concert Band Scholarship Fund

The Donald E. McGinnis Concert Band Scholarship Fund was established February 3, 1995, by the Board of Trustees of The Ohio State University with gifts from friends of Donald E. McGinnis. The description was revised September 5, 1997. The name and description were revised February 11, 2011.

Seventy-five percent (75%) of the annual distribution from this fund shall be used to provide a scholarship award to a junior member of the Ohio State University Concert Band who has demonstrated outstanding musicianship and leadership in keeping with the standards set by Donald E. McGinnis during his distinguished tenure as director of the Concert Band. The scholarship is to be awarded annually and is not renewable. Recipients shall be selected by the director of the School of Music in consultation with the instrumental music faculty and the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The remaining twenty-five percent (25%) of the annual distribution shall be returned to the principal. If there is no candidate for the award in any given year, all earnings shall be returned to the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from Donald E. and Ruth L. McGinnis, should they be alive, and from the executive dean of the College of Arts and Sciences in consultation with the director of the School of Music.

The PNC Scholarship Endowment Fund

The BancOhio National Bank Scholarship Endowment Fund was established November 2, 1990, by the Board of Trustees of The Ohio State University, with gifts to The Ohio State University Development Fund from BancOhio National Bank Corporation. BancOhio subsequently was acquired by National City Bank; the fund's name was changed to The National City Bank Scholarship Endowment Fund and the description was revised July 9, 1993. National City Bank was

acquired by PNC on December 31, 2008. The fund name and description were revised February 11, 2011.

The annual distribution from this fund shall be used to provide scholarships in meaningful amounts for full-time students with substantial academic promise enrolled in The Max M. Fisher College of Business, and scholarship recipients shall be known as "PNC Scholars." To be eligible, students must have a minimum grade point average of 2.75 and demonstrate a need for financial assistance. It is the donor's wish that recipients be selected from among graduates of high schools located within those counties of Ohio in which the donor, or any successor donor, conducts retail-banking activities. It is the donor's further preference that special consideration is given in the selection process to members of racial minority groups and that the recipients are not receiving scholarships/support from other corporations. The University shall, however, have sole authority to award these scholarships subject only to the approval of the dean of the Fisher College of Business in cooperation with the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the Fisher College of Business.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from a representative of the donor, should one be available, and from the dean of the Fisher College of Business.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chair

The John B. and Jane T. McCoy Chair in Cancer Research

The John B. and Jane T. McCoy Chair Fund in Cancer Research was established November 7, 2008, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from John B. McCoy (D.B.A. honorary 1993) and Jane T. McCoy of

Columbus, Ohio. The funding level has been reached and the chair was established February 11, 2011.

The annual distribution shall be used for a chair position supporting cancer research with a preference for lymphoma research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University.

The chair holder shall be appointed by the Board of Trustees of The Ohio State University as recommended by the chief executive officer of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and director of the Comprehensive Cancer Center and by the senior vice president for Health Sciences and the dean in the College of Medicine. The activities of the chair holder shall be reviewed no less than every four years by the dean to determine compliance with the intent of the donors as well as the academic and research standards of the University.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, if possible, and as recommended by the chief executive officer of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and director of the Comprehensive Cancer Center, the senior vice president for Health Sciences, and the dean of the College of Medicine.

Amount Establishing Chair \$1,620,476.41 (grandfathered)

Establishment of Named Endowed Professorship

The William H. and Laceryjette V. Casto Professorship
in Interprofessional Education in Honor of Henry and
Ruth Leuchter and Van Bogard and Geraldine Dunn

The William H. and Laceryjette V. Casto Professorship in Interprofessional Education Fund was established on June 7, 2002, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from William H. and Laceryjette V. Casto and their families. Additional gifts were received from the Interprofessional Commission of Ohio. The funding level has been reached and the professorship was established February 11, 2011.

The annual distribution from this fund shall be used to support and enhance the work of a distinguished professor in interprofessional

education in the College of Education and Human Ecology, in partnership with the Interprofessional Commission of Ohio. Appointees shall be recommended to the executive vice president and provost by the College's dean and approved by the University's Board of Trustees. The activities of the holder of the professorship position shall be reviewed no less than every five years by the dean of the College of Education and Human Ecology to determine compliance with the intent of the donor as well as the academic and research standards of the University.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the dean of the College of Education and Human Ecology.

Amount Establishing Professorship: \$502,170.96 (grandfathered)

Establishment of Named Endowed Funds

The Saul and Sonia Schottenstein Chair Fund in Israel Studies

The Saul and Sonia Schottenstein Chair Fund in Israel Studies was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Saul Schottenstein via his trustee, Susan Schottenstein Diamond.

The intent of this fund is to provide a chair position dedicated to Israel studies in the College of Arts and Sciences.

Until the principal of the fund reaches \$2,000,000, the annual distribution shall be used to search for candidates for the chair position. If a candidate is identified before the principal of the fund reaches \$2,000,000, the annual distribution shall be directed to The Saul and Sonia Schottenstein

Designated Chair Fund in Israel Studies and the College of Arts and Sciences shall supplement the fund in order to appoint a chair holder to the position. After the principal balance of the fund reaches \$2,000,000, the annual distribution shall be used to support an

endowed chair position in Israel studies. Per the request of the donor's representative, to qualify candidates must meet the following criteria:

- Demonstrate ability and commitment to teach and be a community, faculty, and student resource for Israel studies.
- Be sufficiently fluent in Hebrew and be knowledgeable about contemporary Israeli society and culture. He/She must understand, acknowledge and respect the importance of promoting scholarship about the modern State of Israel as a member of the global community and a central part of the Jewish life and the homeland of the Jewish people.
- Possess expertise that may include, but is not limited to: history, philosophy, political science, music, English, near eastern languages and cultures, Germanic languages and literatures, classics, art, economics, comparative studies, or the sciences.
- Must be able and willing to teach courses on the history of modern Zionism and Israel using texts and approaches generally accepted in the field including at least one lecture course relating to an aspect of modern Zionism and Israel must be offered annually through a joint course listing with the Melton Center for Jewish Studies. Must also be able and willing to provide scholarly mentoring to students in studying and learning about modern Israel.
- Demonstrate ability and commitment to discover, research, and provide information to students who wish to have internships related to Israel and the area of Israel studies, collaborating with programs such as an internship program stationed at the Israel-American Chamber of Commerce.
- Must agree to use the official name of the Chair in all printed and electronic materials regarding the Chair including letterheads, envelopes, business cards, brochures, websites, and other publications and promotional materials.
- Must agree to be affiliated with the Melton Center for Jewish Studies at the University as a resource and contributing member.

The University agrees to honor this request to the extent allowable by federal or state law or University policy. The University reserves the right to modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy at any time in the future.

A member of the Susan and Jon Diamond family or a family designee, preferably a noted Israel studies scholar, shall serve as a member of the search committee to help identify candidates. The search committee shall be appointed by the executive dean of the College of

Arts and Sciences. The chair holder shall be appointed by the Board of Trustees of The Ohio State University as recommended by the search committee and approved by the executive dean of the College of Arts and Sciences. The term of the chair holder will be determined at the time of appointment and performance in the position will be reviewed annually. Reappointment to the Chair is contingent on positive performance evaluations.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from Susan Schottenstein Diamond, should she be alive, and from the executive dean of the College of Arts and Sciences.

Amount Establishing Endowment: \$1,000,000.00

Total Commitment: \$2,000,000.00

Dr. Willys E. and Sandina Lord Scholarship Fund

The Dr. Willys E. and Sandina Lord Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with estate gifts from Dr. Willys Lord (D.V.M. 1937) and Sandina Lord.

The annual distribution from this fund shall be split into thirds and used to provide scholarships for three (3) students enrolled in the College of Veterinary Medicine. Scholarship recipients shall be referred to as "Lord Scholars." The dean of the College of Veterinary Medicine shall select scholarship recipients in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Veterinary Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the dean of the College of Veterinary Medicine.

Amount Establishing Endowment: \$389,599.28

The Carter Phillips and Sue Henry Professorship Fund in Political
Science

The Carter Phillips and Sue Henry Professorship Fund in Political Science was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Carter G. Phillips (B.A. 1973).

Until the fund's principal balance reaches \$1,000,000, the annual distribution shall be reinvested in the endowment principal. After the principal balance reaches \$1,000,000, the annual distribution shall provide support for a professorship position in the College of Arts and Sciences, Division of Social and Behavioral Sciences, Department of Political Science. Appointees to The Carter Phillips and Sue Henry Professorship in Political Science shall be recommended to the executive vice president and provost by the College's executive dean and approved by the University's Board of Trustees. The activities of the holder of the professorship position shall be reviewed no less than every five years by the executive dean of the College of Arts and Sciences to determine compliance with the intent of the donor as well as the academic and research standards of the University.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's

Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should he be alive, and from the executive dean of the College of Arts and Sciences.

Amount Establishing Endowment: \$250,185.74

Total Commitment: \$1,000,000.00

The Sarah Evans Mattox Faculty Research Fund

The Sarah Evans Mattox Faculty Research Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with an estate gift from Sarah Evans Mattox (B.A. 1964).

The annual distribution from this fund shall be used to support a competitive faculty member in the research involving the impact of media on public opinion and society, such as advertising, public affairs journalism, strategic communication, and communication technology. Expenditures shall be approved by the executive dean of the College of Arts and Sciences.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the executive dean of the College of Arts and Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the executive dean of the College of Arts and Sciences.

Amount Establishing Endowment: \$206,023.03

The Schnipke Family Endowment Fund for Alzheimer's Disease Research

The Schnipke Family Endowment Fund for Alzheimer's Disease Research was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts made in memory of Pauline Schnipke from her children and from The Schnipke Family, LLC of Ottoville, Ohio.

This endowment recognizes the work of Douglas Scharre, M.D. of the Division of Cognitive Neurology at the OSU Medical Center, especially the outstanding care and treatment he provided for Pauline.

The annual distribution from this fund shall be used to support medical research of Alzheimer's disease in the Division of Cognitive Neurology in the Department of Neurology at The Ohio State University Medical Center as approved by the division director in consultation with the chairperson of the Department of Neurology, the dean of the College of Medicine, and the senior vice president for Health Sciences.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the director or chairperson.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from a representative of the donors and as recommended by the chairperson of the Department of Neurology in consultation with the dean of the College of Medicine and the senior vice president for Health Sciences.

Amount Establishing Endowment: \$158,000.00

The Stanley D. and Joan H. Ross Breast Cancer Lecture
Endowment Fund

The Stanley D. and Joan H. Ross Breast Cancer Lecture Endowment Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Stanley D. Ross (B.A. 1962) and Joan H. Ross of Columbus, Ohio.

This endowment recognizes the work of William B. Farrar, M.D. at the OSU Medical Center, created by donors in appreciation of Dr. Farrar's extraordinary dedication to caring for his patients, two of whom are family members, as well as to honor his career as an outstanding medical educator.

The annual distribution from this fund shall be used to provide educational opportunities on breast cancer for a variety of community audiences as well as medical professionals, on topics such as (but not

limited to): breast health, risk factors, prevention, early detection and screening, cancer survivorship issues, and referral services to related health care services and cost reduction resources.

The Stanley and Joan Ross Family Lecture Series will be presented to the community-at-large and to OSU Medical Center patient population. The Stanley and Joan Ross Family Grand Rounds (or Continuing Education Program) will be provided for physicians and clinicians as professional educational opportunities. The annual distribution of the funds and the scheduling of the educational opportunities will be directed and approved by the chief of the Division of Surgical Oncology, in consultation on the fund allocation with the chairperson of the Department of Surgery, the dean of the College of Medicine, and the senior vice president for Health Sciences.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the chief or chairperson.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from a representative of the donors and as recommended by the chairperson of the Department of Surgery in consultation with the dean of the College of Medicine and the senior vice president for Health Sciences.

Amount Establishing Endowment: \$100,000.00

The Brennan Athletic Scholarship Fund

The Brennan Athletic Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Leo W. (B.S. 1972) and Beverly G. (B.S. 1972) Brennan of Atlanta, Georgia.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is pursuing an undergraduate degree. Scholarship recipients shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowed funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's cost of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the director of Athletics.

Amount Establishing Endowment: \$98,500.00

Total Commitment: \$150,000.00

The Tarrier Family Endowment Fund for Acute Leukemia Research

The Tarrier Family Endowment Fund for Acute Leukemia Research was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Tim A. Tarrier and Elizabeth Tarrier of Columbus, Ohio.

The annual distribution from this fund shall support cancer research on acute leukemia at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute (The James) and the Comprehensive Cancer Center (CCC), to include but not limited to: supplies, equipment, research personnel, lab space, fellow research awards, research training opportunities, and other activities required for high quality medical research. Allocation of the distribution from this endowed fund shall be approved by the chief executive officer of The James and director of the CCC in consultation with the senior vice president for Health Sciences.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the chief executive officer of The James and director of the CCC in consultation with the senior vice president for Health Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment

portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from both the donors, should they be alive, and as recommended by the chief executive officer of The James and director of the CCC in consultation with the senior vice president for Health Sciences.

Amount Establishing Endowment: \$80,000.00

Total Commitment: \$100,000.00

The Nicholas John Sanders Memorial Scholarship Fund

The Nicholas John Sanders Memorial Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Daniel and Patricia Sanders in memory of their son, Nick Sanders.

This fund is established in memory of Nick Sanders who passed away at age 25 from a pulmonary embolism. He was a graduate of Gahanna Lincoln High School where he lettered in football and basketball. A 2004 graduate of Dartmouth College, Nicholas went on to earn a Master of Accounting degree from The Ohio State University in 2006. He completed his CPA designation requirements and worked as an accountant at Crowe Chizek and Co.

The annual distribution from this fund shall be used to recruit students to the Master of Accounting ("MAcc") program or for scholarships for students already enrolled in the MAcc program at The Max M. Fisher College of Business. Preference shall be given to students entering or enrolled in the program who do not have an undergraduate degree or undergraduate major in accounting. Recipients shall be selected by the chairperson of the Department of Accounting and Management Information Systems in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the Fisher College of Business.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment

portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the dean of the Fisher College of Business.

Amount Establishing Endowment: \$75,250.00

Thomas J. Burns Accounting Honors Endowment Fund

The Thomas J. Burns Accounting Honors Endowment Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from the estate of Thomas J. Burns and additional donors, including former students and colleagues of Thomas J. Burns.

The annual distribution from this fund shall be used to support the Accounting Honors Program and Accounting Honors students in The Max M. Fisher College of Business. The administrator of the fund shall be the chairperson of the Department of Accounting and Management Information Systems. The administrator shall inform Professor Emeritus Daniel L. Jensen, trustee of the Thomas J. Burns estate, and Karl Frey, former student and donor to the fund, about expenditures from the fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the chairperson of the Department of Accounting and Management Information Systems.

Amount Establishing Endowment: \$66,057.29

Edward J. Troyan Endowment Fund

The Edward J. Troyan Endowment Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of

The Ohio State University Foundation, with gifts from the estate of Edward J. Troyan (B.S. 1941).

The annual distribution from this fund shall be used to provide tuition scholarships or grants, or to enhance the quality of education offered by the College of Education and Human Ecology (formerly known as the Department of Education). Scholarship recipients shall be selected by the College's dean in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Education and Human Ecology.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the dean of the College of Education and Human Ecology.

Amount Establishing Endowment: \$65,000.00

The Harriet H. and Howard B. Cary Welding Engineering Fund

The Harriet H. and Howard B. Cary Welding Engineering Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with estate gifts from Harriet H. and Howard B. (B.I.E. 1942) Cary.

The annual distribution from this fund shall be used to enhance welding engineering education and research in the College of Engineering. Uses may include undergraduate scholarships, faculty research, and the purchase and service of teaching and research equipment to support the Welding Engineering program in the Department of Materials Science and Engineering. The program director of Welding Engineering will be responsible for distributing this support.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Engineering in consultation with the chairperson of the Department of Materials Science and Engineering and the program director of Welding Engineering.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the dean of the College of Engineering in consultation with the chairperson of the Department of Materials Science and Engineering and the program director of Welding Engineering.

Amount Establishing Endowment: \$61,068.23

The Dan and Cathy Kraft Family Athletic Scholarship Fund

The Dan and Cathy Kraft Family Athletic Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Daniel D. Kraft Family, LLC of Lancaster, Pennsylvania.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is a member of the men's varsity football team. Scholarship recipients shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowed funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's cost of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from a representative of the donors, should one be available, and from the director of Athletics.

Amount Establishing Endowment: \$60,000.00

Total Commitment: \$150,000.00

The William D. Apple 1941 Scholarship Fund in Civil and
Environmental Engineering

The William D. Apple 1941 Scholarship Fund in Civil and Environmental Engineering was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mrs. William Apple.

The annual distribution from this fund shall be used to support a scholarship for an undergraduate student enrolled in the College of Engineering majoring in civil and environmental Engineering who demonstrates academic merit and financial need. Recipients shall be recommended by the chairperson of the Department of Civil and Environmental Engineering and Geodetic Science and approved by the dean of the College of Engineering in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Engineering in consultation with the chairperson of the Department of Civil and Environmental Engineering and Geodetic Science.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should she be alive, and from the

dean of the College of Engineering in consultation with the chairperson of the Department of Civil and Environmental Engineering and Geodetic Science.

Amount Establishing Endowment: \$59,500.00

The Janet Kay Wilson Endowed Scholarship Fund in Medical
Technology

The Janet Kay Wilson Endowed Scholarship Fund in Medical Technology was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with estate gifts from Janet Kay Wilson and from Kathi Wilson in honor and memory of Janet Kay Wilson (B.S. Medical Technology, 1968).

The annual distribution from this fund shall be used to support scholarships for undergraduate students enrolled in the Division of Medical Technology in the School of Allied Medical Professions. Selection of the recipients shall be made based on financial need and academic merit. Scholarship recipients will be selected at the recommendation of the director of the Division of Medical Technology and as approved by the director of the School of Allied Medical Professions in consultation with the University's Office of Student Financial Aid, the dean of the College of Medicine, and the College's Student Financial Services.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the director of the School of Allied Medical Professions and the dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from Kathi Wilson, if possible, and as recommended by the director of the School of Allied Medical Professions and the dean of the College of Medicine.

Amount Establishing Endowment: \$59,094.21

The Pfeifer Family Softball Scholarship Fund

The Pfeifer Family Softball Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Pfeifer Family Foundation, of Tucson, Arizona.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who pursuing a degree at The Ohio State University and is a member of the softball team. Scholarship recipients shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from a representative of the donor, should one be available, and from the director of Athletics.

Amount Establishing Endowment: \$56,250.00

Total Commitment: \$150,000.00

Optometric Educators Scholarship Fund

The Optometric Educators Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Optometric Educators Inc.

The annual distribution from this fund shall provide a scholarship to a professional student in the College of Optometry for the best qualified applicant. The College's Admissions Committee will select scholarship recipients at its discretion and coordinate these scholarships with the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from a representative of the donor, should one be available, and from the dean of the College of Optometry.

Amount Establishing Endowment: \$51,365.00

The Patricia DiNunzio Ovarian Cancer Endowment Fund

The Patricia DiNunzio Ovarian Cancer Endowment Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from Patricia A. DiNunzio (B.A. 1983) of Westerville, Ohio.

The endowment recognizes the work of Larry Copeland, M.D. of the Division of Gynecologic Oncology at the OSU Medical Center, especially the outstanding care and treatment he provided for Patricia.

The annual distribution from this fund shall support medical research, education/training, and other programs for prevention, treatment and cure of ovarian cancer disease in the Division of Gynecologic Oncology in the Department of Obstetrics and Gynecology. Allocation of distribution shall be made at the recommendation of the division director in consultation with the chairperson of the Department of Obstetrics and Gynecology, the dean of the College of Medicine, and the senior vice president for Health Sciences.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the director or chairperson.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment

portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor (or her designee) and as recommended by the chairperson of the Department of Obstetrics and Gynecology in consultation with the dean of the College of Medicine and the senior vice president for Health Sciences.

Amount Establishing Endowment: \$50,000.00

The Darwin P. Geringer Memorial Endowed Scholarship Fund

The Darwin P. Geringer Memorial Endowed Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from The Benjamin P. Forbes Company of Broadview Heights, Ohio.

The annual distribution from the endowed fund shall be used to support at least one scholarship to be awarded annually to an undergraduate or graduate student majoring in food science and nutrition. Qualified applicants will be of at least sophomore standing and will have demonstrated academic achievement. Previous recipients of the scholarship shall be eligible to receive the scholarship again in subsequent years. Scholarship recipients shall be selected by the Department of Food Science and Technology's scholarship selection committee in accordance with guidelines and procedures established by the dean of the College of Food, Agricultural, and Environment Sciences or his/her designee and in consultation with the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowed funds shall be in accordance with University policies and procedures by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs, or reinvested in the endowment principal at the discretion of the dean.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be

designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should it exist, and from the vice president for Agricultural Administration and executive dean for Food, Agricultural, and Environmental Sciences.

Amount Establishing Endowment: \$50,000.00

The Ann Lowenstein Gottlieb Scholarship Fund

The Ann Lowenstein Gottlieb Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Ann and Jules Gottlieb Foundation on behalf of Lawrence Gottlieb, Lisa Senter, Lynne Blumenstock, and Jon Gottlieb in memory of their mother, Ann Lowenstein Gottlieb (B.S.Bus.Adm. 1948).

Mrs. Gottlieb was a 1948 graduate of The Ohio State University. Together with her husband, she founded ABCO Refrigeration Supply Corp. in 1949 and today their family continues to operate the multi-state air conditioning and refrigeration wholesale distribution company. Philanthropic and socially concerned, Mrs. Gottlieb served on several non-profit and community boards. With her husband, she was instrumental in the creation of the Ann and Jules Gottlieb Women's Comprehensive Health Center of Long Island Jewish Hospital Medical Center in 1996, which was one of the first centers in the New York City area dedicated to the multi-faceted medical treatment of women, and also founded the Ann and Jules Gottlieb Family Violence Center at the Samuel Field Y in Queens, New York.

The annual distribution from this fund shall be used to award scholarships for students who are enrolled in the undergraduate program at The Max M. Fisher College of Business who have at least a 3.0 grade point average with preference given to students demonstrating financial need. Recipients shall be selected by the director of the College's undergraduate program office in consultation with the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original

intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from a representative of the donors, should one be available, and from the dean of Fisher College of Business.

Amount Establishing Endowment: \$50,000.00

The Hongor Oulanoff Memorial Lecture in Classical and/or
Contemporary Russian Literature Fund

The Hongor Oulanoff Memorial Lecture in Classical and/or Contemporary Russian Literature Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Constance Alexa Oulanoff in memory of Hongor Oulanoff, professor of Russian at The Ohio State University, 1963-1991.

The purpose of this fund is to promote a better understanding and appreciation of classical and contemporary Russian literature. The annual distribution from this fund shall provide an annual lecture by a distinguished scholar of Russian literature to be selected by the chairperson of the Department of Slavic and East European Languages and Literatures in consultation with the donor or her designee and approved by the executive dean of the College of Arts and Sciences.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, or her designee, and from the executive dean of the College of Arts and Sciences in consultation with the chairperson of the Department of Slavic and East European Languages and Literatures.

Amount Establishing Endowment: \$50,000.00

The Thomas Family Football Fund

The Thomas Family Football Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with guidelines approved by the Board of Directors of The Ohio State

University Foundation, with a gift from Dr. Donald W. Thomas (B.S. 1960, M.S. 1961) and Margo E. Thomas from Galena, Ohio.

The annual distribution from this fund shall be used for the football program's academic support services and resources as recommended by the head football coach or his designee from the football program staff and a member of the Student-Athlete Support Services Office staff.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowed funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's cost of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the director of Athletics.

Amount Establishing Endowment: \$50,000.00

The Philip M. Ewing Innovation Fund

The Philip M. Ewing Innovation Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Philip M. Ewing (B.S.Bus.Adm. 1943).

The annual distribution from this fund shall be used by the dean of The Max M. Fisher College of Business to support activities of the faculty, students, and staff in accordance with the College's strategic plans.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment

ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the dean of Fisher College of Business.

Amount Establishing Endowment: \$35,000.00 (unrestricted)

Virginia Eldridge Harmon and David Harmon Scholarship Fund

The Virginia Eldridge Harmon and David Harmon Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from David (B.A. and B.S. 1973, M.A. 1979) and Virginia (B.S. 1979) Harmon.

The annual distribution from this fund shall provide a scholarship to an incoming undergraduate student intending to major in the arts and sciences who represents the first generation of his/her family to attend college. The scholarship is renewable for up to four years as long as the recipient maintains a 3.0 grade point average. Scholarship recipients shall be selected by the executive dean of the College of Arts and Sciences in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the executive dean of the College of Arts and Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the executive dean of the College of Arts and Sciences.

Amount Establishing Endowment: \$32,081.43 (grandfathered)

The Robert J. and Yvonne E. Gustafson Scholarship Fund

The Robert J. and Yvonne E. Gustafson Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Yvonne E. (Ph.D. Education 1993) and Robert J. Gustafson of Worthington, Ohio.

The annual distribution from this endowed fund shall be used to support one or more scholarships for undergraduate engineering students in the Department of Food, Agricultural, and Biological Engineering in the College of Food, Agricultural, and Environmental Sciences. Recipients shall be selected by the Department of Food, Agricultural, and Biological Engineering scholarship selection committee in accordance with guidelines established by the vice president for Agricultural Administration and executive dean for Food, Agricultural, and Environmental Sciences, or his/her designee, in consultation with the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purposes, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the vice president for Agricultural Administration and executive dean for Food, Agricultural, and Environmental Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the total University endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Board shall seek advice from the donors, should they be alive, and from the vice president for Agricultural Administration, and executive dean for Food, Agricultural, and Environmental Sciences.

Amount Establishing Endowment: \$28,900.00 (grandfathered)

The Rubin Family Scholarship Fund

The Rubin Family Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Herschel Alan Rubin (B.S. 1937 Applied Optics) and the late Mrs. Elsa Alexander Rubin (B.S. 1938 Education) of East Liverpool, Ohio; Mrs.

Elsa Rubin Efran (B.A. 1963 Journalism) and Dr. Jay Steven Efran (Ph.D. 1963 Psychology) of Philadelphia, Pennsylvania; and Mr. Leon Martin Rubin (B.A. 1977 Journalism, M.A 1987 Journalism) and Mrs. Susan Davis Rubin of Dahlonaga, Georgia. Contributions also have been made by family and friends in memory of Mrs. Elsa Alexander Rubin.

The annual distribution from this fund shall be used to provide one or more scholarships to graduates of East Liverpool High School in Ohio. If no students qualify then it is the donors' preference is to support graduates of other high schools in Columbiana County, Ohio. It is the donors' desire that there be no more than four scholarships awarded at the minimum of \$500 each during a given academic year. It is the donors' preference that the scholarships are divided equally among the recipients annually. The annual distribution may be used to provide scholarships towards the cost of tuition, room and board, books, an educational stipend, and miscellaneous educational expenses. It is the donors' desire that there be no stipulation to financial need.

The scholarship shall be distributed equally over three quarters of the academic school year. The scholarship is renewable up to twelve quarters or eight semesters, or until completion of a baccalaureate degree, whichever comes first.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal. This scholarship fund will be administered by the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from a representative of the donors, should one be available, and from the director of the Office of Student Financial Aid.

Amount Establishing Endowment: \$27,731.00 (grandfathered)
The Mousa Scholarship Fund

The Mousa Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Bruce E. (M.A. 1978, Ph.D. 1991) and Sara Ann Mousa.

The annual distribution shall provide one or more awards for students enrolled in the College of Education and Human Ecology. First preference will be given to students who demonstrate the criteria of an educational change agent. Factors to meet these criteria will be determined in consultation with the proposed Ed.D. program. Awards will be used to fund the time, work, and presentations necessary for the students to help publicize the proposed program at The Ohio State University. Additionally, this award will provide students with the means to be a conduit between research and impacting change within the school systems. Second preference will be to provide scholarship funding to students who have been accepted into the proposed Ed.D. program to help offset the cost to further their leadership training. Third preference will be for doctoral students studying education administration. Recipients will be selected by the dean of the College of Education and Human Ecology in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the dean of the College of Education and Human Ecology.

Amount Establishing Endowment: \$26,000 (grandfathered)

The Pursch Family Memorial Fund

The Pursch Family Memorial Fund formerly known as The Pursch Family Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from William C. Pursch (Ph.D.1982 Education) and Lenore Wilson Pursch of Springfield, Ohio, in loving memory of their daughters, Wendy L. Pursch Stolfo and Heidi A. Pursch Yarberrry (B.S. 1999 Horticulture).

The annual distribution from this fund shall be used to provide support for undergraduate students in the College of Food, Agricultural, and Environmental Sciences who are majoring in horticulture and who are participating in study abroad programs. Preference shall be given to those students studying in England. The annual distribution may also be used to support other student experiences that contribute to their

professional development in horticulture.

Undergraduate students who are selected to receive support from this fund must be in good academic standing and progressing toward their degrees. Recipients shall be selected by the Department of Horticulture and Crop Science's scholarship selection committee in accordance with guidelines established by the vice president for Agricultural Administration and executive dean for Food, Agricultural, and Environmental Sciences, and in consultation with the University's Office of Student Financial Aid. The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion shall be reinvested into the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the vice president for Agricultural Administration and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the chairperson of the Department of Horticulture and Crop Science.

Amount Establishing Endowment: \$25,660.00 (grandfathered)

T. L. Jones Scholarship Fund

The T. L. Jones Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dr. Susan Jones Sears (B.S.Ed. 1963, Ph.D. Education 1972) of New Albany, Ohio.

The annual distribution from this fund shall be used to provide a scholarship(s) to a graduate student(s) enrolled in the Counselor Education Program in the College of Education and Human Ecology. Recipients shall be recommended by the College's scholarship committee and approved by the dean in coordination with the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for

its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Education and Human Ecology.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should she be alive, and from the dean of the College of Education and Human Ecology.

Amount Establishing Endowment: \$25,200.00 (grandfathered)

Schieber Pharmacy Scholarship Fund

The Schieber Pharmacy Scholarship Fund was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Larry (B.S. 1981) and Kim Schieber.

The annual distribution from this fund shall be used shall be used to support a scholarship in the College of Pharmacy with preference given to candidates from Pickaway County, Ohio. Scholarship recipients will be selected by the dean of the College of Pharmacy in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original

intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the dean of the College of Pharmacy.

Amount Establishing Endowment: \$25,000.00 (grandfathered)

The Charles R. Verbanic Endowment Fund in Dentistry

The Charles R. Verbanic Endowment Fund in Dentistry was established February 11, 2011, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Charles R. Verbanic (B.A. 1973, D.D.S. 1976).

The annual distribution from this fund shall be used to purchase equipment for the College of Dentistry as approved by the dean of the College.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Dentistry.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should he be alive, and from the dean of the College of Dentistry.

Amount Establishing Endowment: \$25,000.00 (grandfathered)

Change in Name of Named Endowed Funds

Allan Markowitz Graduate Award Fund in Observational Astronomy

The Allan Markowitz Graduate Award Fund in Observation Astronomy was established April 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Allan Markowitz (M.S. 1966, Ph.D. 1969). The name was corrected February 11, 2011.

The annual distribution from this fund shall be used to supplement existing assistantships or fellowships in the Department of Astronomy. The award will be given to a graduate student who is conducting

research or developing a research agenda in areas of observational astronomy. Award recipients shall be selected by the chairperson of the Department of Astronomy.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate, shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should he be alive, and from the dean of the Division of Natural and Mathematical Sciences in the College of Arts and Sciences.

Lawrence C. Mitchell Engineering Scholarship Fund

The Carol Z. and Lawrence C. Mitchell Engineering Scholarship Fund was established February 6, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Lawrence C. Mitchell (M.S. Industrial Engineering 1964) of Valley City, Ohio, in honor of his wife, Carol Z. Mitchell. The name was revised February 11, 2011.

The annual distribution from this fund shall provide need-based scholarships for students majoring in engineering from Medina or Lorain Counties of Ohio who are taking or have taken the freshmen honors engineering courses or the equivalent. Recipients shall be selected by the dean of the College of Engineering in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment

portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, should he be alive, and from the dean of the College of Engineering.

Change in Description of Named Endowed Funds

The Ruann F. Ernst and William C. Riffle Endowed Scholarship Fund

The Ruann F. Ernst and William C. Riffle Endowed Scholarship Fund was established September 18, 2009, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Ruann F. Ernst (B.S.Ed. 1968 – *summa cum laude*, M.A. 1969, Ph.D. 1977) and Mr. William C. Riffle of Scottsdale, Arizona. The description was revised February 11, 2011.

The annual distribution from this fund shall be used to provide merit, financial need-based scholarship support to undergraduate technology students who are United States citizens, with a first preference for engineering majors. The recipients will be pursuing a course of study in translational science, technology commercialization, or new venture creation, and entrepreneurship. The recipients will also be expected to participate in the technology commercialization program within the Center for Entrepreneurship. Scholarship recipients shall be selected by the academic director of the Center for Entrepreneurship in consultation with the Office of Student Financial Aid and the deans of The Max M. Fisher College of Business and the College of Engineering. The Ernst and Riffle Scholarship is renewable as determined by student interest and at the discretion of the academic director of the Center for Entrepreneurship.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the deans of the Fisher College of Business and the College of Engineering.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the academic director of the Center for Entrepreneurship in consultation with the Office of Student Financial Aid and the deans of the Fisher College of Business and the College of Engineering.

The John and Cindy Feltz Scholarship Fund

The John and Cindy Feltz Scholarship Fund was established on May 3, 2002, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from John Feltz (B.E.E. 1961, M.B.A 1979) and Cindy Feltz (B.S.Ed. 1961) of Fairborn, Ohio. The description was revised February 11, 2011.

The annual distribution from this fund shall be used to provide scholarships to incoming freshmen from Greene County, Ohio. Scholarship candidates will be reviewed and recommended by the Greene County Alumni Club as long as the Club continues to exist. Scholarship recipients shall be selected by the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the director of the Office of Student Financial Aid in consultation with the Greene County Alumni Club as long as the Club continues to exist.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from a representative of the donors if feasible, and from the director of the Office of Student Financial Aid.

The Gordon and Mary Flax Scholarship Fund

The Gordon and Mary Flax Scholarship Fund was established May 7, 1999, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Gordon and Mary Flax of South Charleston, Ohio. The gift was made in honor of their lifetime of involvement in agriculture and home economics, and for the continued education of Clark County residents in these fields. The description was revised February 11, 2011.

The annual distribution shall be used equally to support scholarships in the College of Food, Agricultural, and Environmental Sciences including the School of Environment and Natural Resources, and in the College of Education and Human Ecology. Each college may award one or more scholarships. Eligible students must be from Clark County, Ohio, and of at least sophomore standing with a cumulative grade point average of at least 2.5. The scholarships may be awarded to the same students in successive years, not to exceed 3 years, provided the students remain in good academic standing, are progressing towards their degrees, and continue to meet the scholarship's criteria. In the event that there are no qualified students of at least sophomore standing, freshmen who meet all other criteria may be considered for the scholarship.

Scholarship recipients shall be selected by the scholarship selection committee in each college in accordance with guidelines approved by the respective dean, and in consultation with the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purposes, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the vice president for Agricultural Administration and executive dean for Food, Agricultural, and Environmental Sciences, and the dean of the College of Education and Human Ecology.

Charles W. and Gwyenna L. Lifer 4-H Scholarship Fund

The Charles W. and Gwyenna L. Lifer 4-H Scholarship Fund was established May 7, 1999, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Charles W. and Gwyenna L. Lifer. The description was revised February 11, 2011.

The annual distribution from this fund shall be used to support at least one scholarship for an incoming freshman enrolled in the College of Food, Agricultural, and Environmental Sciences at The Ohio State University main campus, ATI, or any of its regional campuses. Qualified candidates must be current or former 4-H members who are involved in leadership activities. Preference is given to students from Richland, Knox, or Monroe Counties in Ohio. In the event that there are no qualified incoming freshmen, students of sophomore, junior, or senior standing who meet all other criteria may be considered for the scholarship.

Recipients shall be selected by the OSU Extension 4-H Youth Development scholarship selection committee in accordance with guidelines established by the vice president for Agricultural Administration and executive dean for Food, Agricultural, and Environmental Sciences, and in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purposes, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donors, should they be alive, and from the vice president for Agricultural Administration and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the assistant director of OSU Extension for 4-H Youth Development.

Change in Name and Description of Named Endowed Funds

The Nancy L. and James L. Fisher Football Athletics Scholarship Fund

The Nancy L. Fisher Football Athletic Scholarship Fund was established April 4, 2008, by the Board of Trustees of The Ohio State

University in accordance with guidelines approved by the Board of Directors of The Ohio State University Foundation, with original gifts from James L. Fisher of Akron, Ohio in memory of his wife Nancy. Additional gifts were given from James' sister, Cheryl S. Fisher, of Wadsworth, Ohio, in James' memory. The name and description were revised February 11, 2011.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is a member of the varsity football team and is pursuing an undergraduate degree at The Ohio State University. The recipient shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowed funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's cost of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from Cheryl S. Fisher, should she be alive, and from the director of Athletics.

The PNC Dean's Innovation Fund

The National City Bank Dean's Innovation Fund was established April 5, 2002, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the National City Bank. National City Bank was acquired by PNC December 31, 2008. The name and description were revised February 11, 2011.

The annual distribution from this fund shall be used to support new initiatives in The Max M. Fisher College of Business by faculty and students as determined by the dean. Initiatives could include technology innovation and instruction, executive education projects, and M.B.A. fellowships with a potential internship with PNC. Fellowships shall be awarded in cooperation with the University's Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the Fisher College of Business.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from a representative of the donor, should one be available, and from the dean of the Fisher College of Business.

**AMENDED
AUTHORIZATION TO ENTER INTO PROFESSIONAL
SERVICES CONTRACTS AND CONSTRUCTION CONTRACTS**

Resolution No. 2011-52

**APPROVAL TO ENTER INTO PROFESSIONAL SERVICES
CONTRACTS**

BOILER REPLACEMENT
SELECTED TUNNEL REPLACEMENT PROJECTS

**APPROVAL TO ENTER INTO CONSTRUCTION CONTRACTS
CAMPUS ELECTRIC CAPACITY, PHASE 2 (NEW SUBSTATION)
HOSPITAL KITCHENS RENOVATION**

Synopsis: Authorization to enter into professional services contracts and enter into construction contracts, as detailed in the attached materials, is requested.

WHEREAS in accordance with the attached materials, the University desires to undertake and enter into professional services contracts for the following projects:

	Prof Svc Amount	Total Project	
Boiler Replacement	\$2.6M	\$15.2M	University Bond Proceeds
Selected Tunnel Replacement Projects	\$1.5M	\$10.4M	University Bond Proceeds

WHEREAS in accordance with the attached materials, the University desires to undertake and enter into construction contracts for the following projects:

	Constr. Amount	Total Project	
Campus Electric Capacity, Phase 2 (New Substation)	\$50.0M	\$57.6M	University Bond Proceeds
Hospital Kitchens Renovation	\$9.3M	\$12.1M	Auxiliary Funds

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Administration and Planning and Special Assistant to the President be authorized to enter into professional services contracts and enter into construction contracts for the projects listed above in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(See Appendix XXV for background information, page 533.)

**SUPPORT OF AN APPLICATION TO THE
DEPARTMENT OF DEVELOPMENT**

Resolution No. 2011-53

Synopsis: The Ohio State University Board of Trustees is interested in supporting the University's application to the Department of Development for the Brownfield Revolving Loan Fund to support the Hopkins and Hayes Halls Renovations.

WHEREAS the State of Ohio, through the Department of Development, provides financial assistance to local governments for the purpose of addressing local needs; and

WHEREAS The Ohio State University intends to use support from the Brownfield Revolving Loan Fund Program to complete eligible activities, including but not limited to asbestos remediation in the historic Hayes Hall as part of the Hopkins and Hayes Halls Renovations redevelopment project; and

WHEREAS Hopkins and Hayes Halls Renovations is an approximately 0.64 acre property located principally at 108 North Oval Mall, Columbus, Ohio 43210 identified as Permanent Parcel Number 010-067007-00 and located within the boundary of the City of Columbus, Ohio; and

WHEREAS The Ohio State University intends to remediate the property, which formerly operated as the Department of Art History for redevelopment into Department of Industrial, Interior, and Visual Communication Design; and

WHEREAS The Ohio State University Board of Trustees is committed to working with The Ohio State University to pursue the remediation and redevelopment of the property; and

WHEREAS the Brownfield Revolving Loan Fund grant is vital to making the completion of the \$1.2M Hopkins and Hayes Halls Renovations redevelopment project economically feasible.

NOW THEREFORE

BE IT RESOLVED, That The Ohio State University Board of Trustees approves and authorizes The Ohio State University to pursue use of the \$141,000 Brownfield Revolving Loan Fund grant for work at the Hopkins and Hayes Halls Renovations, and the Department of Development is encouraged to fund this innovative and worthwhile project.

ACCEPTANCE OF THE REPORT OF AUDIT FOR 2009-2010

Resolution No. 2011-54

Synopsis: The report of the audit of the financial statements for The Ohio State University for 2009-2010 conducted by Deloitte & Touche is recommended for acceptance.

WHEREAS with the approval of the Auditor of State, The Ohio State University entered into a five-year agreement with Deloitte & Touche in 2006 for an annual audit of the University for fiscal years 2005-2006 through 2009-10; and

WHEREAS the Deloitte & Touche audit of the University for 2009-2010, meeting the requirements of the Auditor of State, has been received and the accounts, records, files, and reports of the University have been found to be in satisfactory condition, and certain constructive service comments have been discussed with the Audit Committee; and appropriate procedures and responses are being developed as a result of these comments:

NOW THEREFORE

BE IT RESOLVED, That the report of the Deloitte & Touche audit for The Ohio State University for 2009-2010, including the report on the audit of the University's financial statements and the summary of constructive service comments to management, be accepted.

**RATIFICATION AND APPROVAL OF THE AMENDMENT AND
RESTATEMENT OF THE OHIO STATE UNIVERSITY
SUPPLEMENTAL QUALIFIED RETIREMENT PLAN, RENAMED
THE RETIREMENT CONTINUATION PLAN**

Resolution No. 2011-55

Synopsis: Ratification and approval of the amendment and restatement of The Ohio State University Supplemental Qualified Retirement Plan, renamed the Retirement Continuation Plan ("Plan") is proposed.

WHEREAS the Board of Trustees originally adopted the Plan effective July 1, 2001; and

WHEREAS the University has the authority to amend the Plan from time to time; and

WHEREAS the University is required to amend the Plan to comply with the Internal Revenue Code (the "Code") and other applicable laws, regulations, and administrative authority and desires to amend the Plan to make certain administrative changes; and

WHEREAS the University desires to obtain a favorable determination letter from the Internal Revenue Service ("IRS") that the Plan continues to meet the qualification requirements of the Section 401(a) et seq. of the Internal Revenue Code; and

WHEREAS the Board of Trustees has reviewed and considered the actions of the Office of Legal Affairs, the Office of Human Resources and the Senior Vice President and Chief Financial Officer for Business and Finance to fully amend and restate the Plan, effective as of January 1, 2011, in substantially the form attached as Exhibit A and incorporated herein by reference, and to submit the Plan to the IRS; and

WHEREAS the Board of Trustees has determined that the actions of the Office of Legal Affairs, the Office of Human Resources and the Senior Vice President for Business and Finance and Chief Financial Officer to amend and restate and execute the Plan on behalf of the University and to submit the Plan to the IRS prior to the date of this Resolution were proper and in the best interests of the University:

NOW THEREFORE

BE IT RESOLVED, That the amended and restated Plan, in substantially the form attached as Exhibit A be, and hereby is, approved, ratified and adopted effective as of January 1, 2011; and

BE IT FURTHER RESOLVED, That, the adoption and implementation of the Plan and any actions relating thereto by the Office of Legal Affairs, the Office of Human Resources and the Senior Vice President for Business and Finance and Chief Financial Officer that have been taken or made prior to the date of this Resolution are hereby ratified, affirmed and approved; and

BE IT FURTHER RESOLVED, That the Senior Vice President for Business and Finance and Chief Financial Officer is hereby authorized to execute any other instruments, documents or conveyances necessary to effectuate the amendment and restatement of the Plan and the submission of the Plan to the IRS.

(See Appendix XXVI for background information, page 539.)

Upon motion of Mr. Shumate, seconded by Mr. Brass, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Trustees Wexner, Borrer, O'Dell, Shumate, Hicks, Fisher, Schottenstein, Brass, Ratner, Marbley, Kass, Jurgensen, Reid, Wadsworth, and Kellogg.

**NAMING OF THE MARCI AND BILL INGRAM COMPREHENSIVE
CENTER FOR AUTISM SPECTRUM DISORDERS AT THE OHIO
STATE UNIVERSITY MEDICAL CENTER AND
NATIONWIDE CHILDREN'S HOSPITAL**

Resolution No. 2011-56

Synopsis: The naming of a virtual autism center that will recognize gifted physicians, medical researchers, social scientists, legal scholars, and educators at The Ohio State University Medical Center and at Nationwide Children's Hospital who are working together to solve the puzzle of autism.

WHEREAS U.S. Centers for Disease Control reports staggering increases in cases of autism spectrum disorders (ASD); and

WHEREAS Columbus, Ohio is the home of two leading institutions in the field of autism research: The Ohio State University Medical Center and Nationwide Children's Hospital; and

WHEREAS combining the efforts of these two institutions would allow scientists and faculty to attack a comprehensive range of issues, from initial diagnosis through adulthood; and

WHEREAS Marci and Bill Ingram have provided contributions to the Marci and Bill Ingram Research Fund for Autism Spectrum Disorders:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the Board of Trustees approves that the aforementioned autism center, a collaborative effort between The Ohio State University Medical Center and Nationwide Children's Hospital be established and named the Marci and Bill Ingram Comprehensive Center for Autism Spectrum Disorders.

PERSONNEL ACTIONS

Resolution No. 2011-57

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the October 29, 2010, meeting of the Board, including the following Appointments, Appointments/Reappointments of Chairpersons/Director, Leave of Absence without Salary, Professional Improvement Leave, Professional Improvement Leave – Cancellations, Professional Improvement Leave – Change in Dates, Emeritus Titles and Emeritus Titles – change in Department, be approved.

Appointments

Name:	DOUGLAS A. BERMAN
Title:	Professor (Robert J. Watkins/Procter & Gamble Professorship)
College:	Law

Effective: February 11, 2011, through January 31, 2016

Name: JOHN C. BYRD
Title: Professor (D. Warren Brown Designated Chair in Leukemia Research)
College: Medicine
Term: February 1, 2011, through June 30, 2015

Name: DAVID R. COLE
Title: Ohio Research Scholar Professor
College: Arts and Sciences
Effective: September 22, 2010

Name: ALEXANDER R. FISCHER
Title: Senior Advisor on Economic Development
Office: President
Effective: October 15, 2010

Name: STEPHEN M. GAVAZZI
Title: Dean and Director
Regional Campus: The Ohio State University – Mansfield
Term: January 1, 2011, through June 30, 2016

Name: MARTHA GULATI
Title: Clinical Associate Professor (The Sarah Ross Soter Endowed Chair in Women's Cardiovascular Health at OSU Heart Center)
College: Medicine
Term: November 22, 2010, through October 31, 2015

Name: GUIDO MARCUCCI
Title: Professor (The John B. and Jane T. McCoy Chair in Cancer Research)
Center/Hospital/Institute: Comprehensive Cancer Center/The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute
Term: December 1, 2010, through November 30, 2015

Name: LINDA C. MARTIN
Title: Professor (The Sanford G. Price and Isabelle P. Barbee Chair in Teaching, Advising, and Learning)
College: Food, Agricultural, and Environmental Sciences
Term: January 1, 2011, through December 31, 2015

Name: PETER J. MOHLER
Title: Director
Institute: Dorothy M. Davis Heart and Lung Research Institute
Effective: January 1, 2011, through December 31, 2014

Name: ELECTRA D. PASKETT
Title: Professor (The Marion N. Rowley Chair in Human Cancer Genetics Research)
College: Medicine
Term: February 1, 2011, through June 30, 2015

Name: PHYLLIS K. TEATER
Title: Chief Information Officer and Senior Associate

Center: Vice President
Effective: Medical Center
September 1, 2010

Appointments/Reappointments of Chairpersons/Director

MEYER J. BENZAKEIN*, Interim Chair, Department of Aviation, effective January 1, 2011, through March 31, 2011.

JOHN V. CAMPO, Interim Chair, Department of Psychiatry, effective January 1, 2011, through December 31, 2011.

JONATHAN J. FOX, Interim Chair, Department of Consumer Sciences, effective July 1, 2010, through June 30, 2011.

ROBERT A. FOX*, Chair, Department of Speech and Hearing Science, effective July 1, 2011, through June 30, 2015.

JOSEPH S. HOGAN, Interim Chair, Department of Animal Sciences, effective January 1, 2011, through September 30, 2011.

JAMES E. KINDER, Chair, Department of Human Nutrition, effective January 1, 2011, through June 30, 2015.

JAMES E. KINDER, Interim Director, School of Physical Activity and Educational Services, effective January 1, 2011.

ROBERT LEE*, Chair, Department of Electrical and Computer Engineering, effective January 1, 2011, through August 31, 2014.

*reappointment

Leave of Absence Without Salary

BHAVIK R. BAKSHI, Professor, Lowrie Department of Chemical and Biomolecular Engineering, effective Autumn Quarter 2010 and Winter Quarter 2011.

MARTHA E. CHAMALLAS, Professor, Moritz College of Law, effective Autumn Quarter 2010, Winter Quarter, Spring Quarter and Summer Quarter 2012.

DAVID LEE, Professor, Department of Computer Science and Engineering, effective November 15, 2010 through November 14, 2011.

PETER M. SHANE, Professor, Moritz College of Law, effective Autumn Quarter 2011, Winter Quarter, Spring Quarter and Summer Quarter 2012.

HAKAN FERHATOSMANOGLU, Associate Professor, Department of Computer Science and Engineering, effective January 2, 2011, through June 30, 2011.

HEATHER WEBB, Associate Professor, Department of French and Italian, effective January 3, 2011, through March 17, 2011.

Professional Improvement Leave

AMANDA D. RODEWALD, Professor, School of Environment and Natural Resources, effective Autumn Quarter 2011 and Winter Quarter 2012.

MAGDA A. EL-SHERBINI, Associate Professor, University Libraries, effective Winter Quarter and Spring Quarter 2011.

PAUL G. RODEWALD, Associate Professor, School of Environment and Natural Resources, effective Autumn Quarter 2011 and Winter Quarter 2012.

SANDRA J. TANENBAUM, Associate Professor, College of Public Health, effective Autumn Quarter 2011 and Winter Quarter 2012.

Professional Improvement Leave – Cancellations

MARTHA A. BELURY, Professor, Department of Human Nutrition, change effective Winter Quarter and Spring Quarter 2011.

PATRICIA L. SCHARER, Professor, School of Teaching and Learning, effective Winter Quarter and Spring Quarter 2011.

ZHENCHAO QIAN, Professor, Department of Sociology, effective Winter Quarter and Spring Quarter 2011.

CRAIG M. VOLDEN, Professor, Department of Political Sciences, effective Winter Quarter and Spring Quarter 2011.

JOE E. WHEATON, Associate Professor, School of Physical Activity and Educational Services, effective Autumn Quarter 2010 and Winter Quarter 2011.

Professional Improvement Leave – Change in Dates

WALTER C. RUCKER, Associate Professor, Department of African American and African Studies, change effective dates from Autumn Quarter 2010, Winter Quarter and Spring Quarter 2011 to Autumn Quarter 2010 and Winter Quarter 2011.

Emeritus Titles

KAREN A. BELL, Department of Dance with the title Professor Emeritus, effective January 1, 2011.

LUCY S. CASWELL, University Libraries with the title Professor Emeritus, effective January 1, 2011.

RAIMUND E. GOERLER, University Libraries with the title Professor Emeritus, effective January 1, 2011.

BARBARA D. GROSECLOSE, Department of History of Art with the title Professor Emeritus, effective July 1, 2011.

MARSHA J. HAMILTON, University Libraries with title Professor Emeritus, effective January 1, 2011.

FRANCIS A. IRELE, Department of African American and African Studies with the title Professor Emeritus, effective February 1, 2011.

DAVID L. JOHNSON, School of Environment and Natural Resources with the title Professor Emeritus, effective January 1, 2011.

JUDITH S. MAYNE, Department of French and Italian with the title Professor Emeritus, effective July 1, 2011.

KATHERINE MEYER, Department of Sociology with the title Professor Emeritus, effective February 1, 2011.

CHARLES H. DRUMMOND, Department of Materials Science and Engineering, with the title Associate Professor Emeritus, effective January 1, 2011.

A. HARDING GANZ, Department of History (Newark), with the title Associate Professor Emeritus, effective July 1, 2011.

ROBERT G. LUNDQUIST, Department of Mining Engineering with the title Associate Professor Emeritus, effective January 1, 2011.

JILL E. NOLAN, Ohio State University Extension with the title Associate Professor Emeritus, effective January 1, 2011.

STEPHEN J. SUMMERHILL, Department of Spanish and Portuguese with the title Associate Professor Emeritus, effective July 1, 2011.

JULIE A. DALZELL, Ohio State University Extension with the title Assistant Professor Emeritus, effective January 1, 2011.

HARRY L. GRABER, Department of Internal Medicine with the title Clinical Assistant Professor Emeritus, effective February 1, 2011.

WILLIAM T. GRUNKEMEYER, Ohio State University Extension with the title Assistant Professor Emeritus, effective May 1, 2011.

MICHAEL E. MILLER, Ohio State University Extension with the title Assistant Professor Emeritus, effective February 1, 2011.

PENELOPE A. PEARSON, University Libraries with the title Assistant Professor Emeritus, effective January 1, 2011.

THOMAS B. TURNER, Department of Animal Sciences with the title Assistant Professor Emeritus, effective February 1, 2011.

Emeritus Title – Change in Department

NICHOLAS A. VOTOLATO, change Department from Pharmacy to Department of Psychiatry, with the title Clinical Assistant Professor Emeritus, effective October 1, 2010.

Upon motion of Judge Marbley, seconded by Mr. O'Dell, the Board of Trustees adopted the foregoing resolutions with fourteen affirmative votes, cast by Trustees, Borror, O'Dell, Shumate, Hicks, Fisher, Schottenstein, Brass, Ratner, Marbley, Kass, Jurgensen, Reid, Wadsworth and Kellogg, and one abstention cast by Trustee Wexner.

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Mr. Wexner:

Before we adjourn I would like to take a few minutes, if we could, to go around the room. So I will start with Brandon. Any good welfare observations or comments, or you could say nothing.

Mr. Mitchell:

I would like to applaud Lynn Readey and Molly Ranz. As we all know there was a fire at one of the dorms in Newark and it was reported to the Physical Environment Committee yesterday that there were 44 students displaced and that they were all in hotels and allowed to go back into their dorms and get their personal belongings and personal items including their swimsuits. It seems that they are enjoying themselves at the hotel that we have them staying in. It has an indoor swimming pool, so they are having a good time there. They should be allowed back in that dorm on Sunday, so they will not miss too much class, or be displaced for too long. I think that is a very exciting thing and luckily no one was hurt and everyone was safe.

Dr. Cloyd:

The thing that I walk away with again, I have to say is, it is wonderful that at this University in a time of where at many of the universities, particularly public universities, they see themselves in some kind of a deep hole, disaster, all about them and what kind of reactive measures can they take, that we really have a situation at this University where we can look at our current realities objectively and indeed there are some challenges, but be focused on really planning to do things that will continue to have this University advance to the eminence position we take. It is just a wonderful situation, and I think the meetings were very good in that regard.

Dr. Wadsworth:

I guess I would just echo that. The strategic planning yesterday, I think, reflected a lot of work in the past and is paying off as we anticipate how to deal with the next period of time. I thought something that could have been quite negative is something that is quite positive in terms of how we are planning and preparing for the future.

Dr. Reid:

I am particularly excited about three things. One is the med complex, great. It is just fantastic. Second, a new initiative that is beginning that Dr. J. is heading up that takes students who are very different from each other and putting them together to learn about each other over a period of time. I think that is fantastic. Third, certainly what we are doing to give students the ability to be global citizens, yet still love the state of Ohio. Very excited about that.

Judge Marbley:

Mr. Chairman, three observations. First, no one put better the results of our strategic plan than Dr. Whitacre. Dr. Whitacre leaned over to me and said you know this was really a mind expanding experience. She is our vice president of research, she is a scientist, and she is not given to hyperbole, so I think that she really summed it up quite well. That I thought, from my advantage point, that might have been one of the best meetings, if not the best meeting we have had in four years, because we thought about some really difficult issues and the talents around this table were all brought to bay about how we are going to solve some of the problems that we confront as a University. How we take those problems and turn them into opportunities, I agree with Dr. Whitacre, that was a mind expanding experience.

I also thought that what happened at the Medical Center was transformative not just because we were not even a dark horse to get the grant. It was going to go to some school in Connecticut as it started out, but we got it in any ways, but what it points to, I think the takeaway from that is the significance of our collaborative efforts. Our public, private partnerships, the assistance that was remnant by Battelle, what we were able to do with Dr. Wadsworth's assistance, I think probably distinguished us and helped us to get it. So that was some leadership that Gordon brought to us when he kept pushing us toward private collaboration.

Third, and finally, I thought that Dr. Chait's insights yesterday - we will look back on and find transformative as he has given us a new way to think about how we evolve from excellence to eminence, and how we widen the gap that he talked about, and how we can deliver a high quality product and a great education to our students and the metrics that we need to use that are counterintuitive in terms of doing it. So I think that we take away a lot, and we have a lot yet to do, but we understand how we go about doing it. That is important.

Mr. Schottenstein:

I spoke earlier about what I think I am most excited about. It was not the Audit Committee; it was Development, and the work we are doing in Advancement. I do want to say one thing because I know this is going to embarrass him, but Dr. Schuller was not in the room earlier when we, at your vary appropriate command Les, got up and applauded the work of the Medical Center. I said this yesterday at the Medical Affairs meeting, relative to this \$100 million grant there is just something so fitting. Dr. Schuller was not only a historic leader for the James for many years, and of course still provides extraordinary leadership for the entire Medical Center, but was like a founding father frankly. I know he had 40 people working with him and the great work that Battelle did to help support the grant application was a team effort, but someone had to lead it. He led it. It is the biggest grant in the history of the University and, Dave is in here right now, so Dr. Schuller, thank you.

Mr. Fisher:

I thought we had a lot of opportunity to celebrate a lot of good things at this meeting. I think there is great potential for a lot of change for us to engage in and participate in. In the next 60 days before our April meeting and particularly as we go forward in this country, both

with the budgeting emphasis at the national, state and local units of government, all those impact us in many ways, so we have a lot of opportunity here in the next several months to make a lot more positive change.

Mr. Shumate:

My comments, Mr. Chairman, would be that as I was sitting here, listening to our Committee reports, being really impressed with the substance and quality of the work that is going on in our committees, and appreciate the leadership of our committee chairs as well as the work of the committees, because as a person who is not able to serve on all the committees, it is nice to feel fully informed of the activities and to, quite frankly, be proud of the work that our committees and work groups are engaged in. The second comment would be that as I listen to Judge Marbley's report on the Academic Affairs and Student Life Committee; just to recognize the balance that we have in our student body, when you look at the quality in terms of the tests scores, but also in terms of the diversity of our student body in our incoming classes, equally to hear about the great work going on inside the classroom because of the intelligence and the high quality students we have from an academic standpoint, but the outside of the classroom experiences that the University is offering to our students whether it is rec center opportunities, the social and civic engagement of our students as well as the international experience - so just very impressed with the focus on students and the balance that we are seeing in our student body.

Mr. Borrer:

Of course I echo what my colleagues have said. I just continue to reflect on the issues that we discuss with the administration and among ourselves at the Board, are causing great substantive discussion and intellectual thought, and I think the results that are being produced and the things that are happening here are significantly better and significantly improved and are causing real meaningful change in this University, and it makes me proud.

Mr. O'Dell:

I would like to support what has already been said, but I just marvel at the momentum and the success this University is having. I know it is because of the great leadership we have in place throughout the University. I feel very confident that leadership will steer us through the difficult times ahead and that we will continue to win and excel.

Mr. Hicks:

It has been a great meeting. One of our best, we seem to say that every time we meet, they get better and better because I think they do get better and better. I think we have seen both this group and our committees and certainly the leadership working at a very high level and I think that Mr. Fisher is right, there is a lot of really big challenges ahead of us, but the opportunities are enormous. The great thing about this meeting from Wednesday to Thursday to today is that we are not waiting for somebody else to dictate what we do, we are taking advantage of the opportunities. I think we are being proactive, and I think we are being very creative, and I think

every day that goes by, and every month that goes by, we are raising our game further and further up to meet the level - so just congratulations to the leadership of the University.

Mr. Ratner:

I think it has all been said. I will admit that every time I leave one of these Board meetings I am both totally energized and totally exhausted. It seems to be something that happens every time I touch Ohio State in any way. It seems to have that effect on me. It is exciting, and it is exciting to both look at what we have done and then to see the way we have opened our way to the future. There are not a lot of institutions that can say that at this point in their history and the country's history that can really be as aggressively positive about really much, much better times to come, at the same time be looking back at a record of such achievements. That is a remarkable position to be in, and it is no doubt due to the leadership that Dr. Gee has given and throughout the entire institution. Remarkable group of people, all the way down through our students.

The other comment I wanted to make, it came up yesterday, and we had some discussion about this; it is remarkable the contribution the student trustees make here. I think we should all be very grateful for that. You represent your fellow students so well and then you bring students forth at these meetings that, I always get sort of a chill. The hair goes up on the back of my neck when these kids talk about what Ohio State has meant to them. Small ways Board members can, in some way, contribute to, that is really the most rewarding part of this job. Thank you.

Ms. Kass:

At this point in the circle there is not a whole lot more to add. I would definitely agree with Ron's comment about the kind of energizing effect of our meetings together as well as about this point in time where we are all pretty exhausted, but I think the integration of what we do seems to be the most compelling thought I had at this moment. We just seem to holistically bring together our thinking and our actions and the kind of modeling that is going on. I think the advancement success over the last 12 months, I guess I want to particularly reiterate that I am just really proud of the work that has been done and the people who were involved and the leadership they showed, and how that was modeled throughout the University. I just think it is pretty amazing, and it just shows what kind of place we have here.

Mr. Jurgensen:

I think what strikes me, and it is a continuum, not just this meeting we went through, but it is when you link all the meetings of the last year or two together, is that there are two things that we are working on very hard, that we are working on a concert, and I just want to underscore the importance of that. One is planning, and planning in many forms, but I have strategic planning foremost in my mind, simultaneously with the work on culture. The reason that they are so closely aligned and need to continue to be aligned is all of us in this country, and really to a large extent, the world, the system of education we have is not working. It is not producing what we need

for it to produce as a system. As I continue to think about this, initially I would have thought that the majority of change that is required in this system to enable it to deliver what it should was not in the higher education element of the system. That higher education was closer to doing its job and the problems were more in secondary and primary, but I think as events are unfolding as we just think about the kinds of conversations that we are having about change that is taking place at Ohio State, it is becoming more evident that the majority of change, more change will occur in higher education that is going to take place, that is taking place in secondary/primary/pre-K, those notes in the system. Now the challenge of that is, if you ask people where resistance to change is the highest in the system, as a generalization, it would be in higher education. The thinking and the patterns, the patterns of behavior are most entrenched in higher education. That part of the system that events and requirements create the need to change the most is that part of the system where the resistance is the highest. That is why the linking of the cultural change is absolutely essential if any of the work on advancement, strategy, physical environment, all of that is going to be successful. It will only be successful to the extent that we are able to continue our work on altering the culture of the institution.

None of what I said is not on our radar screen, it is, but I think it is just something we are going to have to remain diligent about and we are.

Mr. Kellogg:

Two quick thoughts, one just to reiterate something that Jerry said yesterday. He talked about us needing to make sure, and he just did it again, to not under estimate the time, the energy, the effort that is required to make this foundational, continuing culture change. That really is at the core. It also ties into defining who we are and who we are going to be. Those things are tied together.

The other thing, Les, you said it earlier. It is a wonderful thing to have the opportunity to get better and to be making progress in that endeavor.

Finally, bullet words that resonate with me based on this being actually my first time to be involved in some of the committee meetings; blessed, challenged, energized, thankful.

Ms. Swain:

Two things that have stuck in my mind, first I keep reflecting on why students pick Ohio State, and I am going to continue to reflect on that through April. Our enrollment is up, our quality of students are up thanks to admissions and our enrollment strategy, then that flows into the second thing that stuck with me is making sure that we realized the consequences of our actions in terms of where we invest and divest and how that effects that balanced student that Mr. Shumate talked about. Making sure we still focus on building a quality, holistic student experience and we have talked about study abroad a lot today which is awesome. In the advancement meeting I asked what kind of student experiences make really engaged young alumni, and Melinda Swan said, "We have seen marks on

students who participate in study abroad tend to be much more engaged as alumni," indicators like that. I think focusing on building that into our priorities, affordability and funding for it is definitely important, but also students need the ability to integrate that into their curriculum. It is hard to just take a quarter away and still try to graduate on time. We obviously are in a revolutionary time in our curriculums across all colleges with the switch to semesters, so this is something that definitely can be fit in. So as we focus on this wheel of engagement and how we bring in students and they become great alumni and they tell their stories and they support the University and that wheel starts turning, I am excited to be a part of pushing the wheel down the hill.

Mr. Wexner:

I think what strikes me, and I have the benefit of hearing what you have all said and thought about, and I think this is important if only to me. Gil said it, we are not the victims. You think about boards and groups and very often institutionally we all think individually and institutionally, we are the victims and in this case, we do not feel like we are the victims, not at the student level, hopefully not at any level of connection to the University and that is where we are taking the initiative and we are creating our own future. We are not the victims of the past, or of any group and that is a very healthy state of mind when you are not in a victim mode. You take responsibility for the present and the future and we can collectively be optimistic. I think the appreciation of the progress that we have, and Clark I am glad that resonated with you, is that any of us individually can ask for is the opportunity for today to be better than yesterday or the future to be better than the past. If in fact it is better and we have the opportunity yet to make it better, that is a pretty high state to be in, in terms of optimism.

The last thing, we spent a lot of time, and I am sure we will spend a lot of time trying to define what the land grant of the future is. What should the land grant mission be, and I was listening, I think pretty attentively to the meeting. I say if you can synthesize all the things that were reported and talked about, I think it begins to describe the land grant of the future. Whether it is national awards, international students, the planning, taking control of our destiny, thinking about our financial structure and intellectual structures in new and creative smart ways. I think rather than worrying about how you wordsmith it, I think we are doing, I really think we are, that is pretty good, so I am appreciative of that. So I will not worry about the wordsmithing of the land grant, we will just do it and be it.

President Gee:

I am going to be very short. First of all I really want to say, I know this is a public meeting, but I think all of you know what a privilege it is for me personally to work with you and the friendship that we share and we do have. We have wonderful friendships, and we share among each other, and I think that is important, the tone of the top. It is the fact that we do not always agree, we certainly do have times in which we move in different directions, but ultimately as we think about One University we also have to think about one Board, and I think clearly that is the case on so many different boards. There are so many different divisions that can fracture an institution

and we just do not have that and I am really privileged by that. The colleagues that I work with are superb. We have a high expectation and a high level of competence in this University that is a real privilege to see and a real privilege to benefit from.

I do want to acknowledge today, you know we talk about a number of the changes that we have made, which are really transformative. The reconfiguration of the College of the Arts and Sciences, it has taken many institutions years to even talk about, and yet we did that. The move from quarters to semesters, the kind of trans institutional efforts that we are making, that does not happen without great partnerships. I really want to acknowledge our faculty, in particularly the faculty leadership that we have. I think Joe and Steve would agree with this, we have just had superb leadership from our faculty, who has in many ways prodded us to move even faster. We need to acknowledge that. This is an institution, it is not looking in the rear view mirror, and much of its leadership on the side of the faculty is so importantly engaged in that forward thinking mode. Obviously our students, our students are the most generous in terms of their enthusiasm and in terms of their advocacy for Ohio State. We could not be more privileged, wonderful staff. We are in about as strong of position as any institution can be. To lead, to be the new America university, to be the institution that does have people beat their path to our door and that is what we want to have. Columbus, Ohio has a wonderful airport, come and see us.

Thank you.

Mr. Wexner:

We are adjourned. Amen.

Thereupon the Board adjourned to meet Friday, April 8, 2011 at Longaberger Alumni House, Columbus, OH.

Attest:

Leslie H. Wexner
Chairman

David O. Frantz
Secretary

IMPACT STATEMENT

THE ARTHUR G. JAMES CANCER HOSPITAL
AND RICHARD J. SOLOVE RESEARCH INSTITUTE
MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS

AMENDMENTS APPROVED BY:
JAMES CANCER HOSPITAL MEDICAL STAFF ADMINISTRATIVE COMMITTEE –
JUNE 11, 2010
JAMES CANCER HOSPITAL MEDICAL STAFF - JUNE 30, 2010
PROFESSIONAL AFFAIRS COMMITTEE OF THE OHIO STATE UNIVERSITY MEDICAL CENTER
BOARD – NOVEMBER 18, 2010
THE OHIO STATE UNIVERSITY MEDICAL CENTER BOARD – DECEMBER 16, 2010

I. MEDICAL STAFF BYLAWS

- A. Updated and revised in order to align with new governance structure and approved revisions to Medical Center Board Bylaws.
- B. Corrections throughout of titles of officers.
- C. Updated and revised to reflect Joint Commission standards and University policies.
- D. Updated and revised administrative responsibilities of officers.

II. MEDICAL STAFF RULES AND REGULATIONS

- A. Minor administrative corrections.
- B. Corrections throughout of titles of officers.
- C. Updated and revised in order to align with new governance structure and approved revisions to Medical Center Board Bylaws.

**BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND
RICHARD J. SOLOVE RESEARCH INSTITUTE**

3335-111-01 Medical staff name.

The board of trustees of the Ohio state university, by official action, established "the Arthur G. James cancer hospital and Richard J. Solove research institute (CHRI)." Hereinafter, the abbreviation "CHRI" shall mean the Arthur G. James cancer hospital and Richard J. Solove research institute; the term "medical staff" shall refer to the medical staff of the cancer hospital and research institute. "The medical staff of the Arthur G. James cancer hospital and Richard J. Solove research institute" shall be the name of the hospital's medical staff organization. In accordance with rules 3335-109-01 to 3335-109-20 and 3335-104-07 of the Administrative Code, the CHRI board Ohio state medical center board (herein called "medical center board") has delegated to the medical staff of the CHRI the responsibility to prepare and recommend adoption of these bylaws.

3335-111-02 Purpose.

The purpose of the medical staff of the CHRI shall be:

- (A) To maintain exemplary standards of medical care for all patients admitted to at the CHRI, ~~consistent with a teaching environment, realizing that the continuous~~ To assure continuity of care and treatment of for the individual patient is the responsibility of the member of the attending, associate attending, clinical attending, or community associate staff to whose care ~~the patient is admitted or transferred throughout the course of his or her illness, and to assure~~ ongoing support and care for cancer survivors. To commit to being responsive to the needs of all CHRI patients and to communicate compassionately and effectively concerning matters of patient care.
- (B) To support ~~education and encourage research programs; research, with an emphasis on the prevention and treatment of cancer; to actively encourage patients to participate in clinical trials and other research, and to foster research programs to enhance and advance the educational and patient care programs~~ elevate and advance the educational standards of our professions, including, but not limited to, pre and post medical or osteopathic students, nursing students, students of the allied medical professions, and students of other health professional colleges; and provide research programs to enhance and advance the educational and patient care programs.
- (C) To support educational programs for health care and other professionals, patients and families, and the community, with an emphasis on cancer-related education; to elevate and advance the educational standards of our professions, including pre and post medical or osteopathic students, nursing students, students of the allied medical professions, and students of other health professional colleges.
- ~~(C)(D)~~ To provide a means whereby to identify and review medical problems may be reviewed; assure adherence to regulatory and accreditation standards; review and revise policies and procedures discussed; and to provide a means for establishing and maintaining standards of professional, medical and educational performance, organization, evaluation and discipline

within the medical staff, and harmonious cooperation and understanding among the units comprising the CHRI.

- ~~(D)~~(E) To provide research, ~~educational~~ education, and service programs to benefit the mental, physical, and environmental health of the citizens of the state of Ohio ~~and beyond; the region, the nation, and the world and to dedicate themselves to be responsive to the needs of their patients and to communicate effectively concerning matters of patient care; encourage~~ facilitate dissemination of medical knowledge to health professionals and the public, ~~and conduct research for the prevention and treatment of cancer.~~

3335-111-04 Membership.

(A) Qualifications.

- (1) Membership on the medical staff of the CHRI is a privilege extended to allopathic and osteopathic physicians, dentists, oral surgeons, clinical psychologists, and podiatrists who consistently meet the qualifications, standards, and requirements set forth in the bylaws, rules and regulations of the medical staff, and the board of trustees of the Ohio state university. Membership on the medical staff is available on an equal opportunity basis without regard to race, color, creed, religion, sexual orientation, national origin, ~~sex~~ gender, age, handicap, genetic information, or ~~Vietnam-era~~ military or veteran status. Doctors of medicine, osteopathic medicine, dentistry, and practitioners of psychology and podiatry in faculty and administrative positions who desire medical staff membership shall be subject to the same policies and procedures as all other applicants for the medical staff.

(2) through (9) no change.

(B) Application for membership.

Initial application for all categories of medical staff membership shall be made by the applicant to the clinical department chief or designee on forms prescribed by the medical staff administrative committee, stating the qualifications and references of the applicant and giving an account of the applicant's current licensure, relevant professional training and experience, current competence and ability to perform the clinical privileges requested. All applications for appointment must specify the clinical privileges requested. Applications may be made only if the qualifications are fulfilled as outlined in paragraph (A) of this rule. See paragraph (E)(1) of rule 3335-111-07 of the Administrative Code for exceptions to signature requirements. The application shall include written statements by the applicant that commit the applicant to abide by the bylaws, rules and regulations of the medical staff, the ~~CHRI hospital~~ medical center board, and the board of trustees of the Ohio state university. The applicant shall produce a government issued photo identification to verify his/her identity pursuant to hospital/medical staff policy. The applicant for medical staff membership shall agree that membership requires participation in and cooperation with the peer review processes of evaluating credentials, medical staff membership and clinical privileges, and that a condition for membership requires mutual covenants between all members of the medical staff to release one another from civil liability in these review processes as long as the peer review is not conducted in bad faith, with malice, or without reasonable effort to

ascertain the accuracy of information being disclosed or relied upon. A separate record shall be maintained for each applicant requesting appointment to the medical staff.

(C) and (D) no change.

(E) Procedure for appointment.

(1) No change.

(a) If the applicant should be appointed to a category of the CHRI medical staff, the applicant agrees to be governed by the bylaws, rules and regulations of the medical staff, the ~~CHRI~~ medical center board, and the board of the trustees of the Ohio state university.

(b) through (d) no change.

(e) The applicant releases from any liability:

(i) All representatives of the CHRI for acts performed in connections with evaluating the applicant's credentials or releasing information to other institutions for the purpose of evaluating the applicant's credentials in compliance with these bylaws performed in good faith and without malice; and

(ii) All third parties who provide information, including otherwise privileged and confidential information, to members of the medical staff, the CHRI staff, the ~~CHRI~~ medical center board members, and members of the Ohio state university board of trustees concerning the applicant's credentials performed in good faith and without malice.

(f) through (h) no change.

(2) The purpose of the health assessment shall be to ensure that the applicant or appointee to the CHRI medical staff is able to fully perform and discharge the clinical, educational, administrative and research responsibilities which the applicant or appointee would or is permitted to exercise by reason of medical staff appointment. At the time of the initial request for a health assessment, and at any time an appointee refuses to participate as needed in a health assessment, including, but not limited to, a drug or alcohol screening, the clinical department chief, division or department directors, or the director of medical affairs may impose a summary suspension per paragraph (D) of rule 3335-111-05 of the Administrative Code. Upon request of the medical staff administrative committee or ~~CHRI~~ the medical center board, the applicant or appointee will document their physical/mental status with sufficient adequacy to demonstrate that any patient treated by the applicant or appointee will receive efficient and quality care at a professionally recognized level of quality and efficiency. The conditions of this paragraph shall be deemed continuing and may be applicable to issues of continued good standing as an appointee to the medical staff.

- (3) Through (7) no change.
- (8) Completed applications shall be acted upon as follows:
- (a) and (b) no change.
 - (c) By the professional affairs committee of the ~~CHRI~~ medical center board;
 - (d) By the ~~CHRI~~ medical center board within one hundred twenty days after receipt of a completed application and the report and recommendation of the medical staff administrative committee; and
 - (e) By the ~~CHRI~~ medical center board, or a subcommittee of the medical center board if eligible for expedited credentials ~~are granted~~ credentialing, within one hundred twenty days after receipt of a completed application and the report and recommendation of the medical staff administrative committee.
- (9) through (11) no change.
- (12) The recommendation of the medical staff administrative committee regarding an appointment decision shall be made within thirty days of receipt of the credentials committee recommendation and shall be communicated by the director of medical affairs, along with the recommendation of the director of medical affairs, to the professional affairs committee of the ~~CHRI~~ medical center board, and thereafter to the ~~CHRI~~ medical center board. When the ~~CHRI~~ medical center board has acted, the chair of the medical center board shall instruct the director of medical affairs to transmit the final decision to the clinical department chief, the applicant, and the respective section chief.
- (13) no change.
- (14) The director of medical affairs, who may make a separate recommendation to the ~~CHRI~~ medical center board, shall directly communicate the final recommendation of the medical staff administrative committee to the ~~CHRI~~ medical center board. When the ~~CHRI~~ medical center board has acted, the director of medical affairs will transmit the final decision to the clinical department chief, the applicant, the respective section chief, and the Ohio state university board of trustees.
- (F) Procedure for reappointment.
- (1) through (3) no change.
 - (4) The applicant for reappointment shall be required to submit any reasonable evidence of current ability to perform the clinical privileges requested. The clinical department chief shall review and evaluate the reappointment application and the supporting documentation. The clinical department chief shall evaluate all matters relevant to recommendation, including: the applicant's professional competence; clinical judgment;

clinical or technical skills; ethical conduct; participation in medical staff affairs, if applicable; compliance with the bylaws, rules and regulations of the medical staff, the ~~CHRI~~ medical center board, and the board of trustees of the Ohio state university; cooperation with the CHRI hospitals personnel and the use of the CHRI hospital's facilities for patients; relations with other physicians other health professionals or other staff; maintenance of a professional attitude toward patients; and the responsibility to the CHRI and the public.

(5) through (8) unchanged.

- (9) The medical staff administrative committee shall review each request for reappointment in the same manner and with the same authority as an original application for appointment to the medical staff and shall accept, reject, or modify the request for reappointment in the same manner and with the same authority as an original application. The recommendation of the medical staff administrative committee regarding reappointment shall be communicated by the director of medical affairs, along with the recommendation of the director of medical affairs, to the professional affairs committee of the ~~CHRI~~ medical center board, and thereafter to the ~~CHRI~~ medical center board. When the ~~CHRI~~ medical center board has acted, the chair of the medical center board shall instruct the director of medical affairs to transmit the final decision to the clinical department chief, the applicant, and the section chief.

Balance unchanged.

3335-111-05 Peer review and corrective action.

- (A) Informal peer review.

No change.

- (B) Formal peer review.

- (1) Formal peer review may be requested in more serious situations or where informal review has not resolved an issue or whenever the activities or professional conduct of a member of the medical staff of the CHRI:

(a) and (b) no change.

- (c) Violates the bylaws, rules and regulations of the medical staff, the ~~CHRI~~ medical center board, or the board of trustees of the Ohio state university;

(d) and (e) no change.

- (2) Formal peer review may be initiated by the clinical department chief, the section chief, the director of medical affairs, any member of the medical staff, the ~~director chief executive officer~~ of the CHRI, the dean of the college of medicine, any member of the ~~CHRI~~ medical center board, or the vice president for health services. All requests for formal peer review shall be in writing, shall be submitted to the director of medical

affairs, and shall be supported by reference to the specific activities or conduct which constitute grounds for the requested action.

Balance unchanged.

3335-111-06 Hearing and appellate review procedure.

(A) No change.

(B) Request for hearing.

(1) No change.

(2) The failure of a medical staff member to request a hearing to which the member is entitled by these bylaws within the time and in the manner herein provided, shall be deemed a waiver of the member's right to any review by the medical staff administrative committee to which the member might otherwise been entitled. The chief executive officer shall then implement the decision and that action shall become and remain effective against the medical staff member in the same manner as a final decision of the ~~CHRI~~ medical center board as provided for in paragraph (E) of this rule. The chief executive officer shall promptly inform the affected medical staff member that the proposed decision, which had entitled the medical staff member to a hearing, has now become final.

(C) No change.

(D) Conduct of hearing.

(1) Through (9) no change.

(10) Within fourteen days after the conclusion of the taking of all evidence and of all hearings, the medical staff administrative committee shall make a written report of its findings and its recommendation and shall forward the same together with the hearings record and all other documentation to the chairperson of the ~~CHRI~~ medical center board. Notice of that decision shall be sent certified return receipt mail to the affected medical staff member at the member's last known address as determined by university records by the director

(11) The decision and record of the medical staff administrative committee shall be transmitted to the professional affairs committee of the ~~CHRI~~ medical center board, which shall, subject to the affected member's right to appeal and implementation of paragraph (E) of this rule, consider the matter at its next scheduled meeting, or at a special meeting to be held no less than thirty days following receipt of the transmittal. The professional affairs committee of the medical center board may accept, reject, or modify the decision of the medical staff administrative committee.

(12) The recommendation of the professional affairs committee of the medical center board shall be promptly considered by the ~~CHRI~~ medical center board at its next scheduled

meeting. The ~~CHRI~~ medical center board may accept, reject, or modify the recommendation of the professional affairs committee of the medical center board.

- (13) A copy of the ~~CHRI~~ medical center board decision shall be sent by certified return receipt mail to the affected medical staff member at the member's last known address as determined by university records.

(E) Appeal process.

- (1) Within thirty days after receipt of a notice by an affected medical staff member of the action of the medical staff administrative committee the staff member may, by written notice to the chairperson of the ~~CHRI~~ medical center board, request an appeal. Such appeal shall only be held on the record before the medical staff administrative committee.
- (2) no change.
- (3) The appeal shall be conducted by the professional affairs committee of the ~~CHRI~~ medical center board.
- (4) The affected medical staff member shall have access to the reports and records, including transcripts, if any, of the medical staff administrative committee and all other material, favorable or unfavorable, that have been considered by that committee. The member shall then submit a written factual statement specifying those factual and procedural matters with which the member disagrees, and the reasons for such disagreement. This written statement may cover any matters raised at any step in the procedure to which the appeal is related, and legal counsel may assist in its preparation. Such written statement shall be submitted to the professional affairs committee of the medical center board no later than seven days following the date of the affected member's notice of appeal.
- (5) New or additional matters not raised during the hearing procedure or in the medical staff administrative committee hearings shall only be introduced on appeal at the sole discretion of the professional affairs committee of the medical center board.
- (6) Within fourteen days following submission of the written statement by the affected medical staff member, the professional affairs committee shall recommend to the ~~CHRI~~ medical center board that the adverse decision be affirmed, modified or rejected, or to refer the matter back to the medical staff administrative committee for further review and recommendation. Such referral to the medical staff administrative committee may include a request for further investigation.
- (7) Any final decision by the ~~CHRI~~ medical center board shall be communicated by the chief executive officer by certified return receipt mail to the affected medical staff member at the member's last known address as determined by university records. The chief executive officer shall also notify in writing the senior vice president for health sciences, the dean of the college of medicine, the chief medical officer of OSU health system, the vice president for health services, the director of medical affairs, chief of

staff, the section chief, clinical department chief and the academic department chairperson and the person(s) who initiated the request for formal peer review. The chief executive officer shall take immediate steps to implement the final decision.

3335-111-07 Categories of the medical staff.

The medical staff of the CHRI shall be divided into honorary, attending, associate attending, clinical attending, community associate attending, and limited designations. All medical staff members with admitting privileges may admit patients in accordance with state law and criteria for standards of care established by the medical staff. Medical staff members who do not wish to obtain any clinical privileges shall be exempt from the requirements of medical malpractice liability insurance, DEA registration and demonstration of recent active clinical practice during the last two years, but are otherwise subject to the provisions of these bylaws.

(A) Honorary staff.

The honorary staff will be composed of those individuals who are recognized for outstanding reputation, notable scientific and professional contributions, and high professional stature in an oncology field of interest. The honorary staff designation is awarded by the CHRI medical center board on the recommendation of the chief executive officer of the CHRI, senior vice president for health sciences, section chief, or the credentials committee after approval by the medical staff administrative committee. This is a lifetime appointment. Honorary staff are not entitled to patient care privileges.

(B) through (G) no changes.

(H) Clinical privileges.

(1) Delineation of clinical privileges:

- (a) Every person practicing at the CHRI by virtue of medical staff membership, faculty appointment, contract or under authority granted in these bylaws shall, in connection with such practice, be entitled to exercise only those clinical privileges specifically applied for and granted to the staff member or other licensed allied health professional by the CHRI medical center board after recommendation from the medical staff administrative committee.

(b) Through (g) no change.

(2) Temporary and special privileges:

- (a) Temporary privileges may be extended to a doctor of medicine, osteopathic medicine, dental surgery, psychologist, podiatry or to a licensed allied health professional upon completion of an application prescribed by the medical staff administrative committee, upon recommendation of the chief of the clinical department, and approval by the director of medical affairs. The director of medical affairs, acting as a member and on behalf of the CHRI medical center board, has been delegated responsibility by the CHRI medical center board to

grant approval of temporary privileges. The temporary privileges granted shall be consistent with the applicant's training and experience and with clinical department guidelines. Prior to granting temporary privileges, primary source verification of licensure and current competence shall be required. Temporary privileges shall be limited to situations which fulfill an important patient care need and shall not be granted for a period of more than ninety days.

(b) through (e) no change.

(3) Expedited privileges:

If the ~~CHRI~~ medical center board is not scheduled to convene in a timeframe that permits the timely consideration of the recommendation of a complete application by the medical staff administrative committee, eligible applicants may be granted expedited privileges by the professional affairs committee or a subcommittee of the medical center board consisting of at least two members two voting members of the CHRI medical center board, the director of medical affairs and the chief of staff pursuant to ~~hospital~~ the medical staff policy and the CHRI hospital medical center board resolution.

(4) Through (7) unchanged.

(8) Licensed allied health professionals:

(a) Through (h) no change.

(i) By applying for clinical privileges as a licensed allied health professional, the applicant agrees to the following terms and conditions:

(i) The applicant has read the bylaws and rules and regulations of the medical staff of the CHRI and agrees to abide by all applicable terms of such bylaws and any applicable rules and regulations, including any subsequent amendments thereto, and any applicable CHRI policies that the CHRI may from time to time put into effect;

(ii) The applicant releases from liability all individuals and organizations who provide information to the CHRI regarding the applicant and all members of the medical staff, the CHRI staff and the ~~CHRI~~ medical center board and the Ohio state university board of trustees for all acts in connection with investigating and evaluating the applicant;

(iii) The applicant shall not deceive a patient as to the identity of any practitioner providing treatment or service in the CHRI;

Balance unchanged.

3335-111-08 Organization of the CHRI medical staff.

(A) The chief executive officer.

(1) Method of appointment:

The chief executive officer shall be appointed by the board of trustees of the Ohio state university upon recommendation of the president, senior vice president for health sciences, and the vice president for health services following consultation with the ~~CHRI~~ medical center board in accordance with university bylaws, rules and regulations. The chief executive officer shall be a member of the attending medical staff of the CHRI.

(2) No change.

(B) The director of medical affairs.

(1) no change.

(2) Responsibilities:

The director of medical affairs shall be responsible to the chief executive officer, the medical director of the Ohio state university health system, and the ~~CHRI~~ medical center board for the quality of patient care provided in the CHRI. The director of medical affairs shall assist the chief executive officer in the administration of medical affairs including quality assurance and credentialing.

Balance unchanged.

3335-111-09 Elected officers of the medical staff of the CHRI.

(A) And (B) no change.

(C) Delegates at-large.

There shall be two delegates at-large that are members of the medical staff. Each delegate at large shall be a member of the medical staff administrative committee and shall serve on those committees of the ~~CHRI~~ medical center board as appointed by the chairperson of the ~~CHRI~~ medical center board.

Balance unchanged.

3335-111-10 Administration of the medical staff of the CHRI.

Medical staff committees.

(A) and (B) no change.

(C) Medical staff administrative committee:

(1) Composition:

(a) no change.

(b) Ex-officio non-voting membership includes: the CHRI ~~chief operating officer executive director~~, the CHRI associate director for professional education, the CHRI chief nursing officer, the medical director of university hospital and/or the chief medical officer of the medical center, the dean of the Ohio state university college of medicine, the senior vice president for health sciences and the administrative director for medical staff affairs.

(c) and (d) no change.

(2) Duties:

(a) Through (d) no change.

(e) To fulfill the medical staff's accountability to the ~~CHRI~~ medical center board for medical care rendered to patients in the CHRI, and for professional conduct and activities of the medical staff, including recommendations concerning;

Balance unchanged.

3335-111-12 Amendments.

These medical staff bylaws may be amended after notice is given at one meeting of the medical staff administrative committee. Such notice shall be laid on the table until the next meeting and shall require two-thirds vote of the members of the medical staff administrative committee present for approval and submission to the medical staff for ratification. Ratification of an amendment shall be by a plurality of those medical staff members voting through written or electronic ballot. When an amendment is approved by the medical staff administrative committee and ratified by the medical staff it shall be forwarded to the ~~CHRI professional affairs committee, the James cancer hospital board~~ in successive order to the following: the professional affairs committee of the medical center board if it meets prior to the next scheduled medical center board meeting, the medical center board and the Ohio state university board of trustees. Each of the foregoing reviewers shall accept or reject the requested amendment. The amendment shall become effective when accepted by the board of trustees of the Ohio state university in the form ratified by the medical staff. Neither the medical staff, ~~CHRI professional affairs committee, the James cancer hospital~~ the medical center board nor the board of trustees may unilaterally amend ~~the these~~ these medical staff bylaws. The medical staff bylaws, rules and regulations, hospital policies and the medical center board bylaws shall not conflict.

**MEDICAL STAFF RULES AND REGULATIONS
THE ARTHUR G. JAMES CANCER HOSPITAL AND
RICHARD J. SOLOVE RESEARCH INSTITUTE**

03 ATTENDING ASSIGNMENT.

(A) and (B) no change.

(C) In the case of a medical or psychiatric emergency involving a patient, visitor or CHRI staff member in an inpatient or outpatient setting, any individual who is a member of the ~~Medical Staff~~ medical staff or who has been delineated privileges is permitted to do everything possible to save the life or prevent serious harm regardless of the individual's staff status or clinical privileges.

05 ORDER WRITING PRIVILEGES.

(A) Definition of "~~Patient Orders~~ patient orders".

(1) and (2) no change.

(B) through (G) no change.

(H) "Transfer of clinical service" means transfer of full patient responsibility from one attending physician, dentist, psychologist or podiatrist to another; the patient may remain on the same unit or a change in patient care area may also occur. Admission of a patient from an emergency service to the hospital as an inpatient involves "transfer of clinical service."

For the purposes of order writing, two essentials of "transfer of clinical service" are necessary:

- (1) The initial transfer order must indicate the release of responsibility and control of the patient, pending acceptance by the receiving service. The order may read--"transfer (or admit) to Dr _____, ~~Head~~ head and ~~Neck Service~~ neck service."
- (2) Transfer of service may be completed only by the receiving service writing an order to the effect--"accept in transfer (or admission) to Dr. _____, ~~Head~~ head and ~~Neck Service~~ neck service."

Orders effective before the transfer must be renewed or rewritten upon transfer by signature of a responsible medical practitioner, a limited staff member, or other licensed healthcare professionals with appropriate clinical privileges. The new or renewed orders may be written before or at the time of transfer, and may become effective immediately. It is the responsibility of the receiving nurse to establish the availability of new or renewed orders. If new orders are unavailable, then the nurse may continue previous orders and immediately notify the responsible medical practitioner, a limited staff member, or other licensed healthcare professionals with appropriate clinical privileges

(I) and (J) no change.

(K) Do not resuscitate orders.

The order for do not resuscitate indicating that the patient should not undergo cardiopulmonary resuscitation may be written only by the attending physician or his delegate. Verbal orders for do not resuscitate will not be accepted under any circumstances. The order for do not resuscitate may be rescinded only by the attending physician or delegate and an order must be written to annul said order. Please refer to hospital policy 03-24 ~~Do Not Resuscitate Orders~~ do not resuscitate orders for further details.

(L) Restraint and seclusion orders.

Restraint and seclusion orders require careful evaluation and monitoring. Please refer to hospital policy 03-30 ~~Restraint~~ restraint and ~~Seclusion~~ seclusion for further details.

11 COMMITTEES.

In addition to the medical staff committees, the medical staff shall participate in the following hospital and monitoring functions: infection control, clinical quality management, safety, and disaster planning and in other leadership council for clinical quality, safety and service advisor policy groups.

Operating Room Committee

(A) The operating room committee shall have representation from all clinical departments utilizing the operating room. Representation will include: medical director of the CHRI operating room, the section or division chief, or their designee, of: surgery, gynecologic oncology, urology, otolaryngology, radiation oncology, thoracic surgery, surgical oncology, neurological surgery, orthopedic surgery, anesthesia, and plastic surgery; epidemiology/infection control, the medical director of perioperative services for the Ohio state university health system, the CHRI medical director of quality, the director of perioperative services of the CHRI operating room, the manager of perioperative services, the director of admitting, the operating room coordinator, and the CHRI director of operations. The committee chair will be a CHRI surgeon selected by the nominating committee and shall serve a two-year term beginning on the first of July. The committee shall meet monthly and carry out the following duties:

(1) through (3) no change.

(4) Maintain written records of actions taken, and results of those actions, and make these available to each committee member, the vice president of health services, the director of medical affairs, and the ~~chief operating officer~~ executive director of the CHRI.

(B) no change.

Pharmacy and Therapeutics/Drug Utilization Evaluation Committee (P & T Committee)

The P & T committee shall be appointed in conformity with the medical staff bylaws and have representation from medical staff, nursing, pharmacy department, college of pharmacy, and the hospital administration. The majority of members shall be members of the medical staff. The committee shall meet at least quarterly and carry out the following duties:

(A) through (K) no change.

Transfusion and Isoimmunization Committee

(A) and (B) no change.

Infection Control Committee

No change.

Leadership Council for Clinical Quality, Safety and Service

The ~~Leadership Council~~ leadership council for ~~Clinical Quality~~ clinical quality, ~~Safety~~ safety and ~~Service~~ service shall consist of members appointed pursuant to the university hospital's medical staff bylaws, and shall include the senior vice president for health sciences as an exofficio member without a vote, and the director of medical affairs and chief of staff as voting members. The medical director of university hospitals shall be the chairperson of the ~~Leadership Council~~ leadership council for ~~Clinical Quality~~ clinical quality, ~~Safety~~ safety and ~~Service~~ service. The ~~Leadership Council~~ leadership council for ~~Clinical Quality~~ clinical quality, ~~Safety~~ safety and ~~Service~~ service shall authorize policy groups to be formed to accomplish necessary hospital and medical staff functions on behalf of the CHRI and university hospitals.

CHRI representatives on the ~~Leadership Council~~ leadership council for ~~Clinical Quality~~ clinical quality, ~~Safety~~ safety and ~~Service~~ service shall be appointed as provided in the CHRI bylaws.

(A) No change.

(B) Clinical quality and patient safety committee.

(1) no change.

(2) Duties

(a) through (h) no change.

(i) Report and coordinate with the ~~Leadership Council~~ leadership council for ~~Clinical Quality~~ clinical quality, ~~Safety~~ safety and ~~Service~~ service all quality improvement initiatives.

(C) Clinical resource utilization policy group

(1) no change.

(2) Duties

(a) through (c) no change.

(d) Report and recommend to the ~~Leadership Council~~ leadership council for ~~Clinical Quality~~ clinical quality, ~~Safety~~ safety and ~~Service~~ service changes in clinical practice patterns in compliance with applicable governmental regulations and accreditation requirements when the opportunity exists to improve the resource management.

(D) Evidence-based practice policy group

(1) no change.

(2) Duties

(a) no change.

(b) To report regularly to the ~~Leadership Council~~ leadership council for ~~Clinical Quality~~ clinical quality, ~~Safety~~ safety and ~~Service~~ service for approval of all new and periodically reviewed evidence-based medicine resources for use within the CHRI.

(c) Oversee the development, approval and periodic review of the clinical elements of computerized ordersets and clinical rules to be used within the information system of the CHRI. Computerized ordersets and clinical rules related to specific practice guidelines should be forwarded to the ~~Leadership Council~~ leadership council for ~~Clinical Quality~~ clinical quality, ~~Safety~~ safety and ~~Service~~ service for approval. All other computerized value enhancement for approval. All other computerized ordersets and clinical rules should be forwarded to the ~~Leadership Council~~ leadership council for ~~Clinical Quality~~ clinical quality, ~~Safety~~ safety and ~~Service~~ service for information.

(d) To initiate and support research projects when appropriate in support of the objectives of the ~~Leadership Council~~ leadership council for ~~Clinical Quality~~ clinical quality, ~~Safety~~ safety and ~~Service~~ service.

(e) no change.

(f) Regularly report a summary of all actions to the ~~Leadership Council~~ leadership council for ~~Clinical Quality~~ clinical quality, ~~Safety~~ safety and ~~Service~~ service.

12 MECHANISM FOR CHANGING RULES AND REGULATIONS.

(A) And (B) no change.

(C) Before approval the ~~Director~~ director of ~~Medical Affairs~~ medical affairs or ~~Chief~~ chief of ~~Staff~~

staff shall circulate the proposed change to all medical staff, allowing adequate time for comment.

(C) and (E) no change.

(F) Amendments so accepted shall become effective when approved by the ~~CHRI~~ medical center board.

Balance unchanged.

13 ADOPTION OF THE RULES AND REGULATIONS.

These rules and regulations shall be adopted by the ~~Medical Staff Administrative Committee~~ medical staff administrative committee and forwarded for approval to the ~~CHRI Board~~ in successive order to the following: the professional affairs committee of the medical center board if it meets prior to the next scheduled medical center board meeting, the medical center board and The Ohio State University Board of Trustees.

14 SANCTIONS

Each member of the medical staff shall abide by policies approved by the ~~Medical Staff Administrative Committee~~ medical staff administrative committee of the CHRI. Failure to abide may result in suspension of some or all hospital privileges.

ATTACHMENT A SEPARATION INCENTIVE AND PHASED RETIREMENT PROGRAM

BACKGROUND

A number of workforce factors are expected to be of increased presence at The Ohio State University in the near term:

- The process of potential University structural changes—e.g., administrative streamlining and service centers;
- The potential need for specific colleges to make changes in available talent to achieve programmatic goals;
- The significant proportion of retirement-eligible faculty and staff; 39% of regular faculty (tenure, clinical, research) are eligible to retire within five years. For staff (regular staff only, does not include Temp or Term staff), 26% are currently eligible or will become eligible within 5 years; and
- The need to enable budget savings to be achieved where required

Given the variety of differences between individual colleges and vice presidential units, local solutions should be sought to the above workforce planning factors rather than seeking university-wide solutions. These solutions should consider the unique budget and talent needs of each college or unit and must support the retention of key faculty and staff and preservation of a positive culture of commitment and engagement.

RECOMMENDATION

In anticipation of these factors, the Office of Human Resources and the Office of Legal Affairs will develop a flexible program of voluntary separation incentives including phased retirement, with these key considerations:

- A program of separation incentives will be made available to colleges and vice presidential units for use on an as-needed basis with the support of the Office of Human Resources and The Office of Legal Affairs; this program is only available to meet defined and targeted needs on a time-limited basis, and will not be available broadly or on a continuing basis.
- The Office of Human Resources, and/or the Office of Academic Affairs with colleges, will work with colleges and vice presidential units that need assistance with workforce planning (aligning talent resources with strategic priorities).
- Under this program, a specific plan may be developed to incent appropriate groups of staff or faculty to separate, based on some combination of position, department or tenure length; participation will be voluntary.
- The plan design will consider the unique budget needs of the college or vice presidential unit through a cost/benefit analysis.
- Individuals could be deemed ineligible within an eligible group, due to the mission-critical nature of their work. The college or vice presidential unit, the Office of Human Resources, and the Office of Legal Affairs must agree on eligibility criteria and communication materials
- The separation of individuals deemed mission-critical could be delayed for up to six months,
- All college plans must also be approved by the Office of Academic Affairs.
- The outcomes gained from the process will be documented.

OUTLINE OF PROGRAM PARAMETERS

Separation Incentives

Eligibility	Incentive Options	Approval Criteria
<ul style="list-style-type: none"> – Regular faculty and staff – Eligibility criteria proposed by the college/unit and approved by the Office of Human Resources and the Office of Legal Affairs – Determine what funding sources will be eligible for the program (e.g. grants; county, state and federal funding sources; etc.) 	<p>Cash lump-sum payment of up to 12 months of salary, up to a maximum payment cap (the initial cap will be \$75,000 and will be reviewed periodically); payment will occur within 60 days of separation.</p> <p>Additional incentive options, same or similar to the ones below, may be proposed for inclusion by the college/unit:</p> <ul style="list-style-type: none"> – Cash lump sum to sponsor continued health coverage – Dependent tuition coverage for a defined period 	<ul style="list-style-type: none"> – Efficiency must be demonstrated. – A process will be arranged for confidential receipt and handling of applications. – Responsible workforce planning principles and complexity of job functions must be considered. – Eligibility criteria must be approved as legally acceptable and sound human resource and business practice.

Faculty and Staff - Phased Retirement (One-Two Years)

Eligibility	Incentive Options	Approval Criteria
<ul style="list-style-type: none"> – Regular faculty and/or staff – Eligible to retire by the end of the phased period; plus other eligibility criteria proposed by the college/unit and approved by the Office of Human Resources and the Office of Legal Affairs 	<p>Phasing Option: Phased over one or two years:</p> <ul style="list-style-type: none"> – Work 75% for one year and paid 100% with full benefits. In the second year, work 50% and paid 75% with full benefits. <p>or</p> <ul style="list-style-type: none"> – 25% reduction in work and paid at original FTE; full benefits subsidy only occurs at 50% FTE (reduced level) or greater. <p>Additional incentive options, same or similar to the ones below, may be proposed for inclusion by the college/unit:</p> <ul style="list-style-type: none"> – Cash lump sum to sponsor continued health coverage – Dependent tuition coverage for a defined period 	<ul style="list-style-type: none"> – Efficiency must be demonstrated. – Eligibility criteria must be approved as legally acceptable and sound human resource and business practice. – Responsible workforce planning principles and complexity of job functions must be considered. – An extended period of service is needed for transfer of knowledge or to perform complex/unique functions.

All separation and phased retirement agreements are intended to be enforceable and enforced at time of separation, including tenured faculty agreements.

OSU CHINA GATEWAY PROJECT: FORMATION OF A WHOLLY FOREIGN OWNED ENTERPRISE (“WFOE”) IN CHINA

Background And Overview

The Ohio State University (“OSU”) China Gateway project is entering into its next phase, which will require creation of a new legal entity in China. The OSU China Gateway LLC is an Ohio limited liability company (the “LLC”). OSU is the sole member of the LLC and has complete control over its operation. The LLC was formed as a necessary legal prerequisite to meet OSU’s institutional goal of establishing a physical presence in China by June of 2010. The LLC, in turn, has established a Foreign Representative Office (“FRO”)¹ in Shanghai, China. The establishment of the FRO was also a necessary legal prerequisite for the leasing of office space, opening of financial accounts, and hiring of staff. The project as a whole is referred to as the “China Gateway.”

In order to expand to the activities of the China Gateway to include executive education or similar types of training programs, it will be necessary to form a new Chinese entity known as a Wholly Foreign Owned Enterprise (“WFOE”). This next step was anticipated and briefly addressed in previous materials presented to the Board of Trustees during the approval process for the FRO. Chinese law requires the creation of the WFOE to permit development of the revenue generating activities that will assist the Gateway in becoming self-sustaining over the next several years. The WFOE will be wholly-owned by the LLC, and the FRO will be phased out after approval of the WFOE by the Chinese government.

Budget

The Office of the Provost is providing funding for the activities of the China Gateway project. An initial 6-month start-up budget of \$241k was approved to cover expenses through the end of FY2010. The Office of the Provost has further agreed to fund the China Gateway operation for the next 12 years with \$600k allocated for FY2011, with the budget decreasing by \$50k in subsequent years until exhaustion. The Office of International Affairs will supplement this allocation with approximately \$175k during the first three years of operation and the remainder of funds will be derived through revenue-generating programs offered at the China Gateway site.

Purpose

The primary purpose of the China Gateway is to further the establishment of OSU as a global university. To this end, the Gateway is engaged in the following activities:

- Alumni and student liaison.
- Facilitation of collaborations between OSU and Chinese institutions or organizations.
- Facilitation of OSU faculty teaching and research collaborations.
- Development of specialized executive education or training programs consistent with Chinese law.
- Relationship building within the local business community and in particular, Ohio Department of Development (ODOD) business and government contacts.
- Donor contact with interested alumni, friends, and corporations in China.

The China Gateway project has already generated a highly enthusiastic response from OSU alumni in China and facilitated new agreements for programs between OSU units and Chinese institutions.

¹ The full legal name of the FRO is: “OSU China Gateway LLC (Shanghai) Representative Office.”

**OSU CHINA GATEWAY PROJECT FORMATION OF A
WHOLLY FOREIGN OWNED ENTERPRISE (“WFOE”)
IN CHINA (contd)**

Legal Structure

OSU is the sole member of the LLC. This arrangement provides the Senior Vice President for Business & Finance at OSU with the authority to appoint or remove officers, approve resolutions on behalf of the LLC, and make any necessary changes to the structure or operation of the LLC.

The LLC currently has two officers. These officers are: (1) the OSU Vice Provost for Global Strategies in the Office of Academic Affairs (President); and (2) the Program Manager for International Programs Liaison in the OSU Office of International Affairs (Secretary/Treasurer). Thus, all officers of the LLC are OSU employees. Structural changes in the LLC will be made to provide for a three member Board of Directors and for separate Treasurer and Secretary positions.

The LLC filed for permission from the Chinese State Administration for Industry and Commerce (“SAIC”) to create the FRO, which is one of the permissible vehicles for foreign entities to legally conduct operations in China. The SAIC approved the formation of the FRO. The FRO in Shanghai has a “Chief Representative” appointed by the Vice Provost for Global Strategies (President of the LLC) and approved pursuant to Chinese law by the SAIC. The Chief Representative is the actual manager of the China Gateway operations on the ground in China. Pursuant to the requirements of Chinese law, the chief representative is employed by the FRO through one of three Chinese employment agencies approved by the Chinese government for this purpose.

The FRO is not legally permitted to engage in revenue generating activities under Chinese law. Therefore, to aid in our long-term goal of offering executive education or other types of training programs in China, formation of a new legal entity is necessary. This entity is the Wholly Foreign Owned Enterprise or (“WFOE”). The WFOE will be wholly-owned by the LLC, thereby enabling the LLC to control appointments and actions of the WFOE. The current Chief Representative of the FRO will be the new manager of the WFOE, and the FRO will be phased out after establishment of the WFOE.

The minimum initial required investment for the WFOE under Chinese law is approximately \$150,000, which will be paid out of the budgeted amounts described above. This investment may be used by the WFOE as operating capital. In addition, OSU has engaged Benesch, Attorneys at Law, as outside legal counsel to assist in the formation of the WFOE. The legal cost for the formation of the WFOE will be approximately \$74,000, payable in 12 monthly installments to outside counsel. This representation will include the preparation of all materials (Articles of Association, Business License, Tax Documents, etc.) and negotiation with the applicable governmental authorities involved in the WFOE application process. Outside counsel will also assist in deregistering the existing Foreign Representative Office.

Amendments to the *Rules of the University Faculty*

3335-1-05 University organization.

(A) University organization.

(1) and (2) no change.

(B) Basic educational organization of the university. The basic organization of the educational units of the university shall be departments, divisions, schools, academic centers, colleges, regional campuses, the graduate school, ~~the federation of the colleges of the arts and sciences,~~ and the agricultural technical institute.

(C) through (E) no change.

(F) Colleges and graduate school; establishment. For educational administration the university shall be organized into a graduate school and ~~eighteen~~ fourteen colleges. ~~The following five colleges shall comprise the federation of the colleges of the arts and sciences: the college of the arts, the college of biological sciences, the college of humanities, the college of mathematical and physical sciences, and the college of social and behavioral sciences. The other thirteen~~ fourteen colleges are: the college of arts and sciences, the college of food, agricultural, and environmental sciences, the Max M. Fisher college of business, the college of dentistry, the college of education and human ecology, the college of engineering, the Michael E. Moritz college of law, the college of medicine, the college of nursing, the college of optometry, the college of pharmacy, the college of public health, the college of social work, and the college of veterinary medicine.

(G) no change.

(H) Colleges and graduate school; administration.

(1) There shall be a dean of each college and of the graduate school and an executive dean of the college of arts and sciences who shall be a member of its faculty and the administrative head of the college or of the school. The major responsibility of each dean and the executive dean shall be that of providing active leadership in the promotion, direction and support of educational and research activities of the university, in the maintenance of a high level of morale among the faculty, and in the encouragement of the spirit of learning among the students. Further detailed duties of the deans of the colleges and of the graduate school, and the executive dean of the college of arts and sciences shall be fixed by rules and regulations promulgated by the board of trustees.

(2) ~~There shall be an executive dean and vice provost of the colleges of the arts and sciences who is the administrative head of the colleges of the arts, biological sciences, humanities, mathematical and physical sciences, and social sciences. The major responsibilities of the executive dean and vice provost are to select, in consultation with the provost, the search committees for the college and divisional deans and advise the provost on the appointment of these deans; work with the provost on annual evaluations of the college deans; coordinate and manage central services, report to the provost on behalf of the college deans; advise the provost regarding base budgets and rebasing allocations and, following review by the provost, manage these funds for the colleges; allocate common funds among the arts and sciences; and review budget management in the colleges. The executive dean and vice provost also administers curriculum approved by the faculty and is responsible for honors and scholars programs and for interdisciplinary centers and programs involving multiple colleges. As requested, the executive dean and vice provost will advise the provost on promotion and tenure recommendations and service as a member of the council of deans. Further detailed duties of~~

~~the executive dean and vice provost shall be fixed by rules and regulations promulgated by the board of trustees.~~

- (3) Each college, and the graduate school, ~~and the colleges of the arts and sciences~~ shall have a secretary and may have such other administrative officers as are necessary to carry out the program of the college or of the school. These persons shall have such duties as are assigned to them by the dean of the college, or of the graduate school, or by the executive dean of the ~~colleges~~ college of the arts and sciences.

Balance unchanged.

3335-3-22 Council of deans.

- (A) The executive vice president and provost, the deans of the colleges, the executive dean of the ~~colleges~~ college of the arts and sciences, the senior vice president for business and finance and chief financial officer, the ~~senior~~ vice president for research, the dean of the graduate school, the deans and directors of the regional campuses, the ~~vice provost for enrollment services and~~ dean for undergraduate education, and the director of libraries shall comprise the council of deans of the university. The executive vice president and provost shall be chair of the council.
- (B) The council of deans shall meet on the call of the chair. The council of deans shall serve as an advisory council to the president.

3335-3-26 Establishment of colleges and graduate school.

For educational administration the university shall be organized into a graduate school and ~~eighteen~~ fourteen colleges, as established in paragraph (F) of rule 3335-1-05 of the Administrative Code.

3335-3-28 Organization of the ~~colleges~~ college of the arts and sciences.

- (A) ~~The colleges of the arts and sciences shall consist of: the five colleges so designated by paragraph (F) of rule 3335-1-05 of the Administrative Code and the faculty of the arts and sciences as created by rules 3335-5-26 to 3335-5-28 of the Administrative Code.~~
- (B) ~~Student personnel services and student records for the colleges of the arts and sciences shall be administered by the colleges of the arts and sciences.~~

For administrative purposes the college of arts and sciences shall be organized into the division of arts and humanities, the division of natural and mathematical sciences, and the division of social and behavioral sciences; each led by a divisional dean, who is appointed by the executive dean of the college of arts and sciences.

3335-3-29 Deans of the colleges.

- (A) There shall be a dean of each college and an executive dean of the college of arts and sciences who shall be a member of its faculty and the administrative head of the college. Each dean and the executive dean shall be appointed and reappointed by the board of trustees upon nomination of the president. Before making this nomination or recommendation for reappointment, the president shall confer with members of the faculty of the college for which the dean or executive dean is to be appointed and shall give substantial weight to faculty recommendations in reaching a decision. The president shall also consider the recommendations of the chairs of the departments and the directors of the schools in that college.
- (B) The major responsibility of the dean of each college and the executive dean of the college of arts and sciences shall be that of providing active leadership in the promotion, direction and support of educational and research activities of the university, in the maintenance of a high level of morale

among the faculty, and in the encouragement of the spirit of learning among the students. In addition the dean or the executive dean shall have general administrative responsibility for the program of the college, subject to the approval of the president and the board of trustees. These administrative responsibilities shall include the duty:

- (1) Through (3) no change.
- (4) After consultation with the chairs of the departments and the directors of the schools within the college to make recommendations to the executive vice president and provost concerning the college budget, the appointments to and promotions within the staff and the membership of the college faculty. ~~All recommendations by the deans of the colleges of the health sciences will be made to the senior vice president for health sciences who will accomplish the appropriate coordination and make recommendations to the executive vice president and provost.~~
- (C) The dean and executive dean of the college of arts and sciences is hereby given authority requisite to carrying out the responsibilities of his or her position. The dean and the executive dean may delegate any of his or her responsibility and authority to another member of the faculty of the college. The dean and executive dean shall be a voting member of the faculty of each department.
- (D) The usual method of communication between the dean and executive dean of the college of arts and sciences and the president or the board of trustees shall be through the appropriate staff member, then to the president and through the president to the board of trustees.

3335-6-03 Probationary service, and duration of appointments for tenure-track faculty.

- (A) through (C) no change.
- (D) Exclusion of time from probationary periods.
 - (1) An untenured regular tenure-track faculty member will have time excluded from the probationary period in increments of one year to reflect the caregiving responsibilities associated with the birth of a child or adoption of a child under age six. Department chairs or school directors will inform the office of academic affairs within one year of the birth of a child or the adoption of a child under age six of a probationary faculty member unless the exclusion of time is prohibited by paragraph (D)(3) of this rule. The probationary faculty member may choose to decline the one-year exclusion of time from the probationary period granted for the birth or adoption of a child under six years of age by so informing her/his TIU head, dean, and the office of academic affairs in writing before ~~August~~ April 1 of the new mandatory review year following granting of the declination. The exclusion of time granted under this provision in no way limits the award of promotion and tenure prior to the mandatory review year (see paragraph (D)(2) of this rule). The maximum amount of time that can be excluded from the probationary period per birth event or adoption of children under age six is one year.
 - (2) A probationary tenure-track faculty member may apply to exclude time from the probationary period in increments of one year because of personal illness, care of a seriously ill or injured person, an unpaid leave of absence, or factors beyond the faculty member's control that hinder the performance of the usual range of duties associated with being a successful university faculty member, i.e., teaching, scholarship, or service. Requests to exclude time from the probationary period made under the terms of this paragraph must be submitted to the chair of the tenure initiating unit. Requests shall be reviewed by the tenure initiating unit promotion and tenure committee which shall advise the tenure initiating unit chair regarding their appropriateness. Such requests require approval by the tenure initiating unit chair, dean, and executive vice president and provost. A request to exclude time from the probationary period for any of these reasons must be made prior to ~~October~~ April 1 of the year in which the mandatory review for tenure must occur. The extent to which the event leading to the request was beyond the faculty member's control, the extent to which it interfered with the faculty

member's ability to be productive, and the faculty member's accomplishments up to the time of the request will be considered in the review of the request.

Balance unchanged.

3335-9-33 Standards for honors at graduation.

(A) and (B) no change.

(C) Standards for graduation "with research distinction in [special subject(s)]," "with honors research distinction in []," and "with honors in []" shall be as adopted by the council on academic affairs. Eligibility for these honors shall be based on a minimum of ninety credit hours of course enrollment at this university. The marks for all Ohio state coursework considered toward the degree will be included in calculating a student's eligibility for such honors. Credits in courses graded "S/U" and credits earned in repeatable coursework will be considered as counting toward the ninety credit hours. Credits in courses graded "PA/NP" and credits earned in coursework repeated in rule 3335-8-28 of the Administrative Code will not be considered as counting toward the ninety credit hours.

(D) Beyond these minimal requirements the enrollment units may establish.

3335-17-01 Administration members.

The twenty-six members from the administration shall consist of the university president, the executive vice president and provost, the senior vice president for business and finance, the ~~senior~~ vice president for research, the vice president for student life, the eighteen deans of the colleges, the executive dean of the college of arts and sciences, the deans of the other thirteen colleges, the executive dean of for the regional campuses, the dean of the graduate school, the dean for undergraduate education, and the director of libraries, and the three divisional deans of the college of arts and sciences.

3335-19-10 Attendance.

Members of the senate shall attempt to avoid conflicts between their academic schedule and the meetings of the senate and related committees. In the event of unavoidable conflict, it is expected the members will choose that resolution most favorable to their academic obligations. All members are expected to arrange for attendance by an alternate member at those senate meetings the member is unable to attend.

A committee member who misses all committee meetings in a quarter may be removed by the committee chair and replaced, as appropriate, by an appointment made by the executive committee of faculty council, student leadership, the office of the president, or other relevant appointing body. Any mitigating circumstances should be reported to the committee chair and the chair should keep and report attendance records to the university senate office and the senate constituencies.

Advancement Goals

To be achieved by 2016

Advancement Financial Goals

(Approved by BOT Advancement Committee 9/16)

- Become America's #1 public university in total private support.*
 - *(Ranked 11th based on 2007-2009 results)*
- Raise \$2.5 billion from a comprehensive fundraising campaign.

Advancement Engagement Goals

(Approved by BOT Advancement Committee 10/28)

- Double the number of annual donors.
 - *(From 120,000 to 240,000)*
- Earn a very satisfied rating from 50% of alumni for providing opportunities to be or stay involved with the University.
 - *(Currently 30%)*
- Demonstrate yearly increases in the number of volunteers actively serving Ohio State.
 - *(Baseline to come)*

Advancement Awareness Goals

(To be considered by the BOT Advancement Committee 2/10)

- Expand the number of national leaders who award high marks to Ohio State.
 - *(From 39% to 50% in awareness and from 24% to 40% in very favorable ratings)*
- More than triple the number of advocates and ambassadors who are equipped and motivated to reinforce key university accomplishments and attributes.
 - *(From an estimated less than 10% to 35%)*
- Double the number of Ohioans who feel a strong connection to the University.
 - *(From 22% to 44% who report a very strong bond)*

* This goal is set for completion in 2020.



The Ohio State University Foundation
FY 2011 Fundraising Activity Report

New Fundraising Activity Progress - University Development as of December 31, 2010

	Fundraising Activity	Goal	Achieved	7/1/2009 - 12/31/2009	% Change
Outright Gifts and Pledges					
Cash and Securities	\$49,736,769			\$40,149,102	23.88%
Real Estate	\$297,000			\$382,051	-22.26%
Gifts-in-Kind	\$4,588,630			\$2,887,157	58.93%
Pledges	\$31,928,391			\$21,820,254	46.32%
Total Outright Gifts and Pledges	\$86,550,791	\$168,519,531	51.36%	\$65,238,564	32.67%
Planned Gifts					
Revocable Planned Gifts	\$20,852,048			\$26,571,581	-21.53%
Irrevocable Planned Gifts	\$3,056,873			\$128,479	2279.28%
Total Planned Gifts	\$23,908,921	\$81,376,333	29.38%	\$26,700,060	-10.45%
Private Grants (OSP)	\$48,358,711	\$75,104,136	64.39%	\$40,901,589	18.23%
Total Fundraising Activity	\$158,818,422	\$325,000,000	48.87%	\$132,840,213	19.56%
		Time Elapsed	50.00%		



The Ohio State University Foundation
FY 2011 Fundraising Activity Report
New Fundraising Activity Progress - Unit
as of December 31, 2010

Unit	Outright Gifts and Pledges	Planned Gifts	OSU Foundation Activity	Private Grants (OSP)	Total Fundraising Activity	Goal	% Achieved
Arts and Sciences (Colleges of the)	\$5,291,679	\$785,943	\$6,077,623	\$6,357,998	\$12,435,621	\$31,521,000	39.45%
Athletics	\$14,382,805	\$1,140,000	\$15,522,805	\$0	\$15,522,805	\$33,009,677	47.03%
Business (Fisher College of)	\$2,418,214	\$7,243,904	\$9,662,117	\$24,200	\$9,686,317	\$10,021,032	96.66%
Cancer	\$16,277,133	\$3,398,180	\$19,675,313	\$4,677,563	\$24,352,876	\$34,748,000	70.08%
Dentistry (College of)	\$1,435,625	\$1,470,750	\$2,906,375	\$147,077	\$3,053,452	\$8,000,000	38.17%
Education and Human Ecology (College of)	\$9,517,618	\$232,001	\$9,749,619	\$593,303	\$10,342,922	\$6,000,000	172.38%
Engineering (College of)	\$9,312,711	\$216,667	\$9,529,378	\$13,859,913	\$23,389,291	\$43,691,425	53.53%
Food, Agriculture and Enviro Sciences (College of)	\$4,528,788	\$519,667	\$5,048,455	\$2,488,537	\$7,536,992	\$13,122,827	57.43%
Heart	\$625,666	\$0	\$625,666	\$1,454,108	\$2,079,774	\$6,338,000	32.81%
John Glenn School of Public Affairs, The	\$132,912	\$30,000	\$162,912	\$90,016	\$252,928	\$739,486	34.20%
Kirwan Institute for the Study of Race & Ethnicity	\$100,370	\$0	\$100,370	\$0	\$100,370	\$1,337,700	7.50%
Law (Michael E. Moritz College of)	\$1,827,818	\$250,000	\$2,077,818	\$0	\$2,077,818	\$5,039,882	41.23%
Medical Center	\$4,022,544	\$13,002	\$4,035,546	\$6,782,177	\$10,817,723	\$14,549,000	74.35%
Medicine (College of)	\$1,211,182	\$363,608	\$1,574,791	\$5,414,695	\$6,989,486	\$15,037,000	46.48%
Neurosciences	\$842,873	\$9,588	\$852,461	\$3,100,191	\$3,952,652	\$10,643,000	37.14%
Nursing (College of)	\$343,163	\$30,000	\$373,163	\$140,076	\$513,239	\$808,077	63.51%
Office of Academic Affairs	\$2,802,873	\$2,514,989	\$5,317,861	\$212,359	\$5,530,220	\$9,678,644	57.14%
Office of Student Life	\$513,619	\$1,000,000	\$1,513,619	\$0	\$1,513,619	\$2,329,899	64.97%
Ohio State University Alumni Association	\$767,991	\$0	\$767,991	\$0	\$767,991	\$851,002	90.25%
Optometry (College of)	\$165,448	\$0	\$165,448	\$363,535	\$528,983	\$1,279,432	41.35%
OSU Lima	\$156,214	\$960,649	\$1,116,863	\$0	\$1,116,863	\$1,230,437	90.77%
OSU Mansfield	\$198,059	\$0	\$198,059	\$115,625	\$313,684	\$606,640	51.71%
OSU Marion	\$402,397	\$116,000	\$518,397	\$10,500	\$528,897	\$1,085,360	48.73%
OSU Newark	\$58,211	\$0	\$58,211	\$2,000	\$60,211	\$3,515,652	1.71%
Pharmacy (College of)	\$264,561	\$200,041	\$464,602	\$205,487	\$670,089	\$2,213,553	30.27%
Public Health (College of)	\$157,246	\$0	\$157,246	\$555,405	\$712,651	\$1,584,947	44.96%
Social Work (College of)	\$80,331	\$78,690	\$159,020	\$40,000	\$199,020	\$502,894	39.57%
University Libraries	\$499,376	\$5,000	\$504,376	\$0	\$504,376	\$1,700,000	29.67%
University-wide Fundraising	\$1,159,673	\$2,123,324	\$3,282,997	\$1,220,062	\$4,503,059	\$41,309,617	10.90%
Veterinary Medicine (College of)	\$1,549,609	\$1,195,001	\$2,744,610	\$363,884	\$3,108,494	\$11,000,000	28.26%
Wexner Center for the Arts	\$3,164,809	\$0	\$3,164,809	\$140,000	\$3,304,809	\$3,725,127	88.72%
WOSU Public Stations	\$2,339,273	\$11,917	\$2,351,190	\$0	\$2,351,190	\$7,780,690	30.22%
Total	\$86,550,791	\$23,908,921	\$110,459,711	\$48,358,711	\$158,818,422	\$325,000,000	48.87%

Time Elapsed 50.00%

Notes

OSU Newark fundraising activity does not include funds raised to support COTC.



The Ohio State University Foundation
 FY 2011 Philanthropic Receipts Report
Philanthropic Receipts - University Development
 as of December 31, 2010

	7/1/2010 - 12/31/2010	7/1/2009 - 12/31/2009	% Change
Outright Gift Receipts			
Cash and Securities	\$49,739,022	\$40,149,104	23.89%
Real Estate	\$297,000	\$382,051	-22.26%
Gifts-in-Kind	\$4,588,630	\$2,887,157	58.93%
Total Outright Gift Receipts	\$54,624,652	\$43,418,311	25.81%
Pledge Receipts			
Payments on Current Year Pledges	\$13,093,559	\$4,656,776	181.17%
Payments on Prior Year Pledges	\$10,756,356	\$13,336,517	-19.35%
Total Pledge Receipts	\$23,849,915	\$17,993,293	32.55%
Planned Gift Receipts			
Payments on Current Year Revocable Gifts	\$4,182,977	\$977,214	328.05%
Payments on Prior Year Revocable Gifts	\$3,309,870	\$9,989,652	-66.87%
Irrevocable Gift Receipts	\$1,855,157	\$128,479	1343.94%
Total Planned Gift Receipts	\$10,549,720	\$11,095,346	-4.92%
Private Grants (OSP)	\$48,358,711	\$40,901,589	18.23%
Total Fundraising Receipts	\$137,382,998	\$113,408,538	21.14%



The Ohio State University Foundation
ProjectOne Report

ProjectOne Progress

July 1, 2001 through December 31, 2010



	ProjectOne Activity	Goal	% Achieved	Last Month (7/1/2001 - 11/30/2010)
Outright Gifts and Pledges				
Cash and Securities	\$2,008,306			\$1,818,789
Real Estate	\$0			\$0
Gifts-in-Kind	\$0			\$0
Pledges	\$14,399,617			\$14,409,617
Event and Annual Fund Activity	\$5,518,933			\$5,324,259
Total Outright Gifts and Pledges	\$21,926,855			\$21,552,664
Event and Annual Fund Projections (by June 30, 2015)				
Herbert J. Block Memorial Tournament	\$1,000,000			\$1,000,000
Celebration for Life / The James Fund for Life	\$4,750,000			\$4,875,093
Pelotonia Fund for Cancer Research	\$5,000,000			\$5,000,000
Up on the Roof	\$2,000,000			\$2,000,000
Total Event and Annual Fund Projections	\$12,750,000			\$12,875,093
Planned Gifts	Not Applicable			Not Applicable
Private Grants (OSP)	Not Applicable			Not Applicable
Total ProjectOne Activity	\$34,676,855	\$75,000,000	46.24%	\$34,427,757



	Campaign Activity	Working Goal	% Achieved	vs. Time Elapsed
Outright Gifts and Pledges				
Cash and Securities	\$59,663,540			
Real Estate	\$92,051			
Gifts-in-Kind	\$133,998			
Pledges	\$21,696,543			
Total Outright Gifts and Pledges	\$81,586,131			
Planned Gifts				
Revocable Planned Gifts	\$12,356,855			
Irrevocable Planned Gifts	\$2,081,696			
Total Planned Gifts	\$14,438,552			
Private Grants (OSP)	\$0			
Total Campaign Activity	\$96,024,682	\$100,000,000	96.02%	16.02%
		Time Elapsed	80.00%	

Project Data Sheet for Board of Trustees Approval

Boiler Replacement

OSU-080267

Project Location: McCracken Power Plant

27,573 ASF / 109,182 GSF

- **approval requested and amount**

professional services \$2.6M

- **project budget**

construction w/ contingency \$12.6M

other costs (fees, equipment) \$2.6M

total project budget \$15.2M

- **funding sources**

university bond proceeds

- **project schedule**

design/bidding 07/11 – 04/13

construction 04/13 – 04/14

- **Framework context**

- this project is consistent with the Framework principles to improve existing campus infrastructure and provide for future growth

- **project scope**

- replace the decommissioned boiler #8 in order to supply increases in campus steam demand anticipated by the OSU Medical Center expansion and the Chemical and Biomolecular Engineering and Chemistry Building; this is also expected to support potential expansion of sophomore student housing
- the replacement boiler is also expected to meet imminent steam requirements of current projects

- **project status and update**

- pre-design stage will evaluate boiler configurations adaptable to heat recovery in a combined cycle application and whether cogeneration and combined heat and power system should be pursued in the future at the Columbus campus



- **project team**

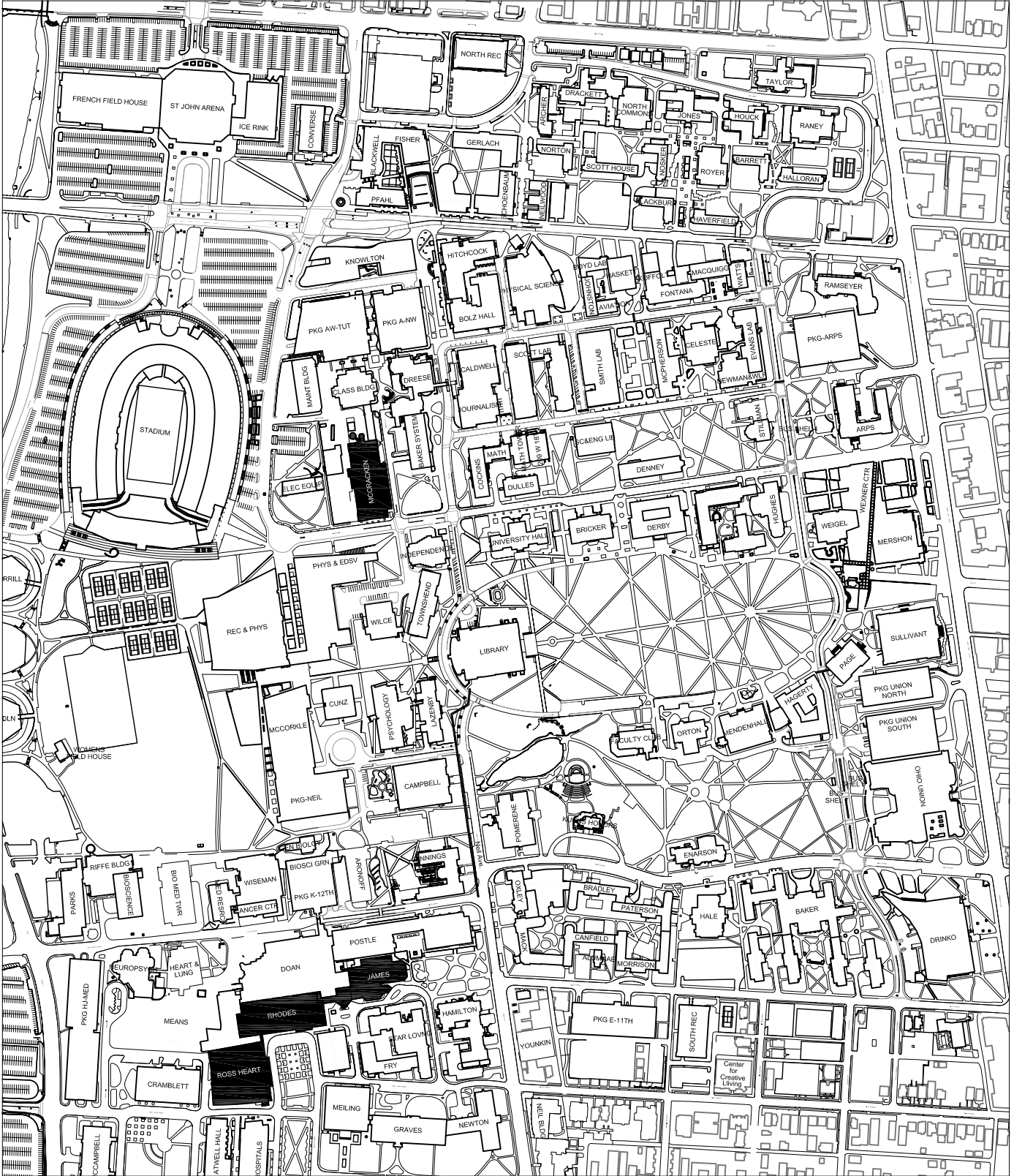
University project manager: Tom Carmody

A/E: tbd

major contractors: tbd

Core Campus Projects

- Hospital Kitchens Renovation
- Selected Tunnel Replacement Projects
- Boiler Replacement



Project Data Sheet for Board of Trustees Approval

Selected Tunnel Replacement Projects

OSU-081049

Project Location: N/A

N/A ASF / N/A GSF

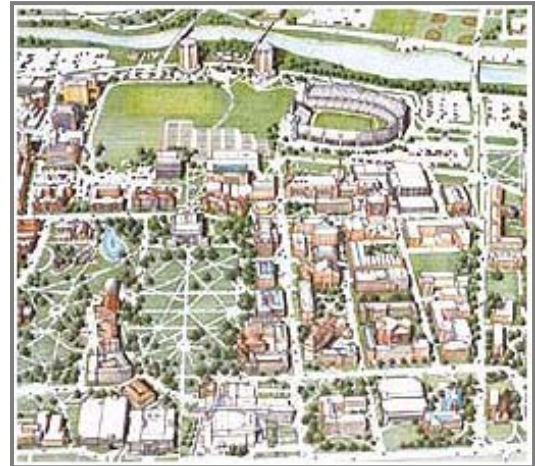
- **approval requested and amount**
professional services \$1.5M

- **project budget**
construction w/ contingency \$8.9M
other costs (fees, equipment) \$1.5M

total project budget \$10.4M

- **funding sources**
university bond proceeds

- **project schedule**
design/bidding 07/11 – 06/12
construction 06/12 – 10/16



- **Framework context**
 - this project is consistent with the Framework principles to improve existing campus infrastructure and provide for future growth
- **project scope**
 - upgrade and extend the life of deteriorated utility tunnel sections across campus
 - areas included will be College Road between Page Hall and Hagerty Hall; the intersection of College Road and 18th Avenue; beneath the west end of 18th Avenue at the intersection of Magruder; beneath 19th Avenue immediately south of Haskett Hall; beneath Neil Avenue from south of 12th Avenue to north of South Oval Drive; beneath the entrance to the Vivian Hall parking lot
- **project status and update**
 - construction phasing will be determined during design and will be based on a review of the tunnel assessments and priorities identified in the 2006 Infrastructure Master Plan

- **project team**
University project manager: Al Stazzone
A/E: tbd
major contractors: tbd

Project Data Sheet for Board of Trustees Approval

Campus Electric Capacity, Phase 2 (New Substation)

OSU-081042

Project Location: N/A

N/A ASF / N/A GSF

- **approval requested and amount**

construction contracts	\$50.0M
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- **project budget**

construction w/ contingency	\$50.0M
other costs (fees, equipment)	\$7.6M
total project budget	\$57.6M
- **funding sources**

university bond proceeds	
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- **project schedule**

AEP construction	06/10 – 06/11
design/bidding	02/10 – 07/11
construction	07/11 – 12/12



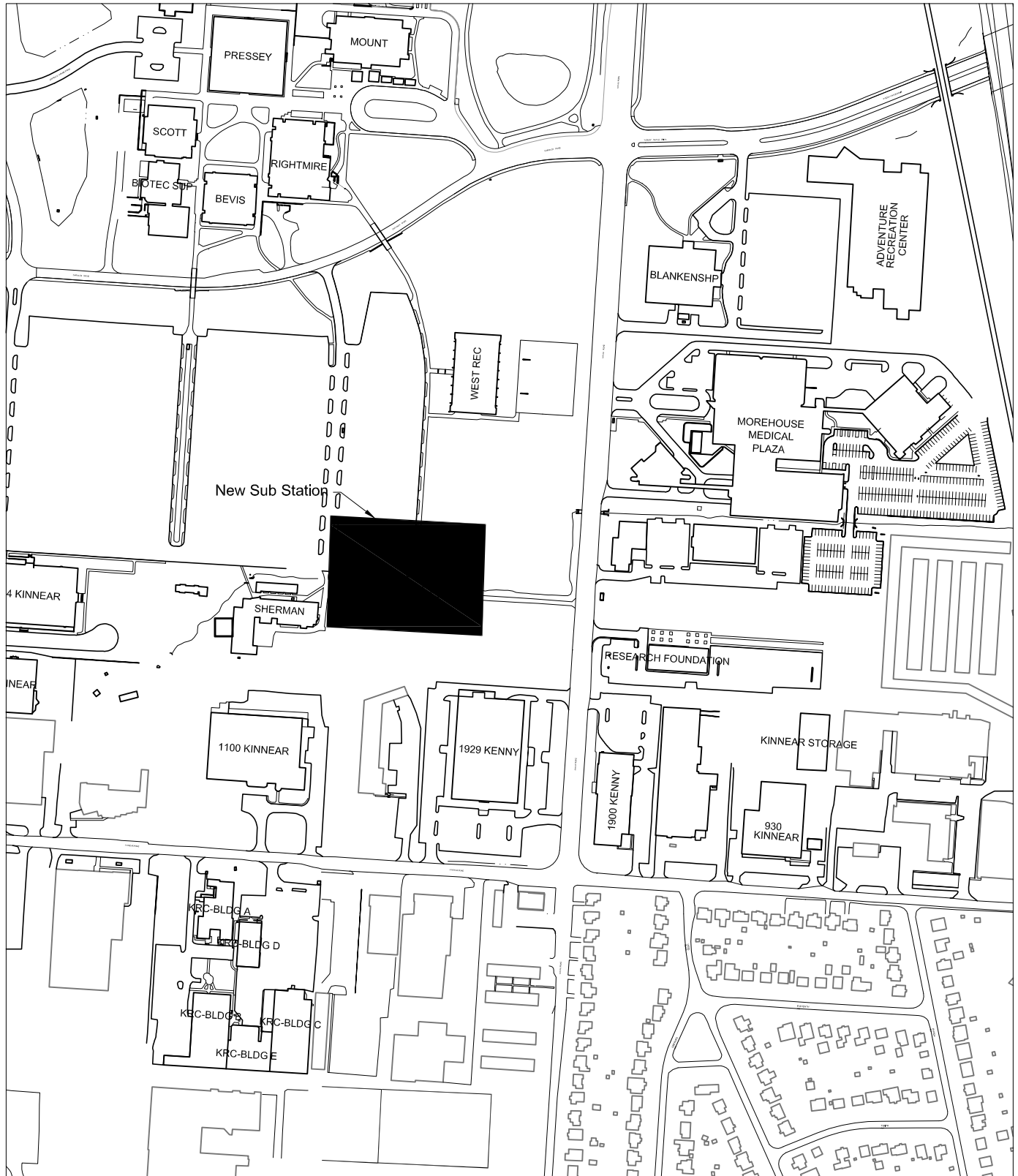
- **Framework context**
 - this project is consistent with the Framework principles to improve existing campus infrastructure and provide for future growth
- **project scope**
 - this project will construct a new electrical substation to meet current and future campus electrical demands; the substation will be built to support mid-range needs but designed such that campus growth, expansion of on-campus generation and backup power systems can be supported over the next 50 years
 - the new substation will be the primary source of power to buildings west of the Olentangy River
 - the new substation will also provide redundancy to the existing substation to improve electrical reliability in support of business continuity and risk management planning
 - campus electrical demand will exceed the capacity of the current substation within the next five to eight years due to the expansion of the Medical Center, growing laboratory and research activities and the Student Life housing plan to increase conditioned student housing
 - energy conservation will off-set a portion of the growth but will not eliminate the need for a new substation
- **project update**
 - design is nearly complete and the project is preparing for bidding in order to begin construction as soon as AEP has completed their project
 - AEP construction to install a new power feed to the substation will complete in a couple of months; once they are complete OSU will begin construction on the substation

-
- **project team**

University project manager:	Tom Carmody
A/E:	Patrick Engineering Inc.
major contractors:	tbd

West Campus Projects

- Campus Electric Capacity, Phase 2 (New Substation)



Project Data Sheet for Board of Trustees Approval

Hospital Kitchens Renovation

OSU-091575

*Project Location: Rhodes Hall – University Hospital
James Cancer Hosp & Solove Research Inst
Ross Heart Hospital*

234,178 ASF / 480,976 GSF
116,384 ASF / 265,423 GSF
106,654 ASF / 287,575 GSF

- **approval requested and amount**
construction contracts \$9.3M
- **project budget**
construction w/ contingency \$9.3M
other costs (fees, equipment) \$2.8M
total project budget \$12.1M
- **funding sources**
auxiliary funds
- **project schedule**
design/bidding – phases 1-3 04/10 – 05/11
design/bidding – phases 4-7 04/10 – 08/11
construction – phases 1-3 05/11 – 03/12
construction – phases 4-7 08/11 – 04/13



- **Framework context**
 - this project is consistent with the Framework principles by renovating existing facilities for more efficient and effective use
- **project scope**
 - this project will renovate the existing patient and café food kitchen serving University Hospitals in Rhodes Hall, the James Cancer Hospital and the Ross Heart Hospital
 - renovations will take place over several phases and several years
 - phases 1 thru 3 will renovate the Chilled Production Kitchen in Rhodes; Hospital Center #1 in Rhodes; and Hospital Center #2 in the James
 - phases 4 thru 7 will renovate Hospital Center #3 and #4 in Rhodes; expand the dishroom in Rhodes; renovate Seasons Café server/service area in Rhodes
- **project update**
 - design is nearly complete on the first phases and the project is preparing to bid
 - project is proceeding on time with all phases complete by mid-2013

-
- **project team**
University project manager: Corrie Feldmann
A/E: FRCH Design Worldwide Cincinnati
major contractors: tbd

**RATIFICATION AND APPROVAL OF THE AMENDMENT AND RESTATEMENT OF
THE OHIO STATE UNIVERSITY SUPPLEMENTAL QUALIFIED RETIREMENT PLAN,
RENAMED THE RETIREMENT CONTINUATION PLAN**

Background on the Plan:

The University's Supplemental Qualified Retirement Plan was originally adopted effective July 1, 2001 and was renamed the Retirement Continuation Plan (the "RCP") effective January 1, 2002. The RCP is maintained for faculty and staff members whose retirement contributions to the state retirement system are limited under IRS rules and whose compensation, as determined by the University, exceeds those IRS limits.

The RCP is a "tax-qualified" retirement plan – i.e., participants do not pay taxes on their account balances (including earnings) until those balances are distributed. In order to remain tax-qualified, the RCP must meet certain requirements under the Internal Revenue Code. The RCP must be restated to incorporate IRS rules and guidance issued since the last restatement. As such, the University was required to update the RCP and submit it to the IRS for a letter of determination by January 30, 2011.

Summary of Changes Required by the IRS:

During the past few years, the IRS and Treasury Department have issued guidance under the Pension Protection Act of 2006 (PPA); the Heroes Earnings Assistance and Relief Tax Act of 2008 (HEART); and the Worker, Retiree, and Employer Recovery Act of 2008 (WRERA). The IRS requires that tax-qualified plans seeking a determination letter incorporate this guidance. This Section describes the key pieces of guidance that have been incorporated into the RCP.

1. Compensation and Differential Wages (Section 1.07 of the RCP). HEART requires differential wages to be treated as taxable wages and as compensation for certain plan purposes. As background on differential wages, when reservists are called to active duty in the military, they often receive less compensation from their military salaries than they do from their civilian salaries. Some employers voluntarily choose to make up this difference by making payments called "differential wage" payments. Historically, military differential pay has not qualified as wages for purposes of withholding income taxes and employment taxes. HEART provides that differential wage payments made after December 31, 2008, should be treated as wages for federal tax withholding purposes. HEART also requires differential wage payments to be included in the definition of compensation for purposes of Internal Revenue Code Section 415, which sets limits on the amount of contributions that can be made to a tax-qualified retirement plan each year. HEART does not require employers to pay differential wages. Instead, if an employer pays differential wages, it must treat those wages as compensation for plan purposes. Section 1.07 of the RCP was revised to do that.

**RATIFICATION AND APPROVAL OF THE AMENDMENT AND RESTATEMENT OF
THE OHIO STATE UNIVERSITY SUPPLEMENTAL QUALIFIED RETIREMENT PLAN,
RENAMED THE RETIREMENT CONTINUATION PLAN**

2. Survivor Benefits (Section 7.02 of the RCP). HEART requires all tax-qualified plans to treat a participant who dies on or after January 1, 2007 while performing qualified military service as being reemployed on the day before death. Consequently, the participant's survivors are entitled to receive any additional benefits that they would have received under the plan if such participant returned and terminated employment on account of his or her death (such as accelerated vesting). Survivors are not entitled to additional benefit accruals relating to the period of qualified military service.

3. Rollovers (Section 7.04(b) of the RCP). PPA and WRERA liberalized the rules governing rollover distributions. First, distributions may be rolled over from a pre-tax account in the RCP to a Roth IRA. Second, if an RCP participant's beneficiary is not the participant's spouse, that non-spouse beneficiary must be allowed to elect a rollover distribution.

Purpose of the Resolution:

The resolution ratifies and approves the amendment and restatement of the RCP, effective as of January 1, 2011, and its submission to the IRS. The resolution also ratifies the action of the Senior Vice President for Business and Finance and Chief Financial Officer in signing the amended and restated RCP.

**THE OHIO STATE UNIVERSITY
RETIREMENT CONTINUATION PLAN**

PLAN DOCUMENT

Amended and Restated Effective as of January 1, 2011

The Ohio State University Retirement Continuation Plan

The Employer hereby amends and restated the Plan. The Plan originally was effective July 1, 2001. The Plan was amended effective January 1, 2002, to incorporate guidance issued under Section 401(a)(9) of the Code and other changes. The Plan was subsequently amended in July 2006 to incorporate changes requested by the Internal Revenue Service in the determination letter application process. The Employer adopts this amended and restated Plan document, effective January 1, 2011, to include these past amendments and provisions required under the Pension Protection Act of 2006, the Heroes Earnings Assistance and Relief Tax Act of 2008, and the Worker, Retiree, and Employer Recovery Act of 2008, and other applicable laws, regulations and administrative authority.

The purpose of the Plan is to provide additional retirement benefits to employees not available under the State Teachers Retirement System of Ohio ("STRS"), the Ohio Public Employees Retirement System ("OPERS"), or The Ohio State University Alternative Retirement Plan ("ARP").

Article I – Definitions

The following words or phrases whenever used in this Plan shall have the following meanings unless the context clearly demands otherwise:

Section 1.01 — Account

Shall mean the sum of all the Participant's individual sub-accounts, including earnings and losses thereon.

Section 1.02 — Annuity Contract(s)

Shall mean any type of annuity contract(s) issued by a Funding Company(ies) to effect the purposes of the Plan.

Section 1.03 — Appropriate Request

Shall mean a request by a Participant in the form and manner provided by the Plan Administrator that is appropriate for the intended purpose. If the Plan Administrator and the Plan's recordkeeper so agree, an Appropriate Request may be executed over the telephone or internet. To constitute an Appropriate Request, such request must be completed correctly and if required to be in writing, duly executed and delivered to the Plan Administrator or its designated representative.

Section 1.04 — Beneficiary

Shall mean the Beneficiary or Beneficiaries entitled to any benefits under a Participant's Account upon the death of a Participant.

Section 1.05 — Board

Shall mean the Board of Trustees of the Employer.

Section 1.06 — Code

Shall mean the Internal Revenue Code of 1986, as amended from time to time.

Section 1.07 — Compensation

Shall mean the compensation paid to an Employee by the Employer for a Plan Year, as reported on Internal Revenue Form W-2, Box 1 or such successor box which describes "wage, tips, other compensation", plus any amount which is contributed by the Employer pursuant to a salary reduction agreement and which is not includable in the gross income of the Employee under Sections 125, 132(f), 402(a)(8), 403(b), 414(h)(2) and 457(b) of the Code. Notwithstanding the above, the following shall be excluded from Compensation:

- (a) Reimbursements, expense allowances and taxable fringe benefits;
- (b) Section 911 of the Code earned income;
- (c) Moving expenses;
- (d) Non-qualified stock options taxable at time of grant or exercise;
- (e) Vesting in Section 83 of the Code property;
- (f) Disqualifying dispositions of qualified stock options;
- (g) Imputed income, including taxable group term life insurance;
- (h) Receipt of deferred compensation;
- (i) Bonuses paid under long-term compensation plans which are subject to vesting; and
- (j) Payments made for accrued but unused sick leave or vacation leave.

In determining the amount or allocation of any contribution that is based on Compensation, only Compensation paid to a Participant for services rendered to the Employer while employed as an Employee of the Employer shall be taken into account. Further, notwithstanding anything to the contrary herein, severance amounts paid after severance from employment shall be excluded from Compensation. For purposes of this Section 1.07, "severance amounts" are any amounts paid after severance from employment, except a payment of regular compensation for services during the Employee's regular working hours, or compensation for services outside the Employee's regular working hours (such as overtime or shift differential), commissions, bonuses, or other similar payments provided such payment would have been made prior to a severance from employment if the Employee had continued in employment with the Employer, provided such amounts are paid by the later of two and one-half (2½) months after, or the end of the year that includes the date of, the Employee's severance from employment with the Employer (as defined in applicable guidance).

For purposes of this Section 1.07, an Employee has a “severance from employment” when the Employee ceases to be an employee of the Employer maintaining the Plan, and an Employee does not have a “severance from employment” if, in connection with a change of employment, the individual’s new employer maintains such Plan with respect to the individual. The determination of whether an Employee ceases to be an employee of the Employer maintaining the Plan is based on all of the relevant facts and circumstances.

For purposes of applying the limitation in Article V, Compensation under this Section 1.07 also shall include the following exceptions:

- (i) Compensation shall be based on the amount actually paid or made available to the Participant (or, if earlier, includible in the gross income of the Participant) during the Limitation Year, regardless of the date of participation; and
- (ii) Compensation shall not include amounts paid as compensation to a non-resident alien, as defined in Section 7701(b)(1)(B) of the Code, who is not a Participant in the Plan to the extent the compensation is excludable from gross income and is not effectively connected with the conduct of a trade or business within the United States.

This Plan shall not consider a Participant’s Compensation for any Plan Year in excess of the limitation under Section 401(a)(17) of the Code.

Effective January 1, 2009, an individual receiving a differential wage payment, as defined by Section 3401(h)(2) of the Code, shall be treated as an Employee of the Employer making the payment (rather than an Employee who has incurred a severance from employment); and the differential wage payment shall be treated as compensation for Plan purposes, including Section

415 of the Code and any other Code section that references the definition of compensation under Section 415 of the Code.

If all Employees of the Employer performing service in the uniformed services described in Section 3401(h)(2)(A) of the Code are entitled to receive differential wage payments (as defined in Section 3401(h)(2) of the Code) on reasonably equivalent terms and, if eligible to participate in a retirement plan maintained by the Employer, to make contributions based on the payments on reasonably equivalent terms (taking into account Sections 410(b)(3), (4), and (5) of the Code), then the Plan shall not be treated as failing to meet the requirements of any provision described in Section 414(u)(1)(C) of the Code by reason of any contribution or benefit which is based on the differential wage payment.

Section 1.08 — Custodial Account

Shall mean the group or individual account or accounts established for each Participant by the Employer pursuant to a written contract between the Employer and a Funding Company, in a manner consistent with Section 401(f) of the Code.

Section 1.09 — Date of Employment

Shall mean the first date on which an Employee performs services as an Employee for the Employer.

Section 1.10 — Disability

Shall mean a physical or mental condition of a Participant which would qualify him for benefits under his Employer's long-term disability plan.

Section 1.11 — Employee

Shall mean any person who is receiving compensation for personal services rendered in the employment of the Employer.

Section 1.12 — Employer

Shall mean The Ohio State University.

Section 1.13 — Employer Contributions

Shall mean contributions made in accordance with Section 3.03.

Section 1.14 — Funding Company(ies)

Shall mean the entity designated by the Employer to provide funding options under the plan.

Section 1.15 — Hour of Service

Shall mean each hour for which an Employee is paid or entitled to payment for the performance of duties for the Employer.

Section 1.16 — Limitation Year

Shall mean the Plan Year.

Section 1.17 — Married Participant

Shall mean a Participant who is lawfully married on the date Plan benefits are payable.

Section 1.18 — Normal Retirement Date

Shall mean the first day of the calendar month coincident with or next following the Participant's 65th birthday.

Section 1.19 — One-Year Period of Severance

Shall mean a twelve (12) consecutive month period beginning on a Severance From Service Date and ending on the first anniversary of such date, provided the Employee does not perform services as an Employee for the Employer during such period.

Section 1.20 — Participant

Shall mean any Employee who becomes a Participant pursuant to Article II and continues to be entitled to any benefits under the Plan.

Section 1.21 — Period of Service

A Period of Service shall commence on an Employee's Date of Employment or Reemployment Commencement Date, whichever is applicable, and end on his Severance From Service Date. All Periods of Service shall be aggregated on a uniform and consistent basis.

Notwithstanding the preceding paragraph of this section, if an Employee severs from service by reason of a quit, discharge, or retirement during an absence from service of twelve (12) months or less, and if such Employee then performs services as an Employee within twelve (12) months of the date on which the Employee was first absent from service, then such Period of Severance shall be taken into account for purposes of vesting.

Section 1.22 — Period of Severance

The period of time commencing on an Employee's Severance From Service Date and ending on the date on which the Employee again performs an Hour of Service for the Employer.

Section 1.23 — Pick-Up Contributions

Shall mean contributions made in accordance with Section 3.02. These contributions are intended to be contributions described in Section 414(h)(2) of the Code. Therefore, although designated as Employee contributions, such Employee contributions are being paid by the Employer in lieu of contributions by the Employee.

Section 1.24 — Plan

Shall mean The Ohio State University Retirement Continuation Plan, as amended from time to time. For purposes of the Code, this Plan shall be considered and administered as a "profit sharing plan" that is administered as a "discretionary plan".

Section 1.25 — Plan Administrator

Shall mean the Employer. The Employer may, in its sole discretion, delegate any of the duties of the Plan Administrator to a third party or parties selected by the Employer.

Section 1.26 — Plan Year

Shall mean the twelve (12) month period commencing January 1, except that the first Plan Year shall be a short Plan Year commencing on July 1, 2001, and ending on December 31, 2001.

Section 1.27 — Reemployment Commencement Date

Shall mean the first day following a One-Year Period of Severance in which an Employee performs services for the Employer.

Section 1.28 — Severance From Service Date

Shall mean the earlier of:

- (a) The date on which an Employee quits, retires, is discharged, or dies; or
- (b)
 - (1) The first anniversary of the first day of a period in which an Employee remains absent from service (with or without pay) with the Employer for any reason other than quitting, retirement, discharge or death, such as vacation, holiday, sickness, disability, leave of absence or lay-off; or
 - (2) The second anniversary of the first day of a period in which an Employee remains absent from service (with or without pay) with the Employer by reason of pregnancy, the birth of the Employee's child, the placement of a child with the Employee in connection with the

adoption of such child by such Employee, or the need to care for such Employee's child during the period immediately following such child's birth or placement.

For a Participant who is absent from service on account of pregnancy, the birth of the Employee's child, child placement or child care, the period between the first anniversary of the first day of the absence and the second anniversary of the first day of the absence is neither a Period of Service nor a Period of Severance.

Section 1.29 — Valuation Date

Shall mean the last day of each Plan Year and any other day determined by the Plan Administrator.

Section 1.30 — Year of Service for Vesting

An Employee shall be credited with a "Year of Service for Vesting" upon the earlier of:

(a) the first anniversary of the twelve (12) consecutive month period beginning on the date the Employee first performs an Hour of Service after the Employee has attained the age of eighteen (18) (employment commencement date) and each anniversary thereof; or (b) the completion of the Employee's first full contract year, if less, after the Employee has attained the age of eighteen (18).

Article II – Eligibility and Enrollment

Section 2.01 — Eligibility for Pick-Up Contributions

Eligibility to have a Pick-Up Contribution, if any, is limited to those Employees selected by the Plan Administrator and listed in Appendix A.

Notwithstanding the above paragraph, in order to have Pick-Up Contributions made on a Participant's behalf, the Participant must file an Appropriate Request with the Plan Administrator within sixty (60) days after the time he is initially eligible for Pick-Up Contributions. The Appropriate Request must be in the form of a one-time irrevocable election. If the Participant fails

to file an Appropriate Request in a timely manner, the Participant will be treated as having forever waived the right to have Pick-Up Contributions made on his behalf. The Plan Administrator may, at its discretion, add Employees to, or delete Employees from, Appendix A. Eligibility for Pick-Up Contributions shall begin after all of the mandatory employee contributions to the ARP, OPERS, or STRS are made each year.

Section 2.02 — Eligibility for Employer Contributions

Eligibility for an allocation of the Employer Contribution, if any, is limited to those Employees selected by the Plan Administrator and listed in Appendix A. The Plan Administrator may, at its discretion, add Employees to, or delete Employees from, Appendix A. Eligibility for an Employer Contribution and the amount of the Employer Contribution may vary from year to year and from Participant to Participant and the fact that an Employee receives such contribution one year does not mean that he is entitled to such contribution in any future year.

Article III – Contributions and Allocations

Section 3.01 — Types of Contributions

Contributions to the Plan shall consist solely of the following:

- (a) Pick-Up Contributions; and
- (b) Employer Contributions.

Section 3.02 — Pick-Up Contributions

Each Employee, within sixty (60) days after becoming eligible to receive an allocation of Pick-Up Contributions, must file a one-time irrevocable election in the form of an Appropriate Request with the Plan Administrator which shall direct that the Employer withhold a specific percentage of Compensation from the Employee's paycheck. The Participant's election of such percentage of Compensation shall be subject to the approval of the Employer.

Pick-Up Contributions shall be allocated to the Pick-Up Contribution sub-account of each Participant's Account in an amount equal to the amount withheld by the Employer from the Participant's Compensation for the purpose of contributing such amount to this Plan.

Section 3.03 — Employer Contributions

The Employer may make an Employer Contribution to the Plan at the Employer's discretion. The Employer has discretion as to the amount of the contribution. The Employer shall designate the Plan Year for which each Employer Contribution is made and shall make such Employer Contribution not later than the time prescribed by Section 404 of the Code or any successor statute or guidance.

The Employer Contribution, if any, shall be allocated to the Employer Contribution sub-account of each Participant's Account. With respect to each Plan Year, the Employer shall list the Employer Contributions, if any, made to each Participant for such Plan Year in the attached Appendix A.

Section 3.04 — Prohibition Against Assets Returning to Employer

The assets of the Plan shall never inure to the benefit of the Employer. Notwithstanding the prior sentence, Plan assets may revert to the Employer in the following situations:

(a) In the case of an Employer Contribution or Pick-Up Contribution which in the sole opinion of the Plan Administrator is made by virtue of a mistake of fact, the contribution may be returned to the Employer within one (1) year after the payment of the contribution;

(b) If an Employer Contribution is conditioned upon initial qualification of the Plan under Section 401(a) of the Code, or any successor provision thereto, and if the Plan does not so qualify, then any contributions made to the Plan may be returned to the Employer within one (1)

year after the date of denial of qualification of the Plan but only if such application is filed by the end of the applicable remedial amendment period under Section 401(b) of the Code.

Article IV – Participant Accounts

Section 4.01 — Annuity Contract(s) and Custodial Account(s)

Plan assets shall be held in Annuity Contract(s) or Custodial Account(s). The administration of the Annuity Contract(s) and Custodial Account(s) shall be subject to an agreement between the Employer and the Funding Company(ies) that satisfies the requirement of Section 401(f) of the Code. Annuity Contracts and Custodial Accounts shall conform to all provisions of the Plan.

Section 4.02 — Separate Accounts

The Funding Company shall maintain a separate Account for each Participant, which Account shall reflect the Participant's interest in the Annuity Contract(s) or Custodial Account(s), as applicable. Within each Participant's Account, sub-accounts shall be established to reflect the contributions made by the Employer under Article III.

Section 4.03 — Valuation of Separate Accounts

As of each Valuation Date, the Funding Company(ies) shall adjust the Accounts to reflect contributions, earnings, gains, losses, withdrawals and distributions.

Section 4.04 — Assumption of Risk by Participant

Each Participant (or his Beneficiary) assumes the risk in connection with any decrease in value of his Account, and such Account shall be the sole source of payments to be made to each Participant (or his Beneficiary) under the Plan.

Section 4.05 — Election of Investment Fund

Each Participant shall have the exclusive authority to direct the investment of his Account among the investment funds designated by the Employer. Such directions shall be made in accordance with procedures adopted by the Plan Administrator. If a Participant fails to designate an investment fund, all contributions allocable to his Account shall be placed in the investment fund selected by the Plan Administrator until Participant direction is received. A Participant shall be permitted to change his investment directions in accordance with procedures adopted by the Plan Administrator.

Article V – Limitations on Contributions

Section 5.01 — Annual Addition Limitation

(a) Notwithstanding any provisions of the Plan, the Annual Additions which a Participant is entitled to under the Plan, shall not, in any Limitation Year, exceed the applicable limitations of Section 415 of the Code. Such Section is hereby incorporated by reference.

(b) If the Annual Addition allocable under this Plan (but for this Section) would exceed the limitations of Section 415(c) of the Code, the excess Annual Addition under this Plan shall be allocated pursuant to Treasury Regulation Section 1.415-6(b)(6)(iii). Notwithstanding the foregoing, (i) for purposes of this Plan, “Annual Additions” shall be determined in accordance with the aggregation rules under Section 415(f) of the Code and (ii) effective for Limitation Years beginning on or after July 1, 2007, in correcting an amount that exceeds the limitations of Section 415(c) of the Code, the Employer may use a correction method as set forth under the Employee Plans Compliance Resolution System, or any successor thereto, but may not use any other correction method.

- (c) For purposes of this Section, "Annual Addition" shall mean:
- (1) Employer contributions
 - (2) Employee contributions
 - (3) Forfeitures
 - (4) Amounts allocated to individual medical accounts as described in Sections 415(l)(1) of the Code which is part of a defined benefit plan maintained by the Employer; and
 - (5) Amounts derived from contributions paid or accrued, which are attributable to post-retirement medical benefits allocated to the separate account of a key employee under Section 419A(d)(2) of the Code in a welfare benefit plan maintained by the Employer.

Restorative payments allocated to a Participant's Account, which include payments made to restore losses to the Plan resulting from actions (or a failure to act) by a fiduciary for which there is a reasonable risk of liability under applicable federal or state law, where similarly situated Participants are similarly treated do not give rise to an "Annual Addition" for any Limitation Year.

Article VI – Vesting

Section 6.01 — Pick-Up Contributions

A Participant shall at all times have a one hundred percent (100%) non-forfeitable interest in the value of his Account attributable to Pick-Up Contributions.

Section 6.02 — Employer Contributions

A Participant shall have a one hundred percent (100%) non-forfeitable interest in the value of his Account attributable to Employer Contributions after he is credited with one Year of Service for Vesting. In addition, if a Participant dies or becomes Disabled, or upon attaining his Normal Retirement Date, he shall have a one hundred percent (100%) non-forfeitable interest in his Account attributable to Employer Contributions.

Section 6.03 — Forfeitures

If a Participant's employment terminates and he receives a distribution of his vested Account, any nonvested portion of his Account shall be forfeited, and the amount forfeited shall be applied towards the Employer Contribution or to pay Plan expenses in the current Plan Year.

Article VII – Distributions

Section 7.01 — Time of Distribution

Distribution of a Participant's vested Account may begin as soon as administratively possible after the date the Participant terminates employment, retires on or after his Normal Retirement Date, dies, or becomes Disabled.

Section 7.02 — Methods of Distribution

A Participant or Beneficiary may elect that his benefit be paid in the form of a lump sum or an annuity, subject to the terms of the applicable Annuity Contract(s) and Custodial Account(s) issued by the Funding Company.

Section 7.03 — Distributions After Death

If the distribution of a Participant's interest has begun in the form of an annuity and the Participant dies before his entire interest has been distributed to him, the remaining portion of such interest shall be distributed as provided in the Annuity Contract or Custodial Account.

If a Participant dies before he has begun to receive any distributions from his Account, his death benefit shall be distributed to his Beneficiary in the form of a lump sum no later than December 31 of the calendar year following the calendar year in which the Participant died, or, if the Beneficiary so elects, in the form of an annuity available from the annuity provider selected by the Plan Administrator, with the first payment being made no later than December 31 of the

calendar year following the calendar year in which the Participant died. If the Beneficiary fails to make any election, he will be treated as if he elected a lump sum distribution.

In the case of a death or Disability occurring on or after January 1, 2007, if a Participant dies while performing qualified military service (as defined in Section 414(u) of the Code), the survivors of the Participant are entitled to any additional benefits (other than benefit accruals relating to the period of qualified military service) provided under the Plan as if the Participant had resumed and then terminated employment on account of death.

Section 7.04 — Direct Rollovers of Eligible Distributions

(a) Notwithstanding any provision of the Plan to the contrary that would otherwise limit a Distributee's election under this Section, a Distributee may elect, at the time and in the manner prescribed by the Plan Administrator, to have any portion of an Eligible Rollover Distribution paid directly to an Eligible Retirement Plan specified by the Distributee in a Direct Rollover.

(b) For purposes of this Section, the following definitions apply:

(1) **Eligible Rollover Distribution** — An Eligible Rollover Distribution is any distribution of all or any portion of the balance to the credit of the Distributee, except that an Eligible Rollover Distribution does not include: any distribution that is one of a series of substantially equal periodic payment (not less frequently than annually) made for the life (or life expectancy) of the Distributee or the joint lives (or joint life expectancies) of the Distributee and the Distributee's Designated Beneficiary, or for a specified period of ten (10) years or more; any distribution to the extent such distribution is required under Section 401(a)(9) of the Code; the portion of any distribution that is not includable in gross income (determined without regard to the exclusion for net unrealized appreciation with respect to Employer securities); and any hardship distribution described in Section 457(d)(1)(A)(iii) of the Code made after December 31, 1998.

(2) **Eligible Retirement Plan** – An Eligible Retirement Plan is an individual retirement account described in Section 408(a) of the Code, an individual retirement annuity described in Section 408(b) of the Code, an annuity plan described in Section 403(a) of the Code, a qualified trust described in Section 401(a) of the Code, an annuity contract described in Section 403(b) of the Code, and an eligible plan under Section 457(b) of the Code which is maintained by a state, political subdivision of a state or any agency or instrumentality of a state or political subdivision of a state and which agrees to separately account for amounts transferred into such plan from this Plan, that accepts the Distributee's Eligible Rollover Distribution. An Eligible Retirement Plan shall also apply in the case of a distribution to a surviving spouse, or to a spouse or former spouse who is an alternate payee under a Qualified Domestic Relations Order, as defined in Section 414(p) of the Code.

For distributions made after December 31, 2007, a Participant or Beneficiary may elect to roll over directly an Eligible Rollover Distribution to a Roth IRA described in Section 408A(b) of the Code.

(3) **Distributee** — A Distributee includes an Employee or former Employee. In addition, the Employee's or former Employee's surviving spouse and the Employee's or former Employee's spouse or former spouse who is the alternate payee under a Qualified Domestic Relations Order, as defined in Section 414(p) of the Code, are Distributees with regard to the interest of the spouse or former spouse.

(4) **Direct Rollover** — A Direct Rollover is a payment by the Plan to the Eligible Retirement Plan specified by the Distributee.

(5) **Non-spouse Beneficiary Rollover**— For distributions after December 31, 2009, a non-spouse Beneficiary who is a "designated beneficiary" under Section 401(a)(9)(E) of the

Code and the Treasury Regulations thereunder, may, by a Direct Rollover, roll over all or any portion of his or her distribution to an individual retirement account or annuity described in Section 408(a) or 408(b) of the Code ("IRA") that is established on behalf of the Beneficiary and that will be treated as an inherited IRA pursuant to Section 402(c)(11) of the Code.

In order to be able to roll over the distribution, the distribution must otherwise satisfy the definition of an Eligible Rollover Distribution. A non-spouse Beneficiary may not roll over an amount which is a required minimum distribution ineligible for rollover, as determined under applicable Treasury Regulations and other Internal Revenue Service guidance, including Q&A 17 and 18 of Notice 2007-7, 2007-5 Internal Revenue Bulletin 395. If a Participant dies before his or her required beginning date, then the non-spouse Designated Beneficiary may deposit into such IRA all or any portion of the distribution that is deemed to be an Eligible Rollover Distribution. In determining the portion of such distribution that is considered to be a required minimum distribution that must be made from the IRA, the Beneficiary may elect to use either the 5-year rule or the life expectancy rule, pursuant to Treasury Regulation Section 1.401(a)(9)-3, Q&A-4(c).

Although a non-spouse Beneficiary may roll over directly a distribution as provided above, the distribution is not subject to the direct rollover requirements of Section 401(a)(31) of the Code, the notice requirements of Section 402(f) of the Code, or the mandatory withholding requirements of Section 3405(c) of the Code. If a non-spouse Beneficiary receives a distribution from the Plan, the distribution is not eligible for a "60-day" rollover.

If the Participant's named Beneficiary is a trust, the Plan may make a Direct Rollover to an IRA on behalf of the trust, provided the trust satisfies the requirements to be a Designated Beneficiary within the meaning of Section 401(a)(9)(E) of the Code.

Section 7.05 — Acceptance of Transfers or Rollovers

No transfers or rollovers (direct or otherwise) shall be permitted to this Plan from another plan or annuity.

Section 7.06 — Alternate Payees Under Qualified Domestic Relations Orders

Notwithstanding anything in the Plan to the contrary, if a Domestic Relations Order directs the Plan to make a distribution prior to the Participant's earliest retirement age as defined in Section 414(p)(4) of the Code to an alternate payee and if the Domestic Relations Order satisfies Section 414(p)(11) of the Code, the Plan shall comply with such Order. Effective April 6, 2007, a domestic relations order that otherwise satisfies the requirements of a Qualified Domestic Relations Order will not fail to be a Qualified Domestic Relations Order: (a) solely because the Order is issued after, or revises, another domestic relations order or Qualified Domestic Relations Order; or (b) solely because of the time at which the order is issued, including issuance after the annuity starting date or after the Participant's death. Such a domestic relations order is subject to the same requirements and protections that apply to Qualified Domestic Relations Orders.

Article VIII – Minimum Distribution Requirements

Section 8.01 — General Rules

(a) Effective Date. The provisions of this Article will apply for purposes of determining required minimum distributions for calendar years beginning with the 2003 calendar year.

(b) Precedence. The requirement of this Article will take precedence over any inconsistent provisions of the Plan.

(c) Requirements of Treasury Regulations Incorporated. All distributions required under this Article will be determined and made in accordance with the Treasury Regulations under Section 401(a)(9) of the Code.

Section 8.02 — Time and Manner of Distribution

(a) **Required Beginning Date.** The Participant's entire interest will be distributed, or begin to be distributed, to the Participant no later than the Participant's required beginning date.

(b) **Death of Participant Before Distributions Begin.** If the Participant dies before distributions begin, the Participant's entire interest will be distributed, or begin to be distributed, no later than as follows:

(1) If the Participant's surviving spouse is the Participant's sole Designated Beneficiary, then, distributions to the surviving spouse will begin by December 31 of the calendar year immediately following the calendar year in which the Participant died, or by December 31 of the calendar year in which the Participant would have attained age 70½, if later.

(2) If the Participant's surviving spouse is not the Participant's sole Designated Beneficiary, then distribution to the Designated Beneficiary will begin by December 31 of the calendar year immediately following the calendar year in which the Participant died.

(3) If there is no Designated Beneficiary as of September 30 of the year following the year of the Participant's death, the Participant's entire interest will be distributed by December 31 of the calendar year containing the fifth anniversary of the Participant's death.

(4) If the Participant's surviving spouse is the Participant's sole Designated Beneficiary and the surviving spouse dies after the Participant but before distributions to the surviving spouse begin, this Section 8.02(b), other than Section 8.02(b)(1), will apply as if the surviving spouse were the Participant.

For purposes of this Section 8.02(b) and Section 8.04, unless Section 8.02(b)(4) applies, distributions are considered to begin on the Participant's required beginning date. If Section 8.02(b)(4) applies, distributions are considered to begin on the date distributions are required to

begin to the surviving spouse under Section 8.02(b)(1). If distributions under an annuity purchased from an insurance company irrevocably commence to the Participant before the Participant's required beginning date (or to the Participant's surviving spouse before the date distributions are required to begin to the surviving spouse under Section 8.02(b)(1)), the date distributions are considered to begin is the date distributions actually commence.

(c) Forms of Distribution. Unless the Participant's interest is distributed in the form of an annuity purchased from an insurance company or in a single sum on or before the required beginning date, as of the first distribution calendar year distributions will be made in accordance with Sections 8.03 and 8.04 of this Article. If the Participant's interest is distributed in the form of an annuity purchased from an insurance company, distributions thereunder will be made in accordance with the requirements of Section 401(a)(9) of the Code and the Treasury Regulations.

Section 8.03 — Required Minimum Distributions During Participant's Lifetime

(a) Amount of Required Minimum Distribution For Each Distribution Calendar Year. During the Participant's lifetime, the minimum amount that will be distributed for each distribution calendar year is the lesser of:

(1) The quotient obtained by dividing the Participant's Account Balance by the distribution period in the Uniform Lifetime Table set forth in Treasury Regulation Section 1.401(a)(9)-9, using the Participant's age as of the Participant's birthday in the distribution calendar year; or

(2) If the Participant's sole Designated Beneficiary for the distribution calendar year is the Participant's spouse, the quotient obtained by dividing the Participant's Account Balance by the number in the Joint and Last Survivor Table set forth in Treasury Regulation Section

1.401(a)(9)-9, using the Participant's and spouse's attained ages as of the Participant's and spouse's birthdays in the distribution calendar year.

(b) Lifetime Required Minimum Distributions Continue Through Year of Participant's Death. Required minimum distributions will be determined under this Section 8.03 beginning with the first distribution calendar year and up to and including the distribution calendar year that includes the Participant's date of death.

Section 8.04 — Required Minimum Distributions After Participant's Death

(a) Death On or After Date Distributions Begin.

(1) Participant Survived by Designated Beneficiary. If the Participant dies on or after the date distributions begin and there is a Designated Beneficiary, the minimum amount that will be distributed for each distribution calendar year after the year of the Participant's death is the quotient obtained by dividing the Participant's Account Balance by the longer of the remaining life expectancy of the Participant or the remaining life expectancy of the Participant's Designated Beneficiary, determined as follows:

(A) The Participant's remaining life expectancy is calculated using the age of the Participant in the year of death, reduced by one for each subsequent year.

(B) If the Participant's surviving spouse is the Participant's sole Designated Beneficiary, the remaining life expectancy of the surviving spouse is calculated for each distribution calendar year after the year of the Participant's death using the surviving spouse's age as of the spouse's birthday in that year. For distribution calendar years after the year of the surviving spouse's death, the remaining life expectancy of the surviving spouse is calculated using the age of the surviving spouse as of the spouse's birthday in the calendar year of the spouse's death, reduced by one for each subsequent calendar year.

(C) If the Participant's surviving spouse is not the Participant's sole Designated Beneficiary, the Designated Beneficiary's remaining life expectancy is calculated using the age of the Beneficiary in the year following the year of the Participant's death, reduced by one for each subsequent year.

(2) No Designated Beneficiary. If the Participant dies on or after the date distributions begin and there is no Designated Beneficiary as of September 30 of the year after the year of the Participant's death, the minimum amount that will be distributed for each distribution calendar year after the year of the Participant's death is the quotient obtained by dividing the Participant's Account Balance by the Participant's remaining life expectancy calculated using the age of the Participant in the year of death, reduced by one for each subsequent year.

(b) Death Before Date Distributions Begin.

(1) Participant Survived by Designated Beneficiary. If the Participant dies before the date distributions begin and there is a Designated Beneficiary, the minimum amount that will be distributed for each distribution calendar year after the year of the Participant's death is the quotient obtained by dividing the Participant's Account Balance by the remaining life expectancy of the Participant's Designated Beneficiary, determined as provided in Section 8.04(a).

(2) No Designated Beneficiary. If the Participant dies before the date distributions begin and there is no Designated Beneficiary as of September 30 of the year following the year of the Participant's death, distribution of the Participant's entire interest will be completed by December 31 of the calendar year containing the fifth anniversary of the Participant's death.

(3) Death of Surviving Spouse Before Distributions to Surviving Spouse Are Required to Begin. If the Participant dies before the date distributions begin, the Participant's surviving spouse is the Participant's sole Designated Beneficiary, and the surviving spouse dies

before distributions are required to begin to the surviving spouse under Section 8.02(b)(1), this Section 8.04(b) will apply as if the surviving spouse were the Participant.

Section 8.05 — Definitions

(a) **Designated Beneficiary.** The individual who is designated as the Beneficiary under Section 1.04 of the Plan and is the Designated Beneficiary under Section 401(a)(9) of the Internal Revenue Code and Treasury Regulation Section 1.401(a)(9)-1, Q&A-4.

(b) **Distribution calendar year.** A calendar year for which a minimum distribution is required. For distributions beginning before the Participant's death, the first distribution calendar year is the calendar year immediately preceding the calendar year which contains the Participant's required beginning date. For distributions beginning after the Participant's death, the first distribution calendar year is the calendar year in which distributions are required to begin under Section 8.02(b). The required minimum distribution for the Participant's first distribution calendar year will be made on or before the Participant's required beginning date. The required minimum distribution for other distribution calendar years, including the required minimum distribution for the distribution calendar year in which the Participant's required beginning date occurs, will be made on or before December 31 of that distribution calendar year.

(c) **Life expectancy.** Life expectancy as computed by use of the Single Life Table in Treasury Regulation Section 1.401(a)(9)-9.

(d) **Participant's Account Balance.** The Account Balance as of the last Valuation Date in the calendar year immediately preceding the distribution calendar year (valuation calendar year) increased by the amount of any contributions made and allocated or forfeitures allocated to the Account Balance as of dates in the valuation calendar year after the Valuation Date and decreased by distributions made in the valuation calendar year after the Valuation Date.

(e) Required beginning date. The required beginning date is April 1 of the calendar year following the calendar year in which the Participant attains age 70½ or retires, whichever is later.

Section 8.06 — 2009 Required Minimum Distributions

Notwithstanding any other provision of Article VIII, a Participant or Beneficiary who would have been required to receive required minimum distributions for 2009 but for the enactment of Section 401(a)(9)(H) of the Code (“2009 RMDs”), and who would have satisfied that requirement by receiving distributions that are (1) equal to the 2009 RMDs or (2) one or more payments in a series of substantially equal distributions (that include the 2009 RMDs) made at least annually and expected to last for the life (or life expectancy) of the Participant, the joint lives (or joint life expectancy) of the Participant and the Participant’s Designated Beneficiary, or for a period of at least ten (10) years (“Extended 2009 RMDs”), will be given the opportunity to elect to stop receiving such distributions. If he does not elect to stop distributions, he will receive such distributions for 2009. Notwithstanding any other provision of this Plan, and solely for purposes of applying the Direct Rollover provisions of the Plan, a Direct Rollover will be offered only for distributions that would be eligible rollover distributions without regard to Section 401(a)(9)(H) of the Code.

Article IX – In-Service Withdrawals

Section 9.01 — Emergency Withdrawals

In the event that the Plan Administrator, upon written request of a Participant, determines, in its sole discretion, that the Participant has suffered an unforeseeable financial emergency, the Employer shall pay to the Participant from the vested Account an amount necessary to meet the emergency, after deduction of any and all taxes as may be required. For purposes of this Plan, an unforeseeable financial emergency is an unexpected need for cash arising from an illness,

casualty, loss, sudden financial reversal, or other such unforeseeable occurrence. Cash needs arising from foreseeable events, such as the purchase of a house or education expenses for children, shall not be considered to be the result of an unforeseeable financial emergency. Distributions for an emergency shall be made from the Participant's sub-accounts pro-rata. However, no distribution shall be made from contributions, and earnings attributable to such contributions, that have been credited to the Plan less than two (2) years. It is intended that the Plan Administrator's determination as to whether a Participant has suffered an "unforeseeable financial emergency" shall be made consistent with Section 457(d)(1)(A)(iii) of the Code.

Article X – Beneficiaries

Section 10.01— Designation of Beneficiary

Each Participant may designate a Beneficiary to receive benefits under the Plan upon the Participant's death by filing with the Employer written notice identifying the Beneficiary. The spouse of a Married Participant shall automatically be his Beneficiary unless the Participant designates another Beneficiary pursuant to Section 10.02. The estate of a single Participant shall automatically be his Beneficiary unless the single Participant has designated a Beneficiary. A Participant may revoke or change such Beneficiary designation by written notice filed with the Employer without the consent of the Beneficiary. However, a Married Participant shall only change his Beneficiary designation in accordance with Section 10.02.

If there is any doubt as to the right of any Beneficiary to receive any amount, the Employer may retain such amount until the rights to the amount are determined, or it may pay such amount into any court of appropriate jurisdiction, in either of which events neither the Employer nor the Funding Company(ies) shall be liable for any interest on such amount, or shall be under any

liability to any person in respect of such amount. In the event that such amount is retained by the Employer, the entire amount shall be invested in an investment selected by the Plan Administrator.

Section 10.02 — Change in Designation: Married Participant

In the case of a Married Participant, any designation of a Beneficiary or any revocation or change in a Beneficiary which has the effect of designating a person as Beneficiary who is not such Married Participant's spouse will not be valid unless the spouse consents in writing to such designation, revocation, or change.

The terms of such consent must acknowledge the effect of the consent and the consent must be witnessed by a notary public or Plan representative. The designation of a non-spouse Beneficiary must specify whether the spouse consents to a designation of a Beneficiary that can be changed without further consent on the part of the spouse or the spouse is only consenting to a designation of a specific Beneficiary that cannot be changed without the spouse's consent. A consent that permits designations by the Participant without any requirements of further consent by the spouse must acknowledge that the spouse has the right to limit consent to a specific Beneficiary and the spouse voluntarily relinquishes said right. The provisions of this section shall not be applicable if the Employer is satisfied that the required consent cannot be obtained because the Participant does not have a spouse, because the spouse cannot be located, or because of such other circumstances as the Secretary of the Treasury may prescribe by regulations.

Any consent by a spouse, or the establishment that the consent of a spouse cannot be obtained, shall only be effective with respect to such spouse.

Article XI – Amendment and Termination

Section 11.01 — Amendment of Plan

The Board shall have the right to amend this Plan in any and all respects at any time and from time to time; provided, however: (a) no amendment shall increase the duties or liabilities of the Funding Company(ies) without their written consent; (b) that no amendment shall deprive any Participant of any of the accrued vested benefits to which he is entitled under this Plan; and (c) that no amendment shall provide for the use of funds or assets held by the Plan other than for the benefit of Participants and their Beneficiaries and, subject to Section 3.04, no funds held by the Plan shall ever revert to or be used or enjoyed by the Employer prior to the satisfaction of all liabilities hereunder to its Participants and their Beneficiaries.

Section 11.02 — Termination of Plan

The Employer reserves the right to terminate the Plan at any time. In the event of termination of the Plan or a partial termination of the Plan or a complete discontinuance of contributions, the Accounts of affected Participants shall become one hundred percent (100%) vested and shall not thereafter be subject to forfeiture.

Article XII – Administration of the Plan

Section 12.01 — Authority

The Employer shall be the Plan Administrator. The Employer shall maintain, or cause to be maintained, records on the employment and compensation history of each Participant in sufficient detail to permit an accurate determination of any benefits to which the Participant may be entitled under the Plan. The Plan Administrator and any delegates shall direct their duties with respect to the Plan (a) solely in the interest of the Plan's Participants and Beneficiaries and (b) for the

exclusive purpose of providing benefits to the Plan's Participants and Beneficiaries and defraying reasonable expenses of administering the Plan.

Section 12.02 — Payment of Plan Expenses

The Employer may determine that certain expenses will be borne by Participants.

Section 12.03 — Plan Administrator's Right to Administer and Interpret the Plan

The Plan Administrator shall have the absolute power, authority and discretion to administer and interpret the Plan and to adopt such rules and regulations as in the opinion of the Plan Administrator are necessary or advisable to implement, administer, and interpret the Plan, or to transact its business. Such rules and regulations as are adopted by the Plan Administrator shall be binding upon any persons having an interest in or under the Plan.

Section 12.04 — Claims Procedure

A claim for benefits under the Plan must be made to the Plan Administrator in writing. The Plan Administrator shall provide adequate notice in writing to any Participant or Beneficiary whose claim for benefits under the Plan has been denied, setting forth the specific reasons for such denial, written in a manner calculated to be understood by the Participant or Beneficiary. If a claim is denied, in whole or in part, the Plan Administrator shall send the claimant a notice of denial explaining the reasons for denial of the claim. A claimant whose claim has been denied, or his authorized representative, may request a review of the denial, but such a request must be in writing, and must be submitted to the Plan Administrator within sixty (60) days after the claimant's receipt of the notice of denial. The review of a claim which has been denied shall be made by the Plan Administrator within ninety (90) days of the receipt of the request for review, unless the Plan Administrator determines that special circumstances require additional time, in which case a decision shall be rendered not later than one hundred twenty (120) days after receipt of the request

for review. The decision on the review shall be in writing and shall include specific reasons for the decision, written in a manner calculated to be understood by the claimant, and specific reference to the pertinent Plan provisions on which the decision is based. The Plan Administrator shall have the absolute authority, power and discretion to adjudicate claims.

Article XIII – Miscellaneous Provisions

Section 13.01 — Employees' Plan

This Plan is created for the exclusive benefit of the Employees of the Employer and shall be interpreted in a manner consistent with its being an Employees' plan as defined in Section 401(a) of the Code. Subject to Section 3.04, no funds contributed to this Plan nor any assets of this Plan shall ever revert to or be used or enjoyed by the Employer, nor shall any such funds or assets ever be used other than for the exclusive benefit of Employees of the Employer and their Beneficiaries and defraying reasonable Plan expenses.

Section 13.02 — Additional Limitations on Liability

Neither the Employer, its Employees, trustees, and officers, nor the Plan Administrator in any way guarantees this Plan against loss or depreciation, nor do they guarantee the payment of any benefit which may become due hereunder to any present or former Participant or Beneficiary.

Section 13.03 — General Undertaking of All Parties

All parties to this Plan and all persons claiming any interest whatsoever hereunder agree to perform any and all acts and execute any and all documents and papers which may be necessary or desirable for the carrying out of this Plan or any of its provisions.

Section 13.04 — Agreement to Bind Heirs, Etc.

This Plan shall be binding upon the heirs, executors, administrators, successors and assigns, as such terms shall apply, of any and all parties hereto present and future.

Section 13.05 — Invalidity of Certain Provisions

If any provision of this Plan shall be held invalid or unenforceable, such invalidity or unenforceability shall not affect any other provision hereof and this Plan shall be construed and enforced as if such provision had not been included.

Section 13.06 — Right to Employment

Nothing contained in the Plan or any modification hereof, or the creation of any fund or account for the payment of any benefit, shall be construed to give any Employee, Participant or Beneficiary any right to employment or continued employment with the Employer which he would not have had, had the Plan not been created.

Section 13.07 — Incapacity

In the event that any Participant is unable to care for his affairs because of illness or accident, any payment due may be paid to the Participant's spouse, parent, brother, sister or other person deemed by the Plan Administrator to have incurred expenses for the care of such Participant, unless a duly qualified guardian or other legal representative has been appointed.

Section 13.08 — Governing Law

The Plan shall be construed, administered and enforced in accordance with the laws of the State of Ohio other than such laws as are specifically preempted by federal law.

Section 13.09 — Tax Qualification

This Plan has been adopted, based upon the condition precedent that it be approved and qualified by the Internal Revenue Service as meeting the requirements of the Code and the Treasury Regulations issued thereunder with respect to qualified retirement plans. Notwithstanding any other provision in this Plan, if the Commissioner of the Internal Revenue Service or his delegate determines that the Plan, or the Plan as it may be amended by the Employer in an effort to receive

such approval, does not qualify under the applicable provisions of the Code, the Employer will make such changes required to so qualify the Plan.

Section 13.10 — Number of Counterparts

This Plan may be executed in any number of counterparts, each of which when duly executed by the Employer shall be deemed to be an original, but all of which shall together constitute but one instrument, which may be evidenced by any counterpart.

Section 13.11 — Masculine, Feminine, Singular and Plural

The masculine shall include the feminine and the singular shall include the plural and the plural the singular wherever the person or entity or context shall plainly so require.

Section 13.12 — Withholding Taxes

The Plan Administrator may make any appropriate arrangements to deduct from all amounts paid under the Plan any taxes required to be withheld by any government or government agency. Each Participant and/or Beneficiary shall bear all taxes on amounts paid under the Plan to the extent that no taxes are withheld, irrespective of whether withholding is required.

Section 13.13 — Prevention of Escheat

If the Funding Company(ies) is unable to make payment to any Participant or other person to whom a payment is due under the Plan because it cannot ascertain the identity or whereabouts of such Participant or other person after reasonable efforts have been made to identify or locate such person (including a notice of the payment so due mailed to the last known address of such Participant or other person as shown on the records of the Employer), such payment and all subsequent payments otherwise due to such Participant or other person shall be treated as forfeited three (3) years after the date such payment first became due; provided, however, that such payment

and any subsequent payments shall be reinstated retroactively no later than sixty (60) days after the date on which the Participant or person is identified or located.

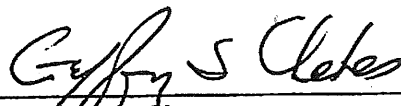
Section 13.14 — Uniformed Service Employment and Reemployment Rights Act of 1994

Notwithstanding any provision of this Plan to the contrary, service credits and contributions with respect to qualified military service will be provided in accordance with Section 414(u) of the Code.

IN WITNESS WHEREOF, the Employer has caused the Plan to be executed as of the date written below.

THE OHIO STATE UNIVERSITY

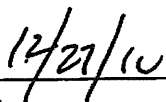
By:



Geoffrey S. Chatas

Senior Vice President for Business and Finance and CFO

Date



12/27/10

Appendix A
Plan Year 2011

Pick-Up Contributions: The following Employees made employee contributions subject to pick-up under Section 414(h)(2) of the Code to the Plan for the Plan Year beginning on January 1, 2011.

<u>Name</u>	<u>Percent</u> [*]
1.	
2.	
3.	
4.	

Employer Contributions: The following Employees received Employer Contributions for the Plan Year beginning on January 1, 2011, in the amount stated below:

<u>Name</u>	<u>Amount</u> [*]
1.	
2.	
3.	
4.	

*In no event will the contributions made herein be in excess of the applicable limit under Section 415(c) of the Code.